DOCKET NO.

In the Matter of

KC |-

IN THE MATTER OF THE COMPLAINT FILED BY KATHY ROTTENBUCHER, NEWELL, SD, AGAINST STATELINE TELECOMMUNICATIONS, INC., REGARDING UNPUBLISHED ADDRESS

## Public Utilities Commission of the State of South Dakota

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## NEXT

# DOCUMENT (S)

**BEST IMAGE** 

POSSIBLE

### BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF SOUTH DAKOTA

UN 2 1 1997

COMPLAINT

SOUTH DAKOTA PUBLIC UTILITIES COMMISSION

(The	Complainant(s): 1 arty filing the complaint)	(The person o	Respondent(s): r Company complained against)
Name	KATHY ROTTENBUCHER	Contact Person	WEST RIVER ELECTRI
Address	413 9th Sti	Company	STATELINE COOP.
City, State, Zip	NEWELL SD 57760	Address	0.0 80×39
Work Phone	N/A	City, State, Zip	BISON SA 52620
Home Phone	45456-9851	Frurk Phone	
Cellular Phone	NA	Cellular Phone	2
Fax	N/A	Fax	7

If the Complainant is represented by an attorney, please list the attorney's name, address, telephone number and fax number below. If Complainant is not represented by an attorney, please leave blank:

The facts giving rise to my complaint:

1 opecifically respectful and ensisted on an impublished actions. STATE) the throughout and provided its waste to a construction of the respection of th

NOTE: Please attach additional pages, if necessary, to explain your situation. Also enclose copies of any bills or other documents which may pertain to your complaint.

### RESOLUTION REQUEST

I ask that the Public Utilities Commission grant the following relie	f. (What do you think the Commission
should do to solve this problem?) for all participy	and an ever with
about 5 to avoid Future incidents 7	THE PROPERTY HAVE THE
OF Directors, and I want en	thousand dellars +
Hiplation of trust, confidence, betrayal	of approximation
emotional: mental anguish and duress;	inclinean vienence -
NOTE: Please attach any additional pages, if necessary.	
VERIFICATION	
Signature must be witnessed by a n	otary public.
Latt Dettoubunder	12000
XIAY Xact Merces	5-20-91
Complainant's Signature	Date
State of South Dakota )	
County of Butte	
On this 20th day of tane	. 1997 before me
personally came and appeared Tathy Kottenbuche	, bende me
known to me to be the individual described herein and who executed the foregoing that he/she executed same for the purpose therein contained.	g instrument, and who duly acknowledged to me
IN WITNESS WHEREOF, I hereunto set my hand and official seal.	- ~ ~
	ana D. Tage
Signature of	Notary Public
Signature of	Notary Public

DIANA D. PAGE My Commission Expires Jan. 10, 2002

(SEAL)

My commission expires:

## RESOLUTION REQUEST

I ask that the Public Utilities Commission grant the following relief.	(What do you think the Commission
should do to solve this problem?) for all parties	
I want proof of written rear manels, I u	ant proof they made
changes to avoid Future incidents, I	want access to Bog
OF Directors, and I want one	thousand dollars +
violation of trust, confidence, betrayal,	of appotenence for
emotional: mental anguish and duriss, a	
NOTE: Please attach any additional pages, if necessary.	
VERIFICATION	
Signature must be witnessed by a no	tary public.
Lathy Rottenbucker	6-20-97
Complainant & Signature	Date
State of South Dakota )  (	
County of Auto	
on this 20 day of tone	1997 before me
personally came and appeared Nathy Rottenbucher known to me to be the individual described herein and who executed the foregoing	
known to me to be the individual described herein and who executed the foregoing that he/she executed same for the purpose therein contained.	instrument, and who dely acknowledged to me
D4 WITNESS WHEREOF, I hereunto set my hand and official seal.	- ~
	ana Dage
Signature of	Notary Public

(SEAL)

DIANA D PAGE My Commission Expires Jan. 10, 2002

My commission expires:

2- as soon as he arrived that following Monday. I requested his name. Monday came. and almost gone and no call, I had to call him Mr. D. Henderson, I asked him why he did not return my call, he only commented he had some Info. on the incident, and my name. I insisted on discussing the incident and my experience cleating with his manager, (Jerry) the was arrogant, insensitive, non-chalant about the matter. I let him hav in no uncertain terms how exasperated i disgusted I was, I, Id him the harm has been done, all the phone Books for this area have been printed & distributed, I asked him what he was going to do to make this right? We asked me what I wanted him to do, I stated discipline his employees witha written reprimand, put in place precaution to prevent future incidents, and I want to de Compensated. He said "First the horm has already Deen clone, and were corrected ourselfs so that next year your address will be enpublished. He said, "He will not compensate me at this time I'd have to frove harm dine". Falling to get Satisfiction I called puc in Lapid City, I delso spike witha repersent tire from US west. I told Mr. J. Henringson, I was going to take this further and he told me to go ahead and do whatever

3 - I wanted too, No apologies, I called west liver Electric Coop and requested the Board of Directors names, Gladys (OFFice manager) refused to give me the names unless Mr. Henderson approved, I told her that they are public officials, I have a sight to have access to them, she continued to refuse. I carled City of Ficial here in Newell, SD to get names, and he gave me what info, he had, I wrote my First letter to President of Board of Directors in care OF west liver Electric Coop, No Kesponse, I wrote the governor then, a Second letter to the Boards president, by ceritities mail. Still no answere, so with the governors help, I'm filing this tormal Complaint with puc. They had an unpublished address for 13 h years we lived in Sturgis, SD and wished too have it remain so when we moved to Wewell, SD. It wouldn't be right or Fair for us to have to, sell our new home were had for less then a year ? uproot our children and more again but that could happen occause of statelines violating us, then by causing real harm; implied harm.

South Dakota Public Utilities Commission State Capitol 500 E. Capitol Pierre, SD 57501-5070 Phone: (800) 332-1782

Fax: (605) 773-3809

## TELECOMMUNICATIONS SERVICE FILINGS

 $06/20/97\ through\ 06/26/97$  If you need a complete copy of a filing faxed, overnight expressed, or mailed to you, please contact Delaine Kolbo within five days of this filing.

DOCKET NUMBER	TITLE/STAFF/SYNOPSIS	DATE FILED	INTERVENTION DEADLINE
	REQUEST FOR CERTIFICATE OF AUTHORITY		
TC97-106	Application by Call Plus, Inc. for a Certificate of Authority to operate as a telecommunications company within the state of South Dakota. (Staff: TS/CH) "Applicant is a switchless reseller which intends to offer 1+ direct dialing, 800 toll free and travel card service (not prepaid calling cards) through the resale of telephone services provided by facilities-based interexchange carriers."	06/20/97	07/11/97
TC97-110	Application by MFS Network Technologies, Inc. for a Certificate of Authority to operate as a telecommunications company within the state of South Dakota. (Staff: DJ/TZ)	06/25/97	07/11/97
TC97-111	Application by Z-Tel, Inc. for a Certificate of Authority to operate as a telecommunications company within the state of South Dakota. (Staff: TS/TZ) Applicant seeks authority to provide MTS, out-WATS, in-WATS, and calling card services. Applicant does not intend to provide operator services, 900 or 700 services.	06/25/97	07/11/97
TC97-112	Application by CapRock Communications Corp. for a Certificate of Authority to operate as a telecommunications company within the state of South Dakota. (Staff: TS/TZ) Applicant seeks authority to provide Message Toll Service, Incoming 800, Travel Card and Prepaid Calling Card services.	06/25/97	07/11/97
	REQUEST FOR ELIGIBLE TELECOMMUNICATIONS COMPANY STATUS	3	
TC97-108	Faith Municipal Telephone Company pursuant to 47 U.S.C. 214(e) and 47 CFR 54.201 hereby seeks designation as an eligible telecommunications carrier within the local exchange areas that constitute its service area in South Dakota. Faith Municipal Telephone Company is the facilities-based local exchange carrier presently providing local exchange telecommunications services in the following exchange: Faith (967). Faith Municipal Telephone Company, to its knowledge, is the only carrier today providing local exchange telecommunications services in the above identified exchange areas. (Staff: HB/KC)	06/23/97	07/11/97
TC97-113	Armour Independent Telephone Company pursuant to 47 U.S.C. 214(e) and 47 CFR 54.201 hereby seeks designation as an eligible telecommunications carrier within the local exchange areas that constitute its service area in South Dakota. Armour Independer 1 Telephone Company is the facilities-based local exchange carrier presently providing local exchange telecommunications services in the following exchange: Armour (724). Armour Independent Telephone Company, to its knowledge, is the only carrier today providing local exchange telecommunications services in the above identified exchange areas. (Staff: HB/CH)	06/25/97	07/11/97

TC97-114	Bridgewater-Canistota Independent Telephone Company pursuant to 47 U.S.C. 214(e) and 47 CFR 54 201 hereby seeks designation as an eligible telecommunications carrier within the local exchange areas that constitute its service area in South Dakota. Bridgewater-Canistota Independent Telephone Company is the facilities-based local exchange carrier presently providing local exchange telecommunications services in the following exchanges: Bridgewater (729) and Canistota (296). Bridgewater-Canistota Independent Telephone Company, to its knowledge, is the only carrier today providing local exchange telecommunications services in the above identified exchange areas. (Staff: HB/CH)	06/25/97	07/11/97
TC97-115	Union Telephone Company pursuant to 47 U.S.C. 214(e) and 47 CFR 54.201 hereby seeks designation as an eligible telecommunications carrier within the local exchange areas that constitute its service area in South Dakota. Union Telephone Company is the facilities-based local exchange carrier presently providing local exchange telecommunications services in the following exchanges: Hartford (528) and South Härtford (526). Union Telephone Company, to its knowledge, is the only carrier today providing local exchange telecommunications services in the above identified exchange areas. (Staff: HB/CH)	06/25/97	07/11/97
	FORMAL COMPLAINT		
TC97-107	Kathy Rottenbucher vs. Stateline Telecommunications, Inc. "I specifically requested and insisted on an unpublished address. Stateline furnished and provided U S WEST this information for [the] Northern Hills and Surrounding Areas I want proof of written reprimands for all parties, I want proof they made changes to avoid future incidents, I want access to Board of Directors, and I want one thousand dollars for violation of trust, confidence, and for emotional and mental anguish and duress, and inconvenience." (LH/TZ)	06/23/97	NA
	FILING OF INFORMATIONAL INTRASTATE PAYPHONE TARIFFS		
No	East Plains Telecom, Inc. on June 13, 1997.	NA	NA

Important Notice: The Commission is compiling a list of internet addresses. If you have an internet address please notify the Commission by: E-mailing it to Terry Notice: all list of internet addresses to the Commission at 605-773-3809.

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### BUCKMASTER AND MACY, LLP

1601 5th Avenue - P.O. See 736 BELLE FOURCHE, SO 57717-0726 TC97-107

WESLEY W. BUCKMASTER RANDALL L. MACY

May 30, 1997

PHONE (805) 800-2623 FAX (905) 888-6337

Ms. Kathy Rottenbucher 413 Ninth Street Newell, SD 57760

> Re: Stateline Telecommunications, Inc. Our File #8556.003

Dear Ms. Rottenbucher:

I have been asked by Stateline Telecommunications to respond to your letter of May 19, 1997. I have reviewed the contents of your letter and I have also discussed your complaint with the management of Stateline Telecommunications.

It is my understanding that you had requested that your phone number and address not appear in any telephone directories. Your phone number and address did not appear in the Stateline telephone directory. However, it did appear in the U S WEST telephone directories. Stateline Telecommunications does have procedures in place to honor requests of customers who ask that their phone numbers and addresses not be published. Unfortunately, your phone number and address were inadvertently published in the U S WEST directories. Stateline is reviewing its procedures and making every effort to prevent this situation from occurring in the future.

The Board of Directors and management of Stateline Telecommunications have authorized me to apologize to you for the inconvenience this has caused. I note that you refer to your attorney in your letter, indicating that you are filing an official complaint. If you would like to have your attorney speak with me, please provide this letter to your attorney so that he can communicate with me directly. Also, if you have any further questions or concerns. I would appreciate it if you would contact me directly.

Thank you for your cooperation.

Advidate e. Macy

RLM/cak

cc: Stateline Telecommunications

Stateline

Telecommunications, Inc.



Connecting the Lemmon, Newell and Nisland Exchanges to the World

July 11, 1997

Mr. Wm. Bullard, Executive Director South Dakota Public Utilities Commission State Capitol Building, Room 412 Pierre, SD 57501

Dear Mr. Bullard:

RECEIVED

JUL 1 4 1997

SOUTH BAKOTA PUBLIC UTILITIES COMMISSION

This letter is submitted to provide the Commission with information concerning the complaint docketed as TC97-107 before the Public Utilities Commission by Ms. Kathy Rottenbucher, Newell, S. D.

In mid May Ms. Kathy Rottenbucher of Newell, SD called the office with a service complaint. Jerry Reisenauer, Administrative Assistant, visited with her regarding her complaint. She stated that she had requested her address not be printed and wanted to know why it had been printed in the Northern Hills Directory. Mr. Reisenauer advised her that he was not aware of the problem and if it had happened as she stated that it would have been a mistake made in our office. She wanted to know how this mistake could have happened and Mr. Reisenauer explained that U S West provides us with a directory listing for towns we serve, which is reviewed and updated and then returned to U S West for publishing in their directory. Mr. Reisenauer explained that if the information were included in the directory and we had been advised not to print her street address, that it must have been inadvertently missed when updating the directory information and offered our apologies for the error.

Ms. Rottenbucher was very irate and inferred that we intentionally included her address in her listing in the U S West Directory. Mr. Reisenauer again apologized for the apparent error and assured her that this had not been done intentionally and it was apparently an honest mistake. At that point, she wanted to know who was responsible for updating the directory information and she was advised that an individual within the office had completed the project. She wanted to know who supervised this individual and what disciplinary measures would be taken against the individual. Mr. Reisenauer explained to her that he was the individual responsible for the directory project but declined to discuss disciplinary measures.

Mr. Wm. Bullard 2 July 11, 1997

Ms. Rottenbucher proceeded to advise Mr. Reisenauer that she felt she was injured by the error and stated that we were going to pay. Mr. Reisenauer again apologized for the apparent error. She wanted to know how we planned to compensate her. Mr. Reisenauer advised her that he was not in a position to offer any monetary settlement and that he would inform the General Manager of her complaint. She asked for the General Manager's name and was advised that it was Darrell Henderson. She asked that Mr. Henderson contact her regarding the matter and was assured that her request would be forwarded to the General Manager. At that point Ms. Rottenbucher hung up on Mr. Reisenauer.

When I returned to the office I asked Mr. Reisenauer to review our procedures for handling special requests so that I would be completely informed when I called Ms. Rottenbucher. Before I returned her call, Ms. Rottenbucher called again. She was very agitated and I was unable to appease her. I did attempt to explain how this unfortunate error had occurred and told her that we were implementing an additional computer file for special requests such as this. She told me that the employee responsible should be disciplined, that Mr. Reisenauer should be fired and she wanted written confirmation of these events. She also indicated that she had suffered great damage from our error and should be compensated, although she did not indicate how she had incurred any actual damages or the amount of compensation that she felt was appropriate. During the course of this conversation I applogized at least three times for our error. These apologies apparently did not placate Ms. Rottenbucher. She indicated that she intended to take legal action if we did not meet her demands. Eventually, she asked again what we intended to do about compensating her and I indicated to her that we did not believe compensation was appropriate. At that point she terminated our conversation by hanging up.

Subsequently, Ms. Rottenbucher called our office in Bison and requested the names and addresses of the Board of Directors of Stateline
Telecommunications, Inc. (STI). Per my directions, this information was not provided because it was apparent from our telephone conversations that Ms. Rottenbucher intended to harass individual directors. STI is a private corporation and the directors are not public figures. Ms. Rottenbucher then wrote a letter on May 19, 1997 to the Board of Directors in which she requested letters of reprimand for involved employees, an official apology, compensation in an amount equal to \$1.00 for each U S West Northern Hills

B-: 29. CA-6

Directory published and an investigation into the operations of STI including safeguards to prevent recurrence. She asked for a written response in her letter. This letter was turned over to Mr. Randall Macy of Buckmaster and

Macy, LLP, who is STI's legal counsel, and he was instructed to respond and provide an official apology from STI to Ms. Rottenbucher. Mr. Macy responded to her etter on May 30, 1997. (Copy attached)

Ms. Rottenbucher then wrote a second letter on June 4, 1997 in which she stated that her lawyer insists that STI respond to her questions regarding disciplinary action, compensation, future protection and steps being taken to correct and update next year's directory. Since that point in time Ms. Rottenbucher has filed her complaint with the Commission.

STI has taken steps to prevent recurrence, to make sure that next year's directory is corrected and has apologized at least seven times via telephone conversations involving Mr. Reisenauer and myself and by letter from Mr. Macy. We do not believe that compensation is appropriate. While we believe the incident was unfortunate and have apologized on numerous occasions, we also believe that anyone wishing to locate Ms. Rottenbucher could do so with minimal effort in a town the size of Newell by simply asking for directions. Ms. Rottenbucher could have insured anonymity by simply requesting a non-listen, non-published number instead of requesting that her address be left out of the directory.

We would appreciate the opportunity to respond to any other questions the Commission may have regarding this incident.

Sincerely,

Darrell D. Henderson, General Manager

DDH:gj

Enclosure

## BUCKMASTER AND MACY, LLP ATTORNEYS AT LAW

1501 5th Avenue - P.O. Box 726 BELLE FOURCHE, SD 57717-0726

WESLEY W. BUCKMASTER RANDALL L. MACY PHONE (605) 892-2623 FAX (605) 882-6337

May 30, 1997

Ms. Kathy Rottenbucher 413 Ninth Street Newell, SD 57760

> Re: Stateline Telecommunications, Inc. Our File #8556.003

Dear Ms. Rottenbucher:

I have been asked by Stateline Telecommunications to respond to your letter of May 19, 1997. I have reviewed the contents of your letter and I have also discussed your complaint with the management of Stateline Telecommunications.

It is my understanding that you had requested that your phone number and address not appear in any telephone directories. Your phone number and address did not appear in the Stateline telephone director. However, it did appear in the U S WEST telephone directories. Stateline Telecommunications does have procedures in place to honor requests of customers who ask that their phone numbers and addresses not be published. Unfortunately, your phone number and address were inadvertently published in the U S WEST directories. Stateline is reviewing its procedures and making every effort to prevent this situation from occurring in the future.

The Board of Directors and management of Stateline Telecommunications have authorized me to apologize to you for the inconvenience this has caused. I note that you refer to your attorney in your letter, indicating that you are filing an official complaint. If you would like to have your attorney speak with me, please provide this letter to your attorney so that he can communicate with me directly. Also, if you have any further questions or concerns, I would appreciate it if you would contact me directly.

Thank you for your cooperation.

AMBALL L. MACY

RLM/cak

cc: Stateline Telecommunications

## DEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF SOUTH DAKOTA

IN THE MATTER OF THE COMPLAINT FILED BY KATHY ROTTENBUCHER, NEWELL, SD, A G A I N S T S T A T E L I N E TELECOMMUNICATIONS, INC. REGARDING UNPUBLISHED ADDRESS

ORDER FINDING
PROBABLE CAUSE AND
NOTICE REQUIRING
ANSWER
TC97-107

Complaint from Kathy Rottenbucher (Complainant), Newell, SD, against Stateline Telecommunications, Inc. (Stateline) regarding an unpublished address. Complainant states that she specifically requested an unpublished address, but her address was jublished in the Rapid City telephone book for West River customers. Stateline provides customer information to U.S. WEST Communications, Inc. for the purpose of publishing telephone books. Complainant requested proof of written reprimands for all parties, proof that changes were made to avoid similar mistakes in the future, access to the Board of Directors, and one thousand dollars for violation of trust, emotional and mental anguish, duress, and inconvenience.

Pursuant to ARSD 20:10:01:08:01 and 20:10:01:09, if a complaint cannot be settled without formal action, the Commission shall determine if the complaint shows probable cause of an unlawful or unreasonable act, rate, practice or omission to go forward with the complaint.

On July 15, 1997, at its duly noticed meeting, the Commission reviewed the complaint, as well as comments from Complainant and Stateline.

The Commission finds that it has jurisdiction over this matter pull-uant to SDCL Chapters 49-13 and 49-31 and ARSD 20:10:01:08:01 and 20:10:01:09. The Commission voted unanimously to find probable cause. It is therefore

ORDERED, that there is probable cause of an unlawful or unreasonable act, rate, practice, or omission and that the complaint shall be forwarded to Stateline and Stateline shall file with the Commission its answer in writing within twenty (20) days of service of this order.

Dated at Pierre, South Dakota, this 290 day of July, 1997.

#### CERTIFICATE OF SERVICE

The undersigned hereby certifies that this document has been served today upon all parties of record in this docket, as listed on the docket service list, by facsimile or by first class mail, in properly addressed envelopes, with charges prepaid thereos.

Alleine

Date

(OFFICIAL SEAL)

BY ORDER OF THE COMMISSION

JAMES A BURG Chairman

PAM NELSON, Commissioner

LASKA SCHOENFELDER, Commissioner

### BUCKMASTER AND MACY, LLP

1501 5th Avenue • P.O. Box 725 BELLE FOURCHE, SO 57717-0726

WESLEY W BUCKMASTER RANDALL L MACY

PHONE (605) 892-2623 FAX (605) 882-6337 e-mail: bmlaw@iw.net

August 12, 1997

RECEIVED

AUG 1 4 1997

Public Utilities Commission State Capitol 500 E. Capitol Avenue Pierre, SD 57501 SOUTH DAKOTA PUBLIC UTILITIES COMMISSION

Re: Kathy Rottenbucher Complaint, TC97-107 Our Client: Stateline Telecommunications, Inc. Our File #8556.001

To Whom It May Concern:

Enclosed for filing is an original and three copies of the Answer and Motion to Dismiss of Respondent, Stateline Telecommunications, Inc. I have also enclosed a Certificate of Service showing that we served Ms. Rottenbucher with a copy of the Answer and Motion to Dismiss.

Thank you for your consideration. If you have any questions or concerns, please feel free to contact me.

RLM/cak Enclosure

cc: Darrell Henderson

#### BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF SOUTH DAKOTA

RECEIVED

AUG 1 4 1997

IN THE MATTER OF THE COMPLAINT FILED BY KATHY ROTTENBUCHER, NEWELL, SD, AGAINST STATELINE TELECOMMUNICATIONS, INC. REGARDING UNPUBLISHED ADDRESS SOUTH DAKOTA PUBLIC
UTILITIES COMMISSION
MOTION TO
DISMISS
TC97-107

COMES NOW, Stateline Telecommunications, Inc. (hereinafter Stateline), by and through its attorney, Rendall L. Macy, of the law firm of Buckmaster and Macy, LLP, and answers the complaint filed by Kathy Rottenbucher as follows:

I.

Complainant's grievance against Stateline is that Complainant's street address was published in certain telephone directories. In none of such directories does Complainant's name appear. The address appears under the name of Complainant's husband, Jake Rottenbucher. When Rottenbuchers requested telecommunications service, they requested that the name of Jake Rottenbucher be listed, that the phone number be listed and that the town be listed. Rottenbuchers did request that their street address not be made a part of the listing.

II.

Jake Rottenbucher's name and phone number were published in the Stateline directory without an address, just as requested. (A copy of the listing in the Stateline directory is attached hereto, marked Exhibit A and incorporated herein by this reference.) Answer and Motion to Dismiss In the Matter of the Complaint Filed by Kathy Rottenbucher Page 2

However, when the information was provided to US WEST for publication in its directories, Jake Rottenbucher's address was also provided and published. (A copy of the U S WEST directory is attached hereto, marked Exhibit B and incorporated herein by this reference.)

III.

Even though the town and telephone number of Complainant's husband was published at the request of Complainant, Complainant argues that publication of a street address in Newell, South Dakota, population 650, somehow breached her confidentiality. Stateline wishes to point out to the Commission that Complainant did not request a nonlisted, nonpublished number, which would have ensured that the name, address and telephone number assigned to Rottenbuchers would not be printed in any directories and would not be released or given out by directory assistance under any circumstances.

IV.

Purther, anyone seeking a street address for the Rottenbuchers could find it simply by requesting the information from the Butte County Register of Deeds, the Butte County Treasurer or the Butte County Assessor. The address of the Rottenbuchers is on file with each of those government agencies and is available public

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15

Answer and Motion to Dismiss
In the Matter of the Complaint Filed by Kathy Rottenbucher
Page 3

information for anyone requesting it. A copy of a Warranty Deed recorded with the Butte County Register of Deeds on October 2, 1996, in Book 276, Page 646, as Document #96-1853, which includes the Rottenbuchers' street address, is marked Exhibit C, attached hereto and incorporated herein by reference. The street address on the deed is the same address that Complainant claims was confidential.

v.

Stateline admits that the publishing of the street address was an oversight however, Stateline does not agree that such oversight is probable cause of an unlawful or unreasonable act, rate, practice, or omission as indicated in PUC Order dated July 29, 1997. Stateline is prepared to fully defend in front of this Commission, the claims of damage and unlawful or unreasonable act as a result of the inclusion of a street address for Newell, South Dakota.

#### Wherefore:

 Stateline respectfully requests that the PUC dismiss the complaint because Complainant does not have standing to bring such complaint ARSD 20:10:01:11.01; and Answer and Motion to Dismiss In the Matter of the Complaint Filed by Kathy Rottenbucher Page 4

- The complaint is not good and sufficient reason for a complaint pursuant to the rules of the South Dakota Public Utilities Commission and South Dakota law ARSD 20:10:01:11.01.
- 3. In the alternative, should the Public Utilities Commission decide not to dismiss the complaint at this time, Stateline respectfully requests that a hearing be set on this matter at the earliest practical time so that Stateline may respond to the allegations of injury and damage as claimed by Complainant.

Dated this 13 day of August, 1997.

BUCKMASTER AND MACY, LLP Attorneys for Stateline Telecommunications

By:

RANDALL L. MACY 1501 Fifth Avenue

P.O. Box 726

Belle Fourche, SD 57717-072

605-892-2623

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Prisoner Robert M & Sally Vale	455-2392	1032 Dartmouth Av	_A56-2920
Prillips Michael City To Pa	456-1578	Schalasky T W & Faye	-100-2WD/
Printing Michael City Tot Pa	456-2550	Schauenbeider Nora 203-255 51	450-1455
Perare W R 514-5th	450-2620	Schwicker Des RR 1 But 1450	456-2440
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PRESIDE HEIMER MISS NH	7.7.	Scraid Paul RR 1 Box 47	- WALLETT
Pleast Helmer Mrs NH	456 2960	Schicke Donne RR 1 Bex 113	450-2419
Plekkola Levia 605 Dartmouth Av	456 2960	Schicke Donne RR 1 Bex 113	450-2419
Pleaked's Levis 605 Dartmouth Av	456 2960	Schicke Donne RR 1 Bex 113	450-2419
Plakkola Laria 605 Dartmouth Av	456 2960 454-2576 454-2517	Schiple Denne RR 1 Bex 113. Schmiele Brity RR. Schmiele Carol RR 1 Box 110A	450-2419 466-2606 456-2405
Pleaked's Levis 605 Dartmouth Av	456 2960	Schicke Donne RR 1 Bex 113. Schmele Sally RR 1 Schmele Canel RR 1 Box 110A Schmele Enrel Dox 157 Valle School Horlan K 3 Barb K RP Valle	456-2419 456-2405 456-2405 456-2074
Pleakar's Levis 605 Darrimouth Av. Pleakar's Levis 605 Darrimouth Av. Pleas Sale 88 vale Potman Ross Am. (1909 94 Potman-Wood Farms RR.) Box 54	456 2960	Schicke Donne RR 1 Dex 113. Schmeis sally RR. Schmeis Cand RR 1 Box 110A. Schmeid Emrel Dox 157 Valle. School Horlan K & Barg K RR valle. School Harty RR Valle.	456-2419 456-2405 456-2405 456-2074
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Pasker's Levis 605 Dartmouth Av. Per Sain 88 Valle Petran Ross Am. 1 500 54 Petran-Wood Farms RR 1 801 54  POLICE TO SUMMON POLIC	456 2960 454 2975 436 2512	Schicke Donne RR 1 Dex 113. Schmeie Gatel RR 1 Box 110A Schmeie Carol RR 1 Box 110A Schmeit Emrel Dox 157 Vale Schnot Histan K & Baro K RR vale Schnot Harty RR vale Schools High School Fax	456-2419 466-2405 456-2405 456-2740 456-2740
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Pleaka's Levis 605 Dartmouth Av	456 2960 454 2976 497-2912 496 2512	Schicke Donne RR 1 Dev 113. Schmeie Gays RR 1 Box 110A Schmeie Carol RR 1 Box 110A Schmeid Emrel Dox 157 Vale Schnot Harlan K & Barb K RR vale Schnot Harty RR vale School High School Vacil Rue Gurane	456-2419 456-2405 456-2405 456-2360 456-2740 456-2740 456-2396 257-2213
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Page 1 Let 16 605 Dartmouth Av.  Per Dark NA Valle Fittman Hoss Am 1 Dos 04 Pittman-Wood Tarme RR 1 Bor 54  POLICE TO SUMMON POLIC DIAL  Newell 451	456 2960 454-2978 454-2917 454 2512 E 911 5-2357	Schicke Donne RR 1 Dex 113. Schmeie Garol RR 1 Box 110A Schmeie Carol RR 1 Box 110A Schmeit Emrel Dox 157 Vale Schnot Horlan K & Baro K RR vale Schnot Harty RR vale School High School Versill But Gurage 561 Dartmouth Av Rem II Eleverolary	456-2206 456-2306 456-2306 456-2306 456-2306 456-2306 456-2306 456-2306 456-2306 456-2306
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Page 1 Let 16 605 Dartmouth Av.  Per Dark NA Valle Fittman Hoss Am 1 Dos 04 Pittman-Wood Tarme RR 1 Bor 54  POLICE TO SUMMON POLIC DIAL  Newell 451	456 2960 454-2978 454-2917 454 2512 E 911 5-2357	Schicke Donne RR 1 Dex 113. Schmeie Garol RR 1 Box 110A Schmeie Carol RR 1 Box 110A Schmeit Emrel Dox 157 Vale Schnot Horlan K & Baro K RR vale Schnot Harty RR vale School High School Versill But Gurage 561 Dartmouth Av	456-2206 456-2306 456-2306 456-2306 456-2306 456-2306 456-2306 456-2306 456-2306 456-2306
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Passays Levis 605 Dartmocth Av. Pelassays Levis 605 Dartmocth Av. Pelassays Levis 605 Dartmocth Av. Pelassays Levis 605 Dartmocth Av. Petranewood Tamer RR 1 Bar 54  POLICE TO SUMMON POLIC DIAL  Newell 451 Value Dispatch 891  Post Marter's 102 Fax. Post Fax	456 2960 454-2978 454-2917 456 2512 E 911 6-2357 2-2737	Schicke Donne RR 1 Dex 113 schmele Garol RR 1 Box 110A Echnide Earol RR 1 Box 110A Echnide Emrel Dox 157 Vale School Horlan K & Baro K RR vale School Harty RR Vale School Harty RR Vale School Far Middle School New II Barrol Gary Now II Earler Gary Now II Emirol Gary Now II Consol School S	456-2419 456-2605 456-2605 456-2605 456-2740 456-2396 257-2213 456-2290 456-2292 456-2292 456-2623 456-2623 456-2623 456-2623 456-2623 456-2623 456-2623 456-2623 456-2623 456-2623 456-2623 456-2623 456-2623 456-2623 456-2623 456-2623 456-2623 456-2623
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Passa's Levis 605 Dartmouth Av.  Passa's Levis 605 Dartmouth Av.  Passa's Levis 605 Dartmouth Av.  Passa RA Vale  Fittman-Wood Farms RR 1 Bar 54  POLICE TO SUMMON POLIC  DIAL  Nowell 451  Vale Dispatch 891  Fast Austin 510-5-3  Post Margard 102 Fast Post I N.  Post Fast Cotte 211 Grand Av.  Internal Franch PO Box 515  Public Library 205 Shirts	456-2546 456-2512 E 911 6-2357 2-2737 456-2141 456-2145 456-2145 456-2145 456-2145 456-2546	Schicke Donne RR 1 Bex 113 schmele Garol RR 1 Bex 110A Schmele Carol RR 1 Bex 110A Schmele Carol RR 1 Bex 110A Schmele Carol RR 1 Bex 110A Schmele Emrel Dex 157 Vale Schnot Hartan K & Barg K RR vale Schmel Harty RR vale Schmel Madili School New But Garage 561 Dartmouth Av New II School 1501 Dortmouth Av Schmele Darin 320 7th St Schmele Darin 320 7th St Schmele Darin 320 7th St Schmele Darin 320 Glear Av Schmele Marty 821 Glear Av Schmele Faul & Leure RR 1 Box 512 Vale Schulte Faul & Leure RR 1 Box 512 Vale Schulte David 821 Bth St Schwertz Poper & Agree 156 Vale Schwertz John RR 1 Box RS	456-2419 466-2405 456-2405 456-2574 456-2360 456-2740 456-2396 247-2213 456-2200 456-2582 456-262 456-263 456-263 456-263 456-263 456-263 456-263 456-263 456-263 456-263 456-263 456-263
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#### WARRANTY DEED

CONTRACTOR OF THE PROPERTY OF

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CHRISTINE McDERMOTT, a Single Person, Grantor, of Butte County, State of South Dakota, for and in consideration of One Dollar (\$1,00) and other good and valuable consideration, GRANTS, CONVEYS AND WARRANTS, to JAKE E. ROTTENBUCHER and KATHY ROTTENBUCHER, Husband and Wife, as joint tenants with right of survivorship, Grantees of 413. 9th Street, Newell, South Dakota 57760, the following: described real estate in the County of Butte, in the State of South Dakota:

A parcel of land in Newell, Butte County, South Dakota, described as follows: Starting at a point on the North line of Lot 5, Block 54, in said City of Newell, which point is 134 feet West of the Northeast corner of said Lot 5, thence directly South 108.59 feet, thence West along the South side of said Lot 133 feet, thence North 108.59 feet, thence East along the North line of said Lot 133 feet to the point of beginning.

subject to easements, reservations, restrictions and covenants of record.

SOUTH DAKOTA STATE TRANSFER FEE: \$43.50

Dated this 30 day of September, 1996

Christine M. Dermott

STATE OF SOUTH DAKOTA) COUNTY OF BUTTE

On this 3,444 day of September, 1996, before me a Notary Public within and for said County and State, personally appeared CHRISTINE McDERMOTT, known to me to be the person who is described in and who executed the within and foregoing instrument and she acknowledged to me that she executed the same for the purposes therein contained.

Commission Exp.: June: 33 2119

PRIPARED BY HANKER & HIBBARD F. O. Box 560 Sturgie, 85 (405) 347-2551

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#### BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF SOUTH DAKOTA

AUG 1 4 1997

IN THE MATTER OF THE COMPLAINT FILED BY KATHY ROTTENBUCHER, NEWELL, SD, AGAINST STATELINE TELECOMMUNICATIONS, INC. REGARDING UNPUBLISHED ADDRESS SOUTH DAKOTA PUBLIC UTILITIES COMMISSION

CERTIFICATE OF SERVICE

TC97-107

I, Randall L. Macy, do hereby certify that on the \( \frac{1}{2} \) day of August, 1997, I caused a copy the Answer and Motion to Dismiss to be served upon Ms. Kathy Rottenbucher, 413 Ninth Street, Newell, SD 57760; by depositing a copy of the same in an envelope securely sealed and with postage fully prepaid thereon, in the United States mail at the City of Belle Fourche, Butte County, South Dakota, and addressed to the above named addressee at the foregoing address, the same being the last known address of said addressee.

Randall L. Macy

## **NEXT**

DOCUMENT (S)

**BEST IMAGE** 

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From: JAKE ROTTENBUCHER 413 9th St. Newell, SD Newell, SD

TEGT-107 RECEIVED

SEP 25 1997

SOUTH DAKOTA PUBLIC UTILITIES COMMISSION

To: Public Utilities Commission, of South Dakota State Capital pierre, so 50501

Spt. 23, 90

Dear Ms. Levi Israly, & PUC —
This letter is in response to the
phone call from Bill, about the Matter,
OF THE COMPLAINT filed by My wife, fathy.
It wasn't painted out to her when she filed
her original Complaint against stateline
Tele communications, that there is a problem
with her filing for us instead of my seif, let
this letter now stand with her original
complaint with my signature.

Further the SDCL Chapters 49-13 and 49-31 and ARSA 20:10:01:08.01 and 20:10:01:09 are Suitable as other codes binding Stateline.

Anditerence to all Mr. Macy's posturing, My address is my wifes address, Never clid she state her name was published. It's Foolish

for Mr. Macy to think that were seperate. That what affects my with affects me., Never was our town requested to be published as stated in article I OF Macy's Answere : Motion. (A phone & states this.) There's no question our rights have been violated. That state line breached our privacy and betrayed our trust and violating codes address. W. Macy presumes to Know our personal & private business when he doesn't even have the Fects of this case clear. It was a violation, because they disclosed an address that was insisted on being unpublished. By making it public we lost our aninimity, and confidentiality. We did not give up our right; they were taken from us. Unce made public, its possible and more likely the source of someone locating us. In this case, our case, it isn't unreasonable to expect privacy. We Weren't Keeping it From everyone or the people of our town, It was a reasonable act against three

specific people, now living out of state, (this was stated at the list hearing) these people would not know IF our moving, until they called and got a disconnect, then they'd know to gain intermution that we moved to a new county and then have Mr. Mucy failed, after chiefully point ky other interpretion, that the county sent / court house is in Belle Fourche, the same geople would have to learn that as well. Ulso gointed out in affice III, Mr. Macy pointed out it we would Of lequested an unlisted number it would not it been published. First our lequest, (insistance) of Keeping our address unpublished falls under that same code and expectance of protection. Second, also as Mr. Macy points out any one could or getten our unlisted number the supre way they could get our additess from the court house. The altererence is they did not publish

OUT address and make it public, Stateline did. That iliminates hapistance, the hat is its available, and easily accessed by any person in a well known and the well used us well well used us west phone book.

Further as in Article II - we take great offense to Mr. Macy's over lawy ering and making irretevent prints by sending a copy of our deed to you that's a mute point. It just makes a good case for non-olisclosure and more privacy laws.

Stateline more than admitted wing aling at the last hearing, and took full responsibility for their behavior. It was also admitted to my wire by the office manager, he neglected to do his job, he stated he relied on his office employee to or her job. It was intimated that somehow it was our fault because their computers didn't work accurately, because it we ordered a non-published number somehow the error wouldn't of

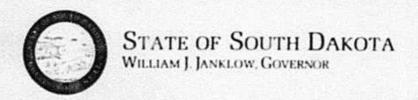
happened, both by Stateline & Mr. Macys When all else fails blane the victim. It also should be mentioned here that when Kathy, called in and asked for the workerder she insisted on a non- published address the stateline employee Failed to Say she cannot provide this service hor did she check with her supervisor she assured my wife she would take care of it, also at this time she should have wormed lathy, that they give their customers names to HS West, and at no time did this pappen, Mathy, took all the steps neccessary to assure the service we expected; Stateline triped. They at no time ever asked any customer if they wish their name to be given to us west. US west don't service this area & Rapid City, is over Fifty miles away, there's no need for Stateline to grouide intermution they chose too, and that's what not regulated. Not the negligence and Violations Committed by Stateline.

hast, I need to remind you that we also are asking for Board of Directors names and addresses, that there may be a conflict of interest for Mr. Henderson, since he works at Stateline and out of West River Co-of, and we wonder it hes also on the Board of Directors, If we could OF visited with them, there may not of been a need to take up your Commissoners time. The violation of our trust, our Contidense, customer protection of information, and our privacy, the duress, the admitted harm clone by both Mr. Henderson & his affice Manager Jerry, and Jerrys, own admittance to Kathy : ill. Henderson, he intentionally, & purposely (without malice) neglected his duties on the job, that resulted in our address being published. Therefore there is SUFF-ICIENT REASON PURSUANT TO SDOUC and SO LAW AND 20:10.01:11:01. The Maccuracy of Mr. Macy

and his office should be embarrassing please, note his phone exhibits he sent you. They are inaccurate.

In closing I'd like To add thom a letter we received from Covernor Janklow, quote, "Good phone service, provided exactly the way the eustonier needs it, is especially crucial in the small towns and rural areas of our state" un quote.

413 9th 37 Newson, 50 32768



June 9, 1997

Kathy Rottenbucher 413 9th Street Newell, SD 57760

Dear Kathy:

Thank you for writing to me and describing, in considerable detail, the problems that you have been having with your telephone service from Stateline. Good phone rervice, provided exactly the way the customer needs it, is especially crucial in the small towns and rural areas of our state.

Under South Dakota law, the Public Utilities Commission (PUC) has the authority to regulate the adequacy of service provided by telephone companies to their customers. You have the option of filing a formal written complaint with the PUC. To discuss the filing of such a complaint, you can call the PUC's consumer complaint specialist, Leni Hook, at (800) 332-1782. The call is toll free.

If you do decide to file a complaint, be sure to be very specific about all the details--names, dates, and comments. Also, be specific about what you think the company should do in order to resolve the problem to your satisfaction.

Thank you for your kind comments about our battles with all the blizzards and the flooding Maybe our weather will finally return to normal--whatever that is.

Sincerely,

William V Janklow

WIJAmd

EXECUTIVE OFFICE

STATE CAPITOL 500 EAST CAPITOL PIERRE, SOUTH DAKOTA 57501-5070

605-773-3212

## OF THE STATE OF SOUTH DAKOTA

IN THE MATTER OF THE COMPLAINT FILED ) ORDER FOR AND NOTICE BY KATHY ROTTENBUCHER, NEWELL, SD, A G A I N S T S T A T E L I N E ) TELECOMMUNICATIONS, INC. REGARDING ) TC97-107 UNPUBLISHED ADDRESS

On June 23, 1997, the South Dakota Public Utilities Commission (Commission) received a complaint from Kathy Rottenbucher (Complainant). Newell, SD, against Stateline Telecommunications, Inc. (Stateline) regarding an unpublished address. Complainant states that she specifically requested an unpublished address, but her address was published in the Rapid City telephone book for West River customers. Stateline provides customer information to U S WEST Communications, Inc. for the purpose of publishing telephone books. Complainant requested proof of written reprimands for all parties, proof that changes were made to avoid similar mistakes in the future, access to the Board of Directors, and one thousand dollars for violation of trust, emotional and mental anguish, duress, and inconvenience.

At its regularly scheduled July 15, 1997, meeting, the Commission found probable cause of an unlawful or unreasonable act, rate, practice or omission and served the complaint on Stateline. Stateline filed its response on August 14, 1997.

The Commission has jurisdiction over this matter pursuant to SDCL Chapters 1-26, 49-13, and 49-31, and ARSD 20:10:01:07:01 through 20:10:01:15:01.

The issue at the hearing is whether Stateline's release of the Rottenbuchers' address for publication is an unlawful or unreasonable act, rate, practice, or omission which caused the Rottenbuchers to suffer damages.

A hearing shall be held from 10.00 a.m. until noon MST, on Monday, October 27, 1997, in the Third Floor West Meeting Room, of the City/School Administrative Center, 300 6th Street, Rapid City, South Dakota. It shall be an adversary proceeding conducted pursuant to SDCL Chapter 1-26. All parties have the right to be present and to be represented by an attorney. These rights and other due process rights shall be forfeited if not exercised at the hearing. If you or your representative fail to appear at the time and place set for the hearing, the Final Decision will be based solely on the testimony and evidence provided, if any, during the hearing or a Final Decision may be issued by default pursuant to SDCL 1-26-20. After the hearing the Commission will consider all evidence and testimony that was presented at the hearing. The Commission will then enter Findings of Fact, Conclusions of Law, and a Final Decision regarding this matter. The Commission's decision may be appealed by the parties to the state Circuit Court and the state Supreme Court as provided by law. It is therefore

ORDERED that a hearing shall be held at the time and place specified above on the issue of whether Stateline's release of the Rottenbuchers' address for publication is an unlawful or unreasonable act, rate, practice, or omission which caused the Rottenbuchers to suffer damages.

Pursuant to the Americans with Disabilities Act, this hearing is being held in a physically accessible location. Please contact the Public Utilities Commission at 1-800-332-1782 at least 48 hours prior to the hearing if you have special needs so arrangements can be made to accommodate you.

#### CERTIFICATE OF SERVICE

The undersigned hereby certifies that this document has been served today upon all parties of record in this docket, as listed on the docket service list, by facsimile or by first class mail, in properly addressed envelopes, with charges prepaid thereon.

or likene Kalls

Date 10/15/97

(OFFICIAL SEAL

BY ORDER OF THE COMMISSION

Commissioners Burg, Nelson and Schoenfelder

WILLIAM BULLARD, JR

**Executive Director** 

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### BEFORE THE PUBLIC UTILITIES COMMISSION

OF THE STATE OF SOUTH DAKOTA

IN THE MATTER OF THE COMPLAINT FILED BY KATHY ROTTENBUCHER, NEWELL, SD, AGAINST STATELINE 'ELECOMMUNICATIONS, INC., *KEGARDING UNPUBLISHED* ADDRESS

TC97-107

HEARING

## ORIGINAL

DATE: October 27, 1997, at 10:00 a.m.

PLACE: CITY/SCHOOL ADMINISTRATIVE CENTER Third Floor West Meeting Room 300 Sixth Street

Rapid City, South Dakota

#### APPEARANCES:

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MR. JIM BURG, Commission Chairman

MS. PAM NELSON, Commissioner

MS. LASKA SCHOENFELDER, Commissioner

MS. ROLAYNE WIEST, Commission's Counsel

MS. KATHY ROTTENBUCHER

MR. JAKE ROTTENBUCHER

MS. KAREN CREMER, PUC Staff Attorney

MR. RANDY MACY, Attorney for Stateline Buckmaster & Macy 1501 Fifth Avenue Belle Fourche, SD 57717

MR. DARRELL HENDERSON, Stateline

By Cynthia M. Weichmann Certified Shorthand Reporter Repol Coty, All Street

Wheeler Court Reporting 618.1/2 St. Joseph Front Charle 200

# ORIGINAL

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All parties have the right to be present and to be represented by an attorney. All persons so testifying will be sworn and subject to cross-examination by the parties.

The Commission's final decision may be appealed by the parties to the State's Circuit

Rolayne Wiest will act as Commission counsel. She may make rulings during the course of the proceedings. The Commission may overrule its counsel's preliminary rulings throughout the hearing. If not overruled, preliminary rulings will become final rulings.

I'll turn it over to Rolayne Wiest at this time to conduct the hearing.

MS. WIEST: I'll first take the appearances of the parties.

> Could you state your name for the record? MS. ROTTENBUCHER: Kathy Rottenbucher.

MS. WIEST: And will your husband also be testifying?

MS. ROTTENBUCHER: Not necessarily, unless you need him to.

MS. WIEST: State your name.

MR. ROTTENBUCHER: Jake Rottenbucher.

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The second secon	
1	MS. WIEST: And Stateline?
2	MR. MACY: Randy Macy, attorney.
3	MR. HENDERSON: Darrell Henderson,
4	manager.
5	MS. WIEST: Does anybody have any
6	questions or motions before we get to the
7	testimony, any opening statements by either of
8	the parties?
9	COMMISSIONER BURG: Do we need the
10	appearance of staff?
11	MS. CREMER: Karen Cremer for staff.
12	MS. WIEST: Are there any opening
13	statements or motions from any of the parties?
14	MR. MACY: I would like to make one.
15	MS. WIEST: Did you have any opening
16	statement?
17	MS. ROTTENBUCHER: I don't know. I'm
18	ready to start.
19	MS. WIEST: An opening statement isn't
20	actually evidence, so if you want to save your
1	testimony for later, you can. You don't have
12	to make an opening statement.
13	Mr. Macy?
4	MR. MACY: Thank you. Stateline has
5	admitted that the Rottenbuchers requested that

their address not be included in the telephone directory. What they requested was that the name of Jake Rottenbucher appear in the phone directory and that the telephone number appear in the phone directory.

Stateline had a manual filing system in place at that time. For some reason, that did not get caught when the information was provided to US WEST for publication in their directories.

We don't believe -- we admit that that
was a mistake and it should have been caught,
but we don't believe that that constitutes
it's an unlawful or an unreasonable act, rate,
practice, or omission under South Dakota law
or PUC regulations and ask that you find that
after testimony and that you dismiss the
complaint.

I also want to talk just a minute about damages, because Stateline has never been advised as to how the Rottenbuchers have been damaged by including their address in the telephone directory. Basically the only correspondence has been we asked you not to, it was included, you owe us money. We have

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never been advised as to how they claim that they've been damaged. The only indication we've had at all was in the letter from Mr. Rottenbucher that went to the PUC that we didn't even receive until after the last hearing that indicated that -- and I'm reading from his letter -- we weren't keeping it, our address, from everyone or the people of our town. It was a reasonable act against three specific people now living out of state. And apparently they're claiming that somehow they've suffered some emotional damage because of this. And I believe the South Dakota Supreme Court has already decided that the PUC cannot find damages for these types of claims of emotional distress; therefore, I don't believe the PUC has authority to award the types of damages that the Rottenbuchers are apparently claiming.

Thirdly, at the time that this was done,
Stateline had a manual filing system. They
were in the process of upgrading that to a
computerized system that was available at the
time, but had not yet been put into place. It
had just become available. Stateline has now

put that computerized system in place, so now they have not only the manual, but also the computerized system that will, hopefully, prevent this from happening in the future.

We would ask that the PUC, after hearing the evidence, dismiss the complaint, or if you do not dismiss, in the alternative, do not award damages.

MS. WIEST: Does the staff have any comments?

MS. CREMER: The staff has no comments.

MS. WIEST: Ms. Rottenbucher, at this time I'm going to have you sworn.

KATHY ROTTENBUCHER

called as a witness, being first duly sworn, testified as follows:

MS. ROTTENBUCHER: I'm net sure exactly what day it was, but when we transferred and was preparing to move to Newell, I requested verbally over the phone -- I had discussed with Stateline's employee -- I don't remember her name -- I specifically insisted that our phone number only be listed and that our address not be. And at the time that she took the work order, she did not state that she had

a problem with it or that she needed to get -talk with her supervisor or the manager and -and -- nor, at that time, because of US WEST
not servicing that area, they no longer
service the area, that I had any reason to
believe that I should have to ask that our
phone number -- our address also be
nonpublished in that. And at the time that
she took my work order, when she took it, she
agraed that she would take care of it and she
told me that she -- she assured me that it was
going to be all right. Because I kept asking
her -- I asked her several times to make it
clear, and she told me she'd take care of it
and that she had no problem with it.

And as far as I'm concerned, they also -it's clear acknowledgement when they put it in
the phone book in Newell, they left out our
address, so they acknowledged that work order
and accepted it. They had that information
and they okayed on it, so -- and we were in
that oral contract. By that, they recognized
that that's my request.

And -- and then later on in the spring, I just by chance came upon our address being

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included in that -- the Black Hills phone book and I called that Friday, because it was the night before, and it was closing when I found it, so I called first thing Friday and talked to Jerry, which is his office manager, and I really laid into him about it and told him, and he told me that he had to get back to me about whose fault it was that the address was included, and he took all day doing it. There's no reason for him to do that. He already knew it was his fault. And finally, by the end of the day he called me back and he told me, in his own words, that they accept full blame, he takes full responsibility, that his employee did not do her job. She failed to check her records, specifically ours, and I -- and he said the way they do it is she goes through the records, prepares the list for the phone book for US WEST, and then sends it over to him to okay it. And he said he didn't do it. He didn't do his job, that he went by hers and assumed that she did hers and went ahead and sent it off to US WEST and so he -- he said he took full blame for that and acknowledged that there -- that we had been

harmed. Because I told him that as it is in 1 2 print now, there's nothing you can do to take it back out, to make it right for us. Because 3 he asked me what he could do to make it right, and I said, "There is nothing that you could 5 do to make it right. The harm's been done." And he admitted that and after a little bit 7 more discussion, he told me that I would have 8 to go through Mr. Henderson, which wasn't 9 there at the time, and I told -- he said that 10 he would tell him first thing in the morning 11 and make sure that he called -- excuse me --12 13 called me back and he didn't. I waited and waited until the end of the day and I finally 14 got fed up waiting and I called him. And he 15 told me that he was aware of the problem, but 16 didn't have time to get back to me, and he 17 said that he wanted to talk to me about it, 18 and I said, "Let's talk now." And I told him 19 20 what was going on and he said that he was 21 aware of the problem, and I said -- told him that it was pure negligence on his manager's 22 part and he said, "Wait a minute." And he 23 24 called Jerry into the office.

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So we were all talking -- or all

Mr. Henderson said that he took full blame for it as well; that he said that he has all -these kind of incidents happen all the time.

And if that's the case, you ought to be fired.

If it was -- if that happened so readily and they have such an attitude that it is such commonplace. And I told him that I -- I was very unhappy and very angry and I let him know that.

And he -- he wanted to know what he could do to make it right and I said, "Well, I feel that you need to compensate us." And I didn't even mention an amount of money at that time, but he didn't come back with anything, saying "I'm sorry," "Let me help you," "Let me make this up to you." All he -- and he didn't apologize either. He just said he was sorry for the incident, which is pretty disingenuous, and then I told him that I wasn't going to let this just sit, that I was going to follow through and I was going to contact whoever I needed to file a complaint. And he said, "Go ahead and do what you have to do."

And so I took him at his word and I started making calls to everybody, starting with our city officials and county commissioners in our town which is where I got the information for West River Electric Co-Op. Come to find out, Mr. Henderson works there, too, which I think is a conflict of interest. I called them several times, asked them for the board of directors' names. They wouldn't tell me who they were unless I told them who I was and I refused.

The second time I called, I told -- she said that she wouldn't give me the names unless I told her who I was. I told her who I was and then she still refused unless Mr. Henderson approved. So I wrote it in a letter, two certified letters. I sent them to West River Co-Op directed -- I addressed it to the Board of Directors, the President. I told them what the situation was, what my problems were with that, and I never got a response. Instead, the employee forwarded it on to Mr. Henderson and Mr. Henderson on to his attorney.

When I tried to call the post office to

file a complaint, I got a fast education on the fact that once the mail, even certified mail, leaves the post office and it hits their destination, the authorized person that has authority to pick up his mail, that was the end of their responsibility. But they did say that they felt that that was poor ethics and that they would keep an eye out in the future.

After that -- it wasn't long after that that I got a letter from Mr. Macy making his apology for Stateline, which was disingenuous. If Mr. Henderson couldn't make his own apology, I wasn't going to accept it from a lawyer. It would be like someone walking up to you off the street and saying they're sorry.

I wasn't getting anywhere and so I contacted the Governor and he wrote me back and told me if I felt I had a complaint, where to go and what to do. He said it best, more than I even could, and I'm taking it out of quote, but he said we have a right to expect a service that we ask for and that's my premise and -- especially because we are rural. We have to depend on it. And I feel like I'm not

only defending myself here, I'm defending all their customers, because they betrayed our confidence and it was a breach of privacy. So I think all the customers are hurting on this one and -- indirectly and they did violate our confidence and our trust and I feel that that's harm and we should have some compensation for it.

And I -- I'm not real sure all what else you need to hear, except for that they admitted wrong. He admitted wrong in the first hearing and harm was done. It wasn't just a computer glitch. It wasn't like we had call waiting or call forwarding and they didn't give us that service and they're still charging us for it. They actually published an address, which is information that wasn't public information, and I feel that that comes under the same protection as any other information that their clients have or any of their customers have as well as if I requested a nonpublished phone number that, if that was published, that -- that, in my view, is the same violation. It was nonpublic information that was -- should have been held private, and

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especially since I specifically requested it not to be published, that it was private information. The -- whether our address is public knowledge is irrelevant, since you have to make a request for it, and it has to be a specific person going in and asking for the information, it isn't advertised, it isn't in everything -- every paper out there or -- or available to just anybody. So I feel that -that they did do us harm by publishing it. They made it easy. And if the persons that did want to get this information, they didn't have to hunt very hard, since it was accessible to them through any phone book, and that took away our privacy. It took away our anonymity, and I think there was harm done. You can't take something out of print.

And I don't sleep good at nights as it is worrying about this, and his attitude is, well, we screwed up, but you live with it, and I was tired of it. And he didn't have any sympathy or compassion and I feel that because of that coldness and because of what I have been stonewalled with every information I tried to get through there, Stateline or West

River Electric Co-Op, I wasn't getting the information.

At the last hearing you already cancelled their motion to dismiss and said that they didn't have a good enough case to go forward with that and at the end of that, I -- I can't remember who it was, but she specifically requested that they send us some local tariff regulations and I never received them.

MR. MACY: Just -- let me speak to that for a minute, because that did come up in the last hearing. We've never received a request for any tariff information.

MS. ROTTENBUCHER: Yes, you did. You were asked specifically by one of the Commissioners or one of the attorneys that were present to give it, and I asked for it.

And I -- unless you recorded your phone call, you can't prove that I didn't ask for it, and I did. And I'm not sure what other -- any other information that you specifically need to hear, but that's basically my standing on that and I stand by my other testimony in the past two hearings, the motion to dismiss as well as the first hearing.

THE COURT: Mr. Macy? 1 MR. MACY: Thank you. 2 CROSS-EXAMINATION BY MR. MACY: 3 Kathy, what day did you find out about this publication? You said it was on a Friday? 5 No. I didn't. 6 7 The day that you found out, you called Stateline? No. I said I called Friday morning. 8 You called Friday morning. 9 I found out about it Thursday evening. I don't 10 remember the exact date. Whenever the new phone 11 books came out. 12 And you spoke with Jerry Reisenauer? 13 14 Yes. And you said you really laid into him? 15 No, I didn't say that. 16 I thought you testified to that. 17 I said I let him know that I was very angry and 18 A upset, yeah. 19 And he did some investigation and then --20 21 No, I don't know what he was doing. He said that he had to get back to me to find out whose fault it 22 23 was, either US WEST or theirs, and I told him, "You 24 already know whose fault it is," and he said, well, he can't say until he checks. And I said that that 25

1 would be fine and he said he'd get right back to me and he never did. He didn't call me back until the 2 3 end of the day. He said he would have to check and then he'd get Q 5 back to you? 6 He didn't have to check. He already knew it was A 7 his fault. But he did call you back? Q 9 A Eventually. 10 0 And I think you said that Stateline took full 11 responsibility for it? 12 No, he said he did. A 13 Q All right. He did. And he asked you what he could 14 do to make it right? 15 A That's right. And you told him the harm was already done, nothing 16 Q 17 could be done? 18 I told him that, that's right. I told him the 19 harm's been done. 20 And you wanted to be compensated, didn't you? 21 A Yeah, I wanted to be compensated. I wanted it to 22 be made right. He said he didn't know what to do 23 to make it right. He had to talk to Mr. Henderson. 24 Q And you spoke to Mr. Henderson? 25 Yes, eventually I did. A

1	Q	And Mr. Henderson took full blame on behalf of
2		Stateline?
3	A	You'd have to ask him. His actions don't speak
4		with his words.
5	Q	Well, I thought that's what you testified to.
6	A	Yeah, he said he he said he takes full
7		responsibility.
8	Q	And he also asked you what could be done to make it
9		right?
10	A	That's right. And I told him, and he was just fine
11		until it came up to compensation and he just
12		totally shut off and became real unfriendly.
13	Q	And you indicated you wanted \$1 for every phone
14		book?
15	A	No. I told him that would be appropriate, for
16		every phone book that's out there, that he should
17		pay a fine for.
18	Q	In the Northern Hills and Rapid City?
19	A	That's correct. I think that would be right,
20		although that isn't what we asked for.
21		MR. MACY: I don't have anything further.
22		MS. WIEST: Staff?
23	CRO	SS-EXAMINATION BY MS. CREMER:
24	Q	I guess one question I would ask, Kathy: Did you
25		have any reason to believe that your name and

address would show up in the Northern Hills phone book?

- Absolutely not. Because when we moved to Newell -before I moved to Newell, US WEST informed me that
  they don't cover that area, and then when I moved
  out to Newell, I -- I assumed that it was just the
  Newell phone book. Because she didn't state that
  they sell their addresses to US WEST and by doing
  so, they make -- they make money off of our address
  and on my husband's name.
- Q That was my next question. Did they ever tell you that they won't give that information to a third party?
- A No.

- Q There was never any conversation about that?
- A No. But I wouldn't know to ask that, because I assumed that since we lived in Newell and US WEST doesn't cover that area, that there would be no reason for US WEST phone books to be out there.

  They've never, ever said in any of the letters, any questionnaires saying who would like to have this and let the majority rule. They just chose to do that on their own.

Further -- I'd also like to say, since this -- were you done asking me?

Q That's all right.

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I also wanted to say since this came up, too, since 2 we filed the complaint, one, a couple months ago we 3 got in a letter, a customer letter stating that they're making changes in their equipment, which I didn't think would have ever came about if it wasn't for me; and two, this last month they sent 7 another customer letter saying that -- asking that 8 anybody that had any changes in -- that they wanted 9 made in the directory, that they're making up their 10 new directory and they'd like anybody to call. And 11 just Friday or Saturday, I received another 12 customer letter with a list of people they actually 13 forgot to leave out of the phone book and I know 14 that they had several changes just in our area with 15 address changes and I just think that the 16 incompetency runs rampant over there and I -- they 17 just, year after year, published their phone book 18 without making any omissions and changes. They 19 only added new customers and they never bothered to 20 make any changes otherwise. And until this came 21 up, I don't think they would have bothered now, 22 either, unless -- and, of course, because of my 23 problem. I don't think that my problem would have 24 25 came up -- would have -- they wouldn't have made

- any changes without this problem, I'm saying.
- Q Do you know: Could you have purchased nonpublished, nonlisted services?

- A I didn't ask because we've always had an unlisted -- unpublished address. All the twenty years of service -- over twenty years of service, I've always had just address -- just our phone number. Always have, so I never asked for -- and she never said, "We can't -- we can't provide you with that service," or "I'm unsure if we can do that. Let me get back to you or let my manager get back to you." Nobody ever said that to me. In fact, she assured me that she'd take care of the problem.
- Q And then could you explain to us what is inaccurate with the exhibits -- well, they're not exhibits that we put in -- that Mr. Macy attached to his motion to dismiss?
- A That's correct. They have us in the Newell phone book, when actually we're not in the Newell phone book, and in the Black Hills phone book, they have us as not in it, when we are. He let it come out of his office that way and that's not accurate.

MS. CREMER: I think what I'm going to do, because I'm still confused, I'm going to

have those marked
A It says Newell up at the top.
MS. CREMER: I'm going to have these
marked and put these in.
MS. WIEST: Do you want the complaint in
as evidence?
THE WITNESS: Yes, I would.
MS. WIEST: Do you have any other
exhibits?
THE WITNESS: No, I don't have any.
MS. WIEST: Just the complaint?
THE WITNESS: Yes.
(Exhibit Nos. 1 through 3 were marked for
identification by the reporter.)
MS. WIEST: So what's been marked as
Exhibit 1?
MS. CREMER: 1 is the complaint. 2 is
what's Stateline directory on the top, and 3
is US WEST on the top.
MS. WIEST: Is there any objection to
admitting these exhibits?
MR. MACY: These are off of mine, so 2 is
A STATE OF THE STA
COMMISSIONER BURG: Can I have a
clarification? Do we need somebody to testify

that Exhibit A is Stateline? It doesn't say 1 2 so. THE WITNESS: It just says Newell or Vale 3 and that's what we got. COMMISSIONER BURG: I'd like somebody to 5 verify that that is Stateline's. Both A and 6 7 B, we need to know where those pages came from. 8 THE WITNESS: This is how we received 9 them and there was nothing on here. This says 10 Newell-Vale, so we assume this was out of a 11 12 Newell-Vale phone book, and this was out of 13 the Rapid City phone book. Since they weren't clearly marked, you can't assume one way or 14 15 the other, since he sent them that way, he 16 didn't have them marked. 17 So I'm going to start with, from the top, with 18 Exhibit 2 --19 Apparently that's -- I don't know. A Did you write "Stateline" directory? 20 Q

A I don't know, because it wasn't sent to us.

know who did that.

think this comes out of?

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No, I didn't write any of that on there. I don't

I don't know, either, but what phone book do you

1		MR. MACY: Could I see that exhibit?
2	A	And this is marked Newell-Vale and I'm assuming
3		this is out of the Newell-Vale phone book.
4	Q	And this is Exhibit 3 you're talking about?
5	A	Yes.
6	Q	And so
7	A	So I'm assuming that this is the Newell phone book.
8		It doesn't say it's not. It says Newell-Vale. The
9	la de	other one, it doesn't have anything on it, other
10		than somebody wrote "Stateline" yeah,
11	-	"Stateline" on the top. So it was their confusion,
12	1	since he didn't mark them clearly when he sent them
13		in.
14	Q	And maybe we can ask Mr. Henderson when he
15		testifies.
16		MR. MACY: You can do it right now, if
17		you'd like, if that would clarify it.
18		COMMISSIONER BURG: Well, we'll put him
19		under oath.
20	Q	But that's what your concern was with the
21		inaccuracy of the exhibits?
22	A	Yes.
23		MS. CREMER: That's all the questions I
24		have.

1	REC	ROSS-EXAMINATION BY MR. MACY:
2	Q	The concern about the inaccuracy is what?
3	1	MR. ROTTENBUCHER: Which phone book is
4		which.
5	Q	Kathy, your husband's name and phone number has
6		always appeared in US WEST's Northern Hills and
7		Rapid City directories, hasn't it?
8	A	I would assume so, since we lived in Sturgis and
9		they have US WEST phone books there.
10	Q	So it's been there for the thirteen years you lived
11		in Sturgis?
12	A	The name has. Not the address.
13	Q	But your name and number has been in the US WEST
14		Northern Hills directory and also the US WEST Rapid
15		City directory?
16	A	As far as I know. What's that have to do with the
17		Newell phone book? And they aren't clearly marked.
18		You sent them two separate sheets, two separate
19		phone books. This one doesn't say it's out of
20		Stateline and this one didn't say it was out of
21		US WEST. You had Newell-Vale. That's the only way
22		we could tell.
23	Q	Didn't my motion say where they came from?
24	A	You said it came out of the Newell and US WEST. It
25		doesn't say which one is which and it doesn't

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1	915	matter.
2	Q	Well, the only reason was to clarify that your
3		address didn't appear in the Stateline directory.
4	A	That's correct. And that acknowledges the fact
5		that what we requested.
6	Q	But your address did appear in the Northern Hills
7		US WEST directory and the Rapid City directory?
8	A	Yeah; and it wasn't supposed to.
9	Q	And those two exhibits would reflect that.
10	A	Somehow, if you know which one is which.
11	Q	Well, it says right in the answer that US WEST is
12		marked Exhibit B and Stateline is marked Exhibit A.
13	A	Well, I know, but it doesn't say on top, so you'd
14		would have to assume. You can assume what you
15		want. It doesn't matter. It's irrelevant.
16	Q	All right.
17		MR. MACY: I have nothing further.
18		MS. WIEST: Commissioners, any questions
19		of this witness?
20		COMMISSIONER BURG: No. I believe the
21		only question I did have was that it in
22		the you have said that in the Newell phone
23		book, it was listed as you requested.
24		THE WITNESS: Yes, in the Newell phone

book, it is.

COMMISSIONER BURG: Actually the

Stateline, West River Stateline, it was listed

as you requested.

THE WITNESS: Yes.

COMMISSIONER BURG: It was only in the

US WEST directories is where the violation was

of your request.

THE WITNESS: Yes, that's right.

COMMISSIONER BURG: I believe that's all I have.

commissioner schoenfelder: I just have one for clarification. It's probably been stated before, but I just would like you to state it again. The Stateline phone book is published what date? Do you have dates on that?

MS. WIEST: I think we'll probably have to wait, because Mr. Henderson is next, to answer those questions.

COMMISSIONER BURG: I do have one or two others that I was wanting to ask.

In the last statement that we got, I think it was mailed from Mr. Rottenbucher, you insinuated or indicated that you were doing that for the purposes of some people you did

not want to know where you live that are not in state. Is that correct?

THE WITNESS: That's correct, yes.

COMMISSIONER BURG: As a result of this happening, have you had any of your concerns actually occur?

THE WITNESS: We have had quite a few phone calls in the middle of the night and all times during the day where there's been hang-ups. We can't prove who it is unless they speak and then we can monitor. There's no way to prove it's them until they either show up at the door or actually say who they are or start talking, then I know the voice, so I'll know.

COMMISSIONER BURG: But your name or your husband's name and number were in the phone book. There's nothing to indicate that the adding of the address would add to the ability to make that call, is there?

THE WITNESS: No; but with the addition of the address, they don't have to call, now, to try to find us. They know right where to go.

COMMISSIONER BURG: I agree with that,

but right now, all you're saying is the
problem is phone calls?
THE WITNESS: Up until now.
COMMISSIONER BURG: And the name and
number were in the phone books at your

request?

THE WITNESS: Yes, the phone number and name.

COMMISSIONER BURG: Have you had any actual incidents or damages that are due to the fact of being able to know your address, that you're aware of?

THE WITNESS: Yes. I have no sleep. I'm constantly worried. I'm in fear. I had a physical contact with her. I have a right to be feared. We had -- the last incident we had, the FBI was involved. This is not a whim or a whine. I have a genuine concern here.

COMMISSIONER BURG: I'm not questioning that in any way. I'm just saying: Has any actual physical incident at your residence occurred?

THE WITNESS: Not at this moment. That doesn't mean it won't.

COMMISSIONER BURG: That's all the

bad enough, they're going to get it. Having an unpublished number doesn't guarantee your safety. This way, the phone number is there. If they want to call, they'll more than likely make the aattempts to call. That's what happened before. They went through phone calls first. So I feel safe enough through that, that if my phone number is available to them, if they're going to harass or cause trouble, they're going to try through the phone.

Now that the address is available, I also now have to be physically concerned that they show up at the door. Of course, I have the police to back us up if we need that, but why should we have to do that?

commissioner schoenfelder: I'm not questioning it. I just want to know why you didn't have -- you have the right to ask for that. I'm not questioning your right.

THE WITNESS: Just that. If they're going to call, I'd rather -- or they're going to make any kind of contact, I'd rather they call and I can get it there and -- then have them actually hunting us down and then showing

up at the doorstep. The people we're talking about is my son from a previous marriage and his stepmother and dad, to make the record clear. These aren't just ghosts or somebody I'm making up in my mind and there is an FBI record, that they were called in before.

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MS. WIEST: For the record, then, what specific damages are you asking for today?

THE WITNESS: Because they won't -- they wouldn't work with me, they wouldn't talk with me, because they wouldn't give me the information I requested, either board of directors or the tariff regulations or just being genuine sorry about it, I ask for a thousand dollars. Partly because that's about what it would cost for us for buying a house, the closing costs, moving expenses with the moving van, and setting up services to have to move, we'd have to -- if we had to move to start all over, the same thing. And it's just a number that if they would have even tried to attempt to settle this, I wouldn't have been specific about a number, but I am asking for a thousand dollars. I feel that harm has been done. They admitted harm was done. They

admitted that he did wrong. I think I should have a right to have some kind of compensation for -- for the pain and anguish of this. And if we were in civil court and I had some kind of damages, whether it was real or minor, I'd still be allowed to have other compensation besides the actual damage, and this is actual damage with it being printed in the phone book.

MS. WIEST: Any other questions of this witness?

COMMISSIONER NELSON: Is the rationale for the thousand dollars -- you said for the closing costs and costs that would be associated with if you had to move. Do you intend to do that?

THE WITNESS: Not at this moment. If we were forced to, you know, who knows? If we did, if there was some chance in here, that it would just be a decision that we'd have to make and it would -- we'd have to have the phones to do it. We couldn't just up and move. We have all our money invested in the home and setting up services and we've never been late with our phone bill. We've paid it

in full.

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That was another thing, too. My phone bill that we received has an error. They have a total column and they didn't even add that up right and they had me on three way calling and I don't have that. There's just a lot of bumbling over there, incompetency, and I think just for the anguish and the dis -- and the shock of seeing it in print when it shouldn't have been in print, I think deserved something, anyway, and -- I don't know how to specifically say any more about that, but to me, that's harm done and I feel that something should be -- that's our only way of punishing them. Otherwise, they walk away with: We made a mistake. So what? We need to be able to punish them in some way that's going to sting them, and a thousand dollars isn't going to hurt them. It's a sting, but it isn't going to hurt them.

MS. WIEST: Any other questions?
(No response.)

MS. WIEST: Do you have any further witnesses?

MS. ROTTENBUCHER: Not at this time.

MS. WIEST: Thank you. Mr. Macy? 2

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MR. MACY: I don't have any witnesses.

MS. CREMER: To make the record, I think I would call Mr. Henderson, then.

MS. ROTTENBUCHER: I wanted to answer her question earlier. Our Newell phone books came out, I think, in February or March, it was early on, and the US WEST phone books didn't come out until, I think it was -- was it March or April? It was April. It was quite a while later. So we didn't know that that happened until they were -- my kids and my husband were delivering phone books. That's the only reason why we would have known about it. I just happened to glance in it and I don't know what made me do it, but I was in shock when I seen it, and so -- the US WEST phone books did come out, it seemed like a month or two after the Newell phone books.

COMMISSIONER SCHOENFELDER: I'd still like to have Mr. Henderson answer that.

DARRELL HENDERSON,

called as a witness, being first duly sworn, testified as follows:

1	DIR	ECT EXAMINATION BY MS. CREMER:
2	Q	Would you state your name and address for the
3		record, please?
4	A	Darrell Henderson. I reside in Bison, South
5		Dakota.
6	Q	And what is your occupation?
7	A	I am general manager for West River Cooperative
8	198	Telephone Company and Stateline Telecommunications,
9		which is a subsidiary of West River.
10	Q	And how long have you been in that position?
11	A	Fourteen years.
12	Q	Are you familiar with the events that
13		Mrs. Rottenbucher discussed earlier?
14	A	Yes.
15	Q	And have you spoken to her on the phone?
16	A	Yes.
17	Q	Would you agree with her that she had asked your
18		employee not to publish her address in the local
19		phone book?
20	A	Yes, that is true.
21	Q	As a matter of policy, would there be a discussion,
22		normally, with a customer that that address is then
23		sold to a third party for publication in other
24		phone books?
25	A	No. And it was not sold in this case either. The

reason the address and phone numbers were in the US WEST directory was that when we purchased those properties from US WEST, the people at the hearing in Sturgis requested that we continue to maintain their listings in the Black Hills directory as well. That was where they had been and we promised them we would do that, and so we put -- we continued to maintain their listings there in the Black Hills directory. Initially, we put them in our own directory. Our own directory is what's referred to as the West River Stateline directory. We do not sell the listings to anybody. Never have.

MS. CREMER: I guess that's all the questions I have at this point.

MS. WIEST: Any other questions of this witness?

COMMISSIONER SCHOENFELDER: I just have a question, Mr. Henderson, the date Stateline West River publishes their directory. Do you know that date?

THE WITNESS: I don't know that date.

It's around February.

COMMISSIONER SCHOENFELDER: So it's March or April?

THE WITNESS: We actually start working on it in December. We get the final draft back to the publisher in January, and it usually comes out in February. I don't know exact dates.

COMMISSIONER SCHOENFELDER: So March,
April would be close?

THE WITNESS: Yes.

COMMISSIONER SCHOENFELDER: And do you know when US WEST gets published?

THE WITNESS: Theirs is later. I think it comes out in April.

COMMISSIONER SCHOENFELDER: I need to ask you another question about the not releasing and refusing to release the board of directors' names, and obviously Stateline, we all know, is a subsidiary corporation. It's spun off. It's not a true co-op; however, the mother company is a cooperative, and so I want to know why you would not release those board of directors' names. I mean, if I -- I feel like I have the right to know that in your -- in your situation, and that's why I'd like to just have a little more explanation of that, please.

THE WITNESS: Well, there's two things there. First of all, she was very irate when she called and when she asked for the directors' names, my feeling was she intended to harass them. The second reason why I didn't release them is like you said, it's not a -- they're not public officials, it's a private corporation, and although the information is available in various places, including at the PUC, that information is available.

COMMISSIONER SCHOENFELDER: Is it? I didn't know that.

MS. ROTTENBUCHER: That's not true.

MS. WIEST: You can't interrupt now.

THE WITNESS: I believe that to be true.

MS. WIEST: Any other questions?

commissioner BURG: Yeah. I'm just trying to recall if I had one. This is one of the questions I would have asked, too, is to concentrate on why they would not be made available. It's for a -- you figure I would -- you figure it's your responsibility to handle those and do not want the directors harassed?

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THE WITNESS: No, I did not want the directors harassed, that part is true. And yes, normally we would handle it, and we make every attempt to meet our customers' needs and their requests, and in this case, obviously, we admitted that we made an error. It got through the system. We updated our system to try to prevent that in the future.

COMMISSIONER BURG: Do you consider the statements that you filed both through your attorney and verbally to her were apologies for what occurred?

THE WITNESS: Yes.

COMMISSIONER BURG: So you testified that --

THE WITNESS: I apologized at least three times during our conversation and I'm sorry if it didn't appear to her to be sincere, because it was. And Jerry Reisenauer, who talked to her prior to I did, handles a lot of consumer complaints. He's very adept at doing those things and he knows how to act with consumers, and so I'm sure his apologies were sincere as well.

COMMISSIONER BURG: Can you explain to us

just exactly how the address did get through what you submitted to US WEST?

THE WITNESS: Yes.

COMMISSIONER BURG: Am I correct in the understanding that US WEST either requested or you fulfilled part of the obligation under the purchase to submit the names to -- for the Northern Hills directory? Is that correct?

THE WITNESS: Yeah.

Let me -- let me just give you -probably clarify it for you. US WEST sends us a proof of the directory and asks us to proof it. In that process, our employee should have looked and picked up the manual information we had in the file that her address was not to be printed. The employee missed it. We don't know exactly how, but she did miss it. We did not miss it in our directory, so we know it was there, and so what we did after that, because we had missed it, we implemented a new program that's called a directory search. Basically it identifies anybody who has an unusual request in terms of their directory listing, and we've maintained that as well as our manual file now. But at that time, this

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was a new program that came out from our service bureau and it had probably been out for three or four months. We were in the process of getting ready to implement it and we had not implemented it yet. We have since this time.

COMMISSIONER BURG: So you did not submit a list to US WEST. They actually initiated the first list?

THE WITNESS: They initiated the first list.

COMMISSIONER BURG: Where did they get their list from?

THE WITNESS: They had Newell in their phone directories from previous years. They were in the US WEST directories, the Newell listings were.

COMMISSIONER BURG: So they submitted what they have alway had in the most recent past directory?

THE WITNESS: I assume the most recent past directory was what they gave us. And our people proofed it; tried to make the changes that we knew had to be made. There were a lot of changes. When we purchased US WEST, we

found a lot of directory information that was wrong on their listings and we were trying to correct all of those things.

COMMISSIONER BURG: So you went through that list, eliminated those names that no longer belonged there, wrong phone numbers, and added names that needed to be added, those type of things?

THE WITNESS: Yes.

commissioner BURG: But in that process, you did not -- that even brings a further question. From the testimony of the Rottenbuchers, they indicated that they had not had an address listing in the former Northern Hills directory.

THE WITNESS: And they may not have had.

What we did was update the list and in that

process, updating, I think that address got

inadvertently put on there. I don't know that

it was in the previous US WEST one either.

COMMISSIONER BURG: So what you're saying is in your process of correcting and proofing, theirs is one of the names you added?

THE WITNESS: Yes. And it was our problem, not US WEST. Just the way it was

done, it was sent to us. They sent their previous year's list. We updated it with our records and that's where the mistake was made.

COMMISSIONER NELSON: Do you handle the nonpublished, nonlisted numbers the same way, manually, that you handled the address?

THE WITNESS: No, we do not. Because the program that we used already had a place for nonpublished, nonlisted, but it did not have a field to set the information for what I'm going to call unique requests, and that's part of the problem. If it's a nonpublished, nonlisted, it's on the program initially, but there was no place for these other types of listings like this.

COMMISSIONER BURG: And I have one more.

Do you feel that the corrections you made

answer her request for this not to happen to
anybody else?

THE WITNESS: Yes, as best we can. Now, you know, we are going to do the very best that we can and we use two programs and a manual file to do it, and I would say that it's 99.99 percent sure it will never happen to anybody else, but having said that,

someplace in the world, it will happen.

COMMISSIONER BURG: One last one I have.

Do you have anybody else that has a similar request?

THE WITNESS: No, we do not.

COMMISSIONER BURG: That's all.

MS. CREMER: If I can, US WEST sent an exhibit which shows what they sent Stateline, but unfortunately, I made copies of it and put it in the original file and then they got left in Pierre, so I can -- we can submit that later as a late filing exhibit.

THE WITNESS: You can. I'm not sure what it shows, but yes, you can. I had a call from US WEST, I think Friday, and they wanted to know if they -- if any of this problem was caused by anything they had done. From Ed Maldencar (phonetic), who you probably know. I told them, no, it was not. It was our own problem in the directory, it was not theirs. They may have been concerned that, maybe why they sent it to you, they were out of the loop and they were being charged with something.

MS. CREMER: What I'll do, I'll send
Mr. Macy a copy and Kathy a copy and if they

have no objection to it, we can put it in as a 1 late file, put it in as Exhibit 4, and then 2 that will show what US WEST had sent 3 Stateline. MS. WIEST: Then with respect to 5 Exhibit 2 and 3, Mr. Henderson, can you verify 6 that Exhibit 2 comes from the Stateline 7 directory and Exhibit 3 from the US WEST? THE WITNESS: Can I see them so I make 9 sure I am identifying the right one? 10 11 MS. CREMER: Yes. THE WITNESS: Exhibit 2 is from the West 12 13 River and Stateline directory. Exhibit 3 is 14 from the White Pages of the US WEST directory. 15 MS. WIEST: Thank you. 16 For the record, I have as being admitted 17 Exhibits 1, 2, and 3. 13 Any more questions of this witness?

Any more questions of this witness?
FURTHER DIRECT EXAMINATION BY MS. CREMER:

- Q And I wasn't listening the whole time you were talking, Mr. Henderson, I aplogize. Did you explain to us what changes were made in the system?
- A Yes.

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Q I thought you did and I was talking to these guys, so if you've already made that for the record, then I don't need that answer.

THE WITNESS: Is it clear to the Commissioners what we've done? I can explain it to her, if not.

Q No, that's all right.

MS. WIEST: Thank you.

Staff, do you have any witnesses?

MS. CREMER: The staff has none.

Ms. WIEST: Do you have any rebuttal testimony you would like to give at this time?

MS. ROTTENBUCHER: I just have a comment about him saying he wouldn't give them to me because I was -- the board of directors' names because I was irate. I never even talked to him. I talked to his -- a woman that was in charge of the office at West River Co-Op and I wasn't irate talking to her. She didn't even know why I wanted them and until I gave her my name, she refused to give me those names. Until she heard my name, that was when she refused, not because I was irate. I had no reason to be angry with her. I was just trying to get some information.

MS. WIEST: Any further testimony at this time?

MS. ROTTENBUCHER: I can't really think of anything that you might need to hear. 2 MS. WIEST: Any further questions of this 3

witness?

COMMISSIONER BURG: I have one for Mr. Henderson. What is the reason that -evidently there's a policy of not giving the names out if they do not identify themselves. Probably a little further record on why that policy is there, also.

THE WITNESS: The reason that there's a policy like that in place is that we get continuous calls for board of directors that companies want to send them brochures or solicitations because they're listed as directors, and these companies around the world that have directors that are on big boards, I think they look at them like that, so there's people trying to make mailings to them, junk mail and solicitations, and so we normally do not give out the listings.

MS. ROTTENBUCHER: I made myself --MS. WIEST: I'm sorry. You cannot talk while he is.

THE WITNESS: Unless we have some good

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reason to do it, we would not give out the listings.

COMMISSIONER BURG: Is there a policy developed by the board to that effect?

THE WITNESS: No, not written. It's been verbal.

MS. WIEST: Any other questions?

MS. ROTTENBUCHER: I just wanted to say that I made myself clear that I was -- I wasn't selling anything. That was one of her comments why she wouldn't give it to me, because of advertisers and selling, people selling them stuff, and I told her who I was and specifically what I wanted it for and she still refused, unless he would approve, and that was exactly her comment.

COMMISSIONER BURG: Why did you not want to identify yourself originally?

MS. ROTTENBUCHER: Because I was afraid, that, after talking to him, because I called him after I called them, and I was pretty sure that if they knew who I was, they wouldn't give it to me, and I was right. As soon as I gave her my name, they refused.

COMMISSIONER BURG: Do you have a problem

with her wanting to contact him to see --1 MS. ROTTENBUCHER: She didn't --2 3 COMMISSIONER BURG: Do you have a problem with her checking with him? MS. ROTTENBUCHER: No. I would have 5 6 liked to have had it because if we could have 7 went through the board of directors and voiced our complaint, we might not be here today. 8 9 MS. WIEST: Does anybody wish to make any closing statement? 10 11 MS. ROTTENBUCHER: No. 12 MR. MACY: None for us. 13 MS. WIEST: I believe the Commission will 14 take this under advisement and make a decision at a Commission meeting and the parties will 15 be notified of that. Thank you. 16 17 (Proceedings concluded at 10:55 a.m.) 18 19 20 21 22 23 24

1	STATE OF SOUTH DAKOTA )
2	) SS. CERTIFICATE
3	COUNTY OF PENNINGTON )
4	
5	I, Cynthia M. Weichmann, Court Reporter and
6	Notary Public, South Dakota, duly commissioned to
7	administer oaths, certify that I placed the
8	witnesses under oath before the witnesses testified;
9	that the foregoing testimony of said witnesses was
10	taken by me in shorthand, and that the same has been
11	reduced to typewritten form under my supervision;
12	that the foregoing transcript is a true transcript of
13	the questions asked, of the testimony given, and of
14	the proceedings had.
15	I further certify that I am not related to,
16	employed by, or in any way associated with any of
17	the parties to this action, or their counsel, and
18	have no interest in its event.
19	Witness my hand and seal at Rapid City, South
20	Dakota, this 30th day of October, 1997.
21	
22	Cypichia M. Heichmann
23	Certified Shorthand Reporter

CO. CO. CALC

## **NEXT**

0145 .62 .9

DOCUMENT (S)

**BEST IMAGE** 

**POSSIBLE** 

which may pertain to your complaint.

## IC UTILITIES COMMISSION RECEIVED

DEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF SOUTH DAKOTA

JUN 7 3 1997

### COMPLAINT

SOUTH DAKOTA PUBLIC UTILITIES COMMISSION

Complainant(s): (The party filing the complaint)		Respondent(s): (The person or Company complained against)	
Name Address	KATHY ROTTENBUCHER	Contact Person Company	WEST RIVER ELECTR
City, State, Zip	NEWELL, SD 57760	Address	00 BOX 39
Work Phone	NA	City, State, Zip	BISON SD 5762
Home Phone	45456-9851	Vrork Phone	110,000,000
Cellular Phone	N/A	Cellular Phone	7
Fax	N/A	Fax	7

The facts giving rise to my complaint:

1 Aperulically requested and ensisted an un published arcticess, STATELINE furnished and provided us west for information for Northwallack Hills and sections are is It came too my attention I called immediately and spoke to statelines manager Jerry, he admitted that he and his employee Failed to about their info. His employee for list without clesking their unriv. The info. His employee for list without clesking their unriv. To any customer input or approval, it was two ked out with its west so as to provide furth further short my clesquet and dissiparatives, and secenced the highest more approval. It was two ked out with its west so as to provide furth for phase book to west River Customer. I conficulted Derry with My clesquet and dissiparatives, and secenced the highest more for that the violation of our trust confidence is betrayed the stated he had to get approval than his boss first and accept, he hand to get approval than his boss first and accept, he hand to get approval than his boss first and accept, he hand to get approval than his boss first and accept, he hand to get approval than his boss first and accept, he hand to get approval than his boss first and accept, he hand to get approval than his boss first and accept, he hand to get approval than his boss first and accept, he hand to get approval than his boss first and accept, he hand to get approval than his boss first and accept, he hand to get approval than his boss first and accept he provided the first took.

NOTE: Please attach additional pages, if necessary, to explain your situation. Also enclose copies of any bills or other documents

### RESOLUTION REQUEST

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NOTE: Please attach any additional pages, if nece	CONTRACTOR OF THE PARTY OF THE	unce mean yscheme.
	VERIFICATION	
Signature m	ust be witnessed by a r	notary public.
Complainant's Signature	bucher	6-20-97 Date
State of South Dakota  County of Sutte	):ss	
On this Zodday of personally came and appeared Nothy known to me to be the individual described herein	Rottenbuche n and who executed the foregoin contained.	A second

(SEAL) My commission expires:

DIANA D. PAGE My Commission Expires Jan. 10, 2002

2- as soon as he arrived that following Monday. I requested his name. Monday came and almost gone and no call I had to call him Mr. D. Henderson, I asked him why he did not return my call, he only commented he had some info. on the incident, and my name. I insisted on discussing the incident and my experience clealing with his manager, (Jerry) The was arrogant, insensitive, non-chalant about the matter. I let him was in no uncertain terms how exasperated disgusted I was, I Told him the harm has been done, all the phone Docks for this area have been printed i distributed I asked him what he was going to do to make this right? We usked me what I wanted him to do, I stated discipline his employees witha written reprimand, put in place precaution to prevent feture incidents, and I want to de Compensated. He said, "First the harm has already been clone, and were corrected ourselfs so that next year your address will be unpublished. He said, "He will not compensate me at this time, I'd have to frove harm done", Falling to get Satisfiet. aculted puc in Lapid City, I delso spoke with repersent tire from US west. I told Mr. J. Henerdson I was going to take this further and he told me to go whead and do whatever

3 - I wanted too, No apologies, I called west liver Electric Coop and Quested the Board of Directors names, Gladys (OFFice manager) refused to give me the names unless Mr. Henderson approved, I told her that they are public officials, I have a sight to have access to them, she continue to refuse. I carled city of Ficial here in Newell, SD to get names, and he gave me what info, he had. I wrote my First letter to President of Board of Directors in care OF West liver Electric Coop, No Kesponse, I wrote the governor then, a Second letter to the Boards president, by cerititis mail. Still no answere, so with the governors help, I'm filing this tormal Complaint with puc. Wery had an unpublished address for 13/2 years we lived in Sturgis, SD and wished too have it remain so when we moved to Wewell, SD. It wouldn't be right or Fair for us to have to, sell our new home were had for less then a year? uproot our children and There again but that could happen occause of statelines violeting us, there by causing · imaked harmi

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EXHIBIT NO. 10-27-97

# OF THE STATE OF SOUTH DAKOTA

IN THE MATTER OF THE COMPLAINT FILED )
BY KATHY ROTTENBUCHER, NEWELL, SD, )
A G A I N S T S T A T E L I N E )
TELECOMMUNICATIONS, INC. REGARDING )
UNPUBLISHED ADDRESS

FINAL ORDER AND DECISION; NOTICE OF ENTRY OF ORDER

TC97-107

On June 23, 1997, the South Dakota Public Utilities Commission (Commission) received a complaint from Kathy Rottenbucher, Newell, South Dakota, against Stateline Telecommunications, Inc. (Stateline) regarding her address being published in a directory. Ms. Rottenbucher stated that she specifically requested an unpublished address, but her address was published in the Rapid City telephone book for West River customers. Stateline provides customer information to U.S. WEST Communications, Inc. (U.S. WEST) for the purpose of publishing telephone books. Ms. Rottenbucher requested proof of written reprimands for all parties, proof that changes were made to avoid similar mistakes in the future, access to the Board of Directors, and one thousand dollars for violation of trust, emotional and mental anguish, duress, and inconvenience.

At its regularly scheduled July 15, 1997, meeting, the Commission found probable cause of an unlawful or unreasonable act, rate, practice, or omission and served the complaint on Stateline. Stateline filed its Answer and Motion to Dismiss on August 14, 1997. At its October 9, 1997, meeting, the Commission considered Stateline's Motion to Dismiss. After listening to the arguments of the parties, the Commission denied the motion.

Pursuant to its Order for and Notice of Hearing, dated October 14, 1997, a hearing was held on October 27, 1997, in the Third Floor West Meeting Room, of the City/School Administrative Center, 300 6th Street, Rapid City, South Dakota. At the end of the hearing the Commission took the matter under advisement.

At its November 7, 1997, meeting, the Commission considered this matter. The Commission voted to find that Stateline's mistake in providing the Rottenbucher's address to U.S. WEST for publication in its directory was an unreasonable act. (Chairman Burg, dissenting.) In addition, the Commission found Stateline's refusal to provide Ms. Rottenbucher with the names of the Board of Directors was an unreasonable act. (Chairman Burg, dissenting.) On the issue of damages, the Commission found that Ms. Rottenbucher failed to prove that she suffered any actual damages as a result of Stateline's unreasonable act and denied the award of any damages.

Based on the testimony and evidence presented at the hearing, the Commission makes the following Findings of Fact and Conclusions of Law.

FINDINGS OF FACT

í

On June 23, 1997, the Commission received a complaint from Kathy Rottenbucher, Newell, South Dakota, against Stateline regarding her address being published in a directory. Exhibit 1. Ms. Rottenbucher stated that she specifically requested an unpublished address, but her address was published in the U.S. WEST Rapid City telephone directory for West River customers. Tr. at 8-10.

Stateline provides customer information to U S WEST for the purpose of publishing telephone books. Tr. at 39. Darrell Henderson, general manager of Stateline, agreed that Ms. Rottenbucher requested that her address not be published. Tr. at 38. Stateline mistakenty provided the address to U S WEST for publication in its directory. Tr. at 45-46. Stateline has since implemented a directory search program to identify anyone who has an unusual request in terms of the directory. Tr. at 43.

111

The Commission finds that Stateline's mistake in allowing Ms. Rottenbucher's address to be included in the U S WEST directory was an unreasonable act. Ms. Rottenbucher specifically requested an unpublished address and was told her request would be honored. Stateline subsequently failed to comply with that agreement.

IV

Ms. Rottenbucher requested the names of Stateline's Board of Directors. Mr. Henderson refused to provide those names. Tr. at 41. Mr. Henderson stated that it was Stateline's policy not to give out names of its Board of Directors for solicitations. Tr. at 50. However, since Ms. Rottenbucher is a customer, the Commission finds that Stateline's refusal to give Ms. Rottenbucher the names of Stateline's Board of Directors was an unreasonable act.

V

Ms. Rottenbucher requested \$1,000.00 in damages. Tr. at 34. The \$1,000.00 was, in part, based on Ms. Rottenbucher's estimate of what it would cost to move to a new residence. Id. In addition, the request for damages included compensation for pain and anguish. Tr. at 35. Ms. Rottenbucher had requested that her phone number but not her address be included in any directory because she does not want certain people to know where she lives. Tr. at 29-30. However, Ms. Rottenbucher also stated that she did not have any intention to move at this time. Tr. at 35.

VI

Damages may not be based on speculation but must be proven with reasonable certainty. The Commission finds that Ms. Rottenbucher's allegations of damages are speculative and that she has failed to prove that she suffered any actual damages as a result of her address being published in the U S WEST directory.

#### CONCLUSIONS OF LAW

1

The Commission has jurisdiction over this matter pursuant to SDCL Chapters 1-26, 49-13, and 49-31, and ARSD 20:10:01:07:01 through 20:10:01:15:01.

Stateline's act of providing the Rottenbucher's address for inclusion in the U.S. WEST directory and failure to provide Ms. Rottenbucher with the names of the Board of Directors are unreasonable acts. However, Ms. Rottenbucher failed to prove she suffered any damages as a result of these acts. Damages may be recovered only if facts exist that afford a basis for measuring any loss with reasonable certainty. <u>Drier v. Perfection, Inc.</u>, 259 N.W 2d 496, 506 (S.D. 1977).

It is therefore

ORDERED that the Commission finds that Stateline's act of providing the Rottenbucher's address for inclusion in the U.S. WEST directory and failure to provide Ms. Rottenbucher with the names of the Board of Directors are unreasonable acts; and it is

FURTHER ORDERED that the Commission finds that Ms. Rottenbucher failed to prove she suffered any damages as a result of these acts.

NOTICE OF ENTRY OF ORDER

Dated at Pierre, South Dakota, this \_\_\_\_\_\_day of December, 1997.

#### CERTIFICATE OF SERVICE

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The undersigned hereby certifies that this document has been served today upon all parties of record in this docket, as listed on the docket service list, by facsimile or by first class mail, in properly addressed envelopes, with charges prepaid thereon.

By sulling tracks

(OFFICIAL SEAL)

BY ORDER OF THE COMMISSION

JAMES A. BURG, Chairman dissenting

PAM NELSON, Commissioner

LASKA SCHOENFELDER, Commissioner

### DISSENT OF CHAIRMAN BURG

I dissent from the findings that Stateline's act of providing the Rottenbucher's address for inclusion in the U S WEST directory and failure to provide Ms. Rottenbucher with the names of the Board of Directors are unreasonable acts. Although it was a mistake for Stateline to provide Ms. Rottenbucher's address to U S WEST for inclusion in the directory, that mistake does not rise to the level of an unreasonable act. Further, Stateline has taken steps to insure that this type of mistake does not occur again. I agree that Ms. Rottenbucher failed to show that she suffered any damages from this mistake.