

TO: COMMISSIONERS AND ADVISORS
FROM: BRIAN ROUNDS AND KAREN CREMER
SUBJECT: RESPONSE TO COMMISSIONER FIEGEN DATA REQUEST IN GE12-001
DATE: MAY 20, 2014

STAFF MEMORANDUM

At the May 13, 2014, Commission meeting, Commissioner Fiegen asked Staff to prepare a list of previous DSM program filings with the Commission. The following is a chronological list of all related dockets, including notes on the significance Staff has attached to each case, if any:

NG05-016 – MDU’s initial filing for a 3-year pilot of NG programs

Filed: 11/14/2005 Approval: 2/10/2006

<https://puc.sd.gov/Dockets/NaturalGas/2005/ng05-016.aspx>

Significance: This filing was the first NG DSM portfolio approved by the Commission outside of past rate cases. MDU was allowed to recover actual lost margins as calculated.

EL07-011 – Otter Tail’s initial filing for a 1-year pilot of electric programs

Filed: 03/29/2007 Approval: 07/28/2008

<http://puc.sd.gov/Dockets/Electric/2007/EL07-011.aspx>

Significance: This filing was the first electric DSM portfolio approved by the Commission outside of past rate cases, the first portfolio to include a shared savings incentive in lieu of lost margin recovery, and the first filing in response to the Commission’s request for utilities to consider offering cost effective programs they currently offer in other states.

EL07-015 – MidAmerican’s initial filing for 3-year pilot of NG and electric programs

Filed: 04/18/2007 Approval: 03/31/2009

<https://puc.sd.gov/Dockets/Electric/2007/EL07-015.aspx>

Significance: This filing was the second response to the Commission’s request for programs and the first DSM portfolio crafted for both electric and NG customers outside of past rate cases. The initial proposal was for recovery of lost margins as calculated, but Company and Staff stipulated to the shared savings incentive approved for Otter Tail. Note – this docket should have been labeled “GE” rather than “EL”, and subsequent dockets pertaining to this program were given a “GE” label.

NG07-012 – MDU’s first annual true-up

Filed: 04/27/2007 Approval: 06/19/2007

<http://puc.sd.gov/dockets/NaturalGas/2007/NG07-012.aspx>

EL07-036 – Xcel’s initial filing to provide electric programs - withdrawn

Filed: 12/28/2007 Approval: Withdrawn 01/28/2010

<http://www.puc.sd.gov/Dockets/Electric/2007/el07-036.aspx>

Significance: This filing was the third response to the Commission’s request for programs. Following discussions with Staff, the Company filed a new proposal in docket EL09-030.

NG08-003 – MDU’s second annual true-up

Filed: 03/28/2008 Approval: 04/28/2008

<http://puc.sd.gov/Dockets/NaturalGas/2008/NG08-003.aspx>

NG09-001 – MDU’s third annual true-up and lost revenue recovery changes

Filed: 03/02/2009 Approval: 11/05/2009

<http://puc.sd.gov/dockets/NaturalGas/2009/ng09-001.aspx>

Significance: The initially proposed lost revenue recovery mechanism was abandoned for the fixed percentage incentive (FPI). This was the first time the FPI was used, setting precedent for the incentive level allowed for NG programs.

GE09-001 – NorthWestern’s initial filing for NG and electric programs

Filed: 10/01/2009 Approval: 05/11/2010

<http://puc.sd.gov/dockets/GasElectric/2009/ge09-001.aspx>

Significance: This filing was the fourth response to the Commission’s request for programs. Staff took issue with the home lighting program and calculation of lost margins, and the Commission approved the filing with exceptions requested by Staff. As a result, NWE chose not to implement the program as approved.

EL09-022 - Otter Tail’s request for budget modifications and first annual true-up

Filed: 10/26/2009 Approval: 03/03/2010 and 05/11/2010

<https://puc.sd.gov/Dockets/Electric/2009/el09-022.aspx>

Significance: Otter Tail was granted a thirty percent budget flexibility near the end of their first reporting period.

EL09-030 – Xcel’s second request to provide electric programs - withdrawn

Filed: 12/31/2009 Approval: Withdrawn 06/17/2011

<https://puc.sd.gov/Dockets/Electric/2009/el09-030.aspx>

Significance: This was an updated filing from the Company’s original request in docket EL07-036. Similar to that docket, the Company filed a new proposal in docket EL11-013.

GE10-001 – MidAmerican’s plan modification and first annual true-up

Filed: 02/19/2010 Approval: 04/06/2010

<http://www.puc.sd.gov/Dockets/GasElectric/2010/ge10-001.aspx>

Significance: In this filing, MidAmerican moved from the shared savings incentive to the FPI, similar to that approved for MDU in NG09-001.

EL10-007 Otter Tail's request for modifications and extension

Filed: 06/16/2010 Approval: 08/02/2010

<http://puc.sd.gov/Dockets/Electric/2010/el10-007.aspx>

NG10-009 – MDU's fourth annual true-up

Filed: 10/01/2010 Approval: 10/29/2010

<http://puc.sd.gov/Dockets/NaturalGas/2010/ng10-009.aspx>

EL11-002 – BHP's initial filing for 3-year pilot of electric programs and first true-up

Filed: 01/25/2011 Approval: 07/07/2011 and 07/16/2013

<https://puc.sd.gov/Dockets/Electric/2011/el11-002.aspx>

Significance: This filing was the fifth response to the Commission's request for programs and came out of workshops held by Staff in 2010, mostly around lost margin recovery. Accordingly, it was also the first time the FPI was used for electric programs, setting precedent for the rate used therein. A staff memorandum was also included in this docket that explains Staff's positions on the FPI and benefit-cost tests.

GE11-001 – MidAmerican's plan modification and second annual true-up

Filed: 02/15/2011 Approval: 04/04/2011

<http://www.puc.sd.gov/Dockets/GasElectric/2011/ge11-001.aspx>

EL11-008 – Otter Tail's plan modification and second annual true-up

Filed: 04/28/2011 Approval: 08/26/2011

<https://puc.sd.gov/Dockets/Electric/2011/el11-008.aspx>

Significance: In this filing, Otter Tail changed from the shared savings incentive to the FPI.

EL11-012 – Otter Tail's request for modification and extension

Filed: 05/25/2011 Approval: 08/26/2011

<https://puc.sd.gov/Dockets/Electric/2011/el11-012.aspx>

EL11-013 – Xcel's third request to provide electric programs

Filed: 05/31/2011 Approval: 10/21/2011

<https://puc.sd.gov/Dockets/Electric/2011/el11-013.aspx>

Significance: This was an updated filing from the Company's original requests in dockets EL07-036 and EL09-030. In this docket, the Commission approved a home lighting (CFL rebate) program, despite Staff's reservations. Upon this plan's implementation, five of six IOUs in the state were offering programs.

NG11-006 – MDU's fifth annual true-up

Filed: 10/04/2011 Approval: 03/20/2012

<http://puc.sd.gov/Dockets/NaturalGas/2011/ng11-006.aspx>

GE11-002 – MidAmerican’s request for extension

Filed: 10/21/2011 Approval: 12/28/2011

<http://www.puc.sd.gov/Dockets/GasElectric/2011/ge11-002.aspx>

GE12-001 – NorthWestern’s second request to provide NG and electric programs

Filed: 01/27/2012 Approval: Pending (*this docket*)

<http://puc.sd.gov/dockets/GasElectric/2012/ge12-001.aspx>

GE12-002 – MidAmerican’s plan modification and third annual true-up

Filed: 02/15/2012 Approval: 03/29/2012

<http://puc.sd.gov/dockets/GasElectric/2012/ge12-002.aspx>

EL12-034 – Otter Tail’s plan modification and third annual true-up

Filed: 04/30/2012 Approval: 06/26/2012

<http://puc.sd.gov/Dockets/Electric/2012/el12-034.aspx>

GE12-005 – MidAmerican’s plan modification

Filed: 07/27/2012 Approval: 11/27/2012

<http://puc.sd.gov/Dockets/GasElectric/2012/ge12-005.aspx>

NG12-007 – MDU’s sixth annual true-up

Filed: 12/07/2012 Approval: 02/01/2013

<http://puc.sd.gov/Dockets/NaturalGas/2012/ng12-007.aspx>

GE13-001 – MidAmerican’s plan modification and fourth annual true-up

Filed: 02/22/13 Approval: 04/04/2013

<http://puc.sd.gov/Dockets/GasElectric/2013/ge13-001.aspx>

Significance: Commission questions/dissent during the approval of this docket resulted in Staff hosting a workshop on benefit-cost tests during the summer of 2013.

EL13-015 – Otter Tail’s fourth annual true-up

Filed: 05/01/2013 Approval: 06/24/2013

<http://puc.sd.gov/dockets/Electric/2013/el13-015.aspx>

EL13-016 – Otter Tail’s plan modification and extension

Filed: 05/01/2013 Approval: 11/06/2013 and 12/05/2013

<http://puc.sd.gov/dockets/Electric/2013/el13-016.aspx>

Significance: The Commission, on reconsideration, lowered Otter Tail’s approved budget flexibility from thirty percent to ten percent following its decision on EL13-017.

EL13-017 – Xcel’s first annual true-up

Filed: 05/01/2013 Approval: 12/03/2013

<http://puc.sd.gov/dockets/Electric/2013/el13-017.aspx>

Significance: The Commission approved Xcel’s requested budget flexibility of ten percent.

GE14-001 – MidAmerican’s plan modifications and fifth annual true-up

Filed: 02/27/2014 Approval: 05/02/2014

<http://www.puc.sd.gov/Dockets/GasElectric/2014/ge14-001.aspx>

EL14-038 – BHP’s request for extension

Filed: 04/30/14 Approval: 05/16/2014

<http://puc.sd.gov/Dockets/Electric/2014/el14-038.aspx>

EL14-039 – Otter Tail’s plan modification and fifth annual true-up

Filed: 05/01/2014 Approval: Pending

<http://puc.sd.gov/Dockets/Electric/2014/el14-039.aspx>

EL14-040 – Xcel’s plan modification and second annual true-up

Filed: 05/01/2014 Approval: Pending

<http://puc.sd.gov/Dockets/Electric/2014/el14-040.aspx>

NG14-004 – MDU’s seventh annual true-up

Filed: 05/09/2014 Approval: Pending

<http://puc.sd.gov/Dockets/NaturalGas/2014/ng14-004.aspx>

A listing of each docket, by Company, can be found here:

<http://www.puc.sd.gov/energy/energyefficiencydockets.aspx>