

## Individual Report for Docket Number : EL14-106

### Docket Title:

In the Matter of the Application of NorthWestern Corporation dba NorthWestern Energy for Authority to Increase Its Electric Rates

**Open Date :** 12/19/2014

**Close Date :** 04/02/2020

**Days Open :** 1931

**Reopened Date :** 03/23/2020

**Filing Type :**

EL

**Tax ID # :**

**Attorney :**

AR

**Staff :**

BM;PS;EP;JR

**Date Of Final Decision :**

03/31/2020

**Memo :**

3/31/20; 12/11/18; 09/18/18; 10/29/15; 3/31/15; 3/2/15;1/6/15 - Commission Meeting test

### Primary Appeal Information

**Appeal Date :**

**Appellant :**

**Decision Date :**

**Appeal Location :**

**Current Status :**

**Description of Above Decision :**

### Secondary Appeal Information

**Appeal Date :**

**Appellant :**

**Decision Date :**

**Appeal Location :**

**Current Status :**

**Description of Above Decision :**

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### Docket Notes :

12/19/14 - Filed and Docketed - M:/puc/web/internet/commission/dockets/electric/2014/el14-106/file name.pdf  
12/19/14 - NorthWestern Energy's Application - Volume 1 - Section 1 - NorthWestern Energy's Letter regarding Increase to Its Electric Rates - M:/ltr121814.pdf  
12/19/14 - Notice of Proposed Changes of Rates and Charges - M:/notice.pdf  
12/19/14 - Attestation - M:/attestation.pdf  
12/19/14 - Report of Tariff Schedule Change - M:/schedulechanges.pdf  
12/19/14 - Section 2 - Tariff Pages - M:/tariffpages.pdf  
12/19/14 - Section 3 - Comparison of Sales, Services, and Revenues - M:/section3.pdf  
12/19/14 - Section 4 - Summary Explanation of Statements and Schedules - M:/summary.pdf  
12/19/14 - Statement A - Balance Sheet - M:/a.pdf  
12/19/14 - Statement B - Income Statement - M:/b.pdf  
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12/19/14 - Schedule D-10 - Working Papers on Projects that are Anticipated to be Completed Prior to the Rates in this Docket going into Effect - M:/d10.pdf  
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12/19/14 - Statement Q - Description of Utility Operations - M:/q.pdf  
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12/19/14 - Volume 2 - Robert C. Rowe's Prefiled Direct Testimony - M:/rowe.pdf  
12/19/14 - Exhibit\_\_RCR-1 - Map of NorthWestern's Multi-State Service Territory - M:/rcr1.pdf  
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12/19/14 - Brian B. Bird's Prefiled Direct Testimony - M:/bird.pdf  
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12/19/14 - Exhibit\_\_BJL-4 - RTO Integration Study, Phase 1 Confidential (not available to the public) 12/19/14 - Michael R. Cashell's Prefiled Direct Testimony N:/Internet/electric/2014/el14-106/bjl-4.pdf  
12/19/14 - Exhibit\_\_MRC-1 - South Dakota Electric Transmission System Map - M:/mrc1.pdf  
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01/09/15 - Order Assessing Filing Fee and Suspending Operation of Proposed Rates - M:/puc/web/internet/commission/orders/electric/2015/el14-106.pdf  
01/29/15 - Comments of Richard Rice- M:/comments/rice.pdf  
01/29/15 - Comments of Richard Rice Confidential (not available to the public) - N:/Internet/electric/2014/el14-106/Comments/rice.pdf  
01/29/15 - Commissioner Nelson's Response to Richard Rice - M:/comments/rice2.pdf  
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02/06/15 - Petition to Intervene by Toshiba America Business Solutions, Inc.- Trial King Industries, Inc., and Redfield Energy, LLC - M:/petitiontointervene.pdf  
02/06/15 - Certificate of Service - M:/certificateofservice.pdf  
02/06/15 - Wal-Mart Stores, Inc. Petition to Intervene - M:/wal-martintervention.pdf  
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02/17/15 - Staff's Response to Donald R. Hoffman - M:/hoffmanresponse.pdf  
02/17/15 - Staff's Response to Donald R. Hoffman Confidential (not available to the public)- N:/Internet/electric/2014/el14-106/Comments/hoffmanresponse.pdf  
03/03/15 - Order Granting Intervention( Toshiba America Business Solutions, Inc., Trail King Industries, Inc., and Redfield Energy, LLC (Industrial Intervenors) and Wal-Mart Stores, Inc.) -

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04/21/15 - Allen Smith's Return Envelope - M:/returnenvelope.pdf  
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04/24/15 - Comments of Bev Haug - M:/comments/haug.pdf  
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05/15/15 - Sign In Sheet - M:/signinsheet.pdf  
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05/19/15 - Certificate of Service - M:/certificateofservice051915.pdf  
05/29/15 - Notice of Intent to Implement Interim Rates - M:/noticeintent.pdf  
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09/02/15 - NorthWestern Energy's Letter requesting a Suspension of the Procedural Schedule Issued on June 26, 2015- M:/ltr090215.pdf  
09/09/15 - Wal-Mart Stores' Consent to Change in Procedural Schedule - M:/walmartconsent.pdf  
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10/07/15 - Comments of Cindy & Tom Thomas - M:/comments/thomas.pdf  
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10/13/15 - PUC Staff Brittany Mehlhaff's Response to Hubner Auction & Realty Inc. - M:/comments/hubnerresponse5.pdf  
10/16/15 - NorthWestern Energy, PUC Staff, Wal-Mart Stores, Inc., and Industrial Intervenors consisting of Toshiba America Business Solutions, Inc., Trail King Industries, Inc., and Redfield Energy, LLC's Joint Motion for Approval of Revised Settlement Stipulation - M:/jointmotionsettlement.pdf  
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10/16/15 - Revenue Requirement -10/16/15 - Exhibit\_\_\_(PJS-1) Schedule 1 – SD Electric Revenue Requirement - M:/memo/PJS-1-1.pdf  
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10/16/15 - Exhibit\_\_\_(PJS-2) Schedule 2 – SD Electric Rate Base with Known and Measurable Adjustments - M:/memo/PJS-2-2.pdf  
10/16/15 - Exhibit\_\_\_(PJS-3) Schedule 1 – Cash Working Capital - M:/memo/PJS-3-1.pdf  
10/16/15 - Exhibit\_\_\_(PJS-3) Schedule 2 – Advanced Tax Collections - M:/memo/PJS-3-2.pdf  
10/16/15 - Exhibit\_\_\_(PJS-3) Schedule 3 – Working Capital Updates - M:/memo/PJS-3-3.pdf  
10/16/15 - Exhibit\_\_\_(PJS-3) Schedule 4 – Interest Synchronization- M:/memo/PJS-3-4.pdf  
10/16/15 - Exhibit\_\_\_(PJS-3) Schedule 5 – Bad Debt Expense - M:/memo/PJS-3-5.pdf  
10/16/15 - Exhibit\_\_\_(PJS-3) Schedule 6 – Labor Expense - M:/memo/PJS-3-6.pdf  
10/16/15 - Exhibit\_\_\_(PJS-3) Schedule 7 – Payroll Taxes- M:/memo/PJS-3-7.pdf  
10/16/15 - Exhibit\_\_\_(PJS-3) Schedule 8 – 401K Expense- M:/memo/PJS-3-8.pdf  
10/16/15 - Exhibit\_\_\_(BAM-1) – Normalizing Plant Additions/Retirements During Test Year- M:/memo/BAM-1.pdf  
10/16/15 - Exhibit\_\_\_(BAM-2) – Post Test Year Plant Additions/Retirements - M:/memo/BAM-2.pdf  
10/16/15 - Exhibit\_\_\_(BAM-3) – Basin/MRES Capacity (Public) - M:/memo/BAM-3.pdf  
10/16/15 - Exhibit\_\_\_(BAM-3) – Basin/MRES Capacity Confidential (not available to the public) - N|/Internet/electric/2015/el14-106/BAM-3.pdf  
10/16/15 - Exhibit\_\_\_(BAM-4) – SouthWest Power Pool O&M Adjustment (Public) - M:/memo/BAM-4.pdf  
10/16/15 - Exhibit\_\_\_(BAM-4) – SouthWest Power Pool O&M Adjustment Confidential (not available to the public) - N|/Internet/electric/2015/el14-106/BAM-4.pdf  
10/16/15 - Exhibit\_\_\_(BAM-5) – Beethoven Wind - M:/memo/BAM-5.pdf  
10/16/15 - Exhibit\_\_\_(BAM-6) – Net Impact of Rate Increase - M:/memo/BAM-6.pdf  
10/16/15 - Exhibit\_\_\_(BLC-1) Schedule 1 – Cost of Capital (Public) – M:/memo/BLC-1-1.pdf  
10/16/15 - Exhibit\_\_\_(BLC-1) Schedule 1 – Cost of Capital Confidential (not available to the public) - N|/Internet/electric/2015/el14-106/BLC-1Sch 1.pdf



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10/16/15 - Exhibit\_\_\_(EJP-1) Schedule 1 – Rate Case Expense Adjustment – M:/memo/EJP-1-1.pdf  
10/16/15 - Exhibit\_\_\_(EJP-1) Schedule 2 – Economic Development Adjustment – M:/memo/EJP-1-2.pdf  
10/16/15 - Exhibit\_\_\_(EJP-1) Schedule 3 – Association Dues Expense Adjustment – M:/memo/EJP-1-3.pdf  
10/16/15 - Exhibit\_\_\_(EJP-1) Schedule 4 – Miscellaneous Expenses Adjustment– M:/memo/EJP-1-4.pdf  
10/16/15 - Exhibit\_\_\_(EJP-1) Schedule 5 – Severance Expense Adjustment – M:/memo/EJP-1-5.pdf  
10/16/15 - Exhibit\_\_\_(EJP-1) Schedule 6 – Claims & Injury Compensation Adjustment – M:/memo/EJP-1-6.pdf  
10/16/15 - Exhibit\_\_\_(EJP-1) Schedule 7 – Miscellaneous Revenues Adjustment– M:/memo/EJP-1-7.pdf  
10/16/15 - Exhibit\_\_\_(EJP-1) Schedule 8 – Field Inventory Adjustment – M:/memo/EJP-1-8.pdf  
10/16/15 - Exhibit\_\_\_(EJP-1) Schedule 9 – Booked to Billed Revenue Adjustment – M:/memo/EJP-1-9.pdf  
10/16/15 - Exhibit\_\_\_(JMR-1) Schedule 1 – Vegetation Management Expense Adjustment – M:/memo/JMR-1-1.pdf  
10/16/15 - Exhibit\_\_\_(JMR-1) Schedule 2 – Storm Damage Expense Adjustment– M:/memo/EJP-1-2.pdf  
10/16/15 - Exhibit\_\_\_(JMR-1) Schedule 3 – Advertising Expense Adjustment – M:/memo/EJP-1-3.pdf  
10/16/15 - Exhibit\_\_\_(JMR-1) Schedule 4 – Lobbying Adjustment– M:/memo/EJP-1-4.pdf  
10/16/15 - Exhibit\_\_\_(JMR-1) Schedule 5 – Aviation/Spousal Travel Adjustment – M:/memo/EJP-1-5.pdf  
10/16/15 - Rate Design -Exhibit\_\_\_(EJP-2) Schedule 1 - Rate Design by Customer Class – M:/memo/EJP-2-1.pdf  
10/16/15 - Exhibit\_\_\_(EJP-2) Schedule 2-1 - Residential Rate Schedules – M:/memo/EJP-2-2-1.pdf  
10/16/15 - Exhibit\_\_\_(EJP-2) Schedule 2-2 – Irrigation Rate Schedules Schedules – M:/memo/EJP-2-2-2.pdf  
10/16/15 - Exhibit\_\_\_(EJP-2) Schedule 2-3 – Commercial Rate Schedules Schedules – M:/memo/EJP-2-2-3.pdf  
10/16/15 - Exhibit\_\_\_(EJP-2) Schedule 2-4 – Commercial & Industrial Rate Schedules – M:/memo/EJP-2-2-4.pdf  
10/16/15 - Exhibit\_\_\_(EJP-2) Schedule 2-5 – Municipal Rate Schedules – M:/memo/EJP-2-2-5.pdf  
10/16/15 - Exhibit\_\_\_(EJP-2) Schedule 2-6 – Lighting Rate 19 – M:/memo/EJP-2-2-6.pdf  
10/16/15 - Exhibit\_\_\_(EJP-2) Schedule 2-7 – Lighting Rate 56 – M:/memo/EJP-2-2-7.pdf  
10/16/15 - Exhibit\_\_\_(EJP-2) Schedule 3 - Residential Service Bill Comparison – M:/memo/EJP-2-3.pdf

10/23/15 - Order Canceling Hearing - M:/puc/web/internet/commission/orders/electric/2015/el14-106102315.pdf  
10/26/15 - NorthWestern Energy's Notice of Change - M:/noticeofchange.pdf  
10/26/15 - Certificate of Service - M:/Certificateofservice102615.pdf  
10/27/15 - NorthWestern Energy's Customer Notice Electric Rate Increase - M:/billnotice.pdf  
10/27/15 - Refund Plan Interim Rates - M:/interimplan.pdf  
10/27/15 - Refund Plan Calculation - M:/calculation.pdf  
10/27/15 - Certificate of Service - M:/certificateofservice102715.pdf  
10/27/15 - Revised Exhibit\_\_\_(BAM-5) – Beethoven Wind - M:/revisedexhibitbam-5.pdf  
11/04/15 - Order Granting Joint Motion for Approval of Revised Settlement Stipulation- Order Approving Interim Refund Plan - M:/puc/web/internet/commission/orders/electric/2015/el14-106final.pdf  
12/11/15 - Docket Closed - M:/close.pdf  
09/10/18 - Joint Motion for Approval of Amendment to Revised Settlement Stipulation (NorthWestern Corporation dba NorthWestern Energy, SouthDakota Public Utilities Commission Staff, Toshiba America Business Solutions, Inc., Trail King Industries, Inc., Redfield Energy, LLC, and Wal-Mart Stores, Inc.) - M:/jointmotion.pdf  
09/10/18 - Amendment to Revised Settlement Stipulation - M:/settlementstipulation091018.pdf-  
09/26/18 - Order Granting Joint Motion for Approval of Amendment to Revised Settlement Stipulation - M:/puc/web/internet/commission/orders/electric/2018/el14-106.pdf  
09/26/18 - Docket Reclosed - M:/close2.pdf  
11/20/18 - NorthWestern Energy's Request Regarding Beethoven Adjustments and Certificate of Service - M:/beethoven.pdf  
12/19/18 - Order Granting Request to Deviate From Settlement Stipulation - M:/puc/web/internet/commission/orders/electric/2018/el14-106request.pdf

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12/19/18 - Docket Reclosed - M:/close3.pdf

03/23/20 - NorthWestern Energy's Letter Requesting to Delay Filing Date for 2020 Economic Development Budget and 2019 Expense Reports - M:/ltr032320.pdf

04/02/20 - Order Granting Request for an Extension of Filing Date Of 2020 Economic Development Budget and 2019 Expense Reports - M:/puc/web/internet/commission/orders/electric/2020/el14-106040220.pdf

04/02/20 - Docket Reclosed - M:/Closed042020.pdf

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**Docket Synopsis :**