DEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF SOUTH DAKOTA

IN THE MATTER OF THE APPLICATION BY PREVAILING WIND PARK, LLC FOR A PERMIT FOR A WIND ENERGY FACILITY IN BON HOMME, CHARLES MIX, AND HUTCHINSON COUNTIES, SOUTH DAKOTA, FOR PREVAILING WIND PARK ENERGY FACILITY

SD PUC DOCKET EL-18-026

PREFILED REBUTTAL TESTIMONY OF DR. JEFFREY ELLENBOGEN
ON BEHALF OF PREVAILING WIND PARK, LLC

September 26, 2018

I. INTRODUCTION

2

1

- 3 Q. Please state your name and business address.
- 4 A. My name is Dr. Jeffrey Ellenbogen. My business address is 906 Dogwood Hill Court, Towson, Maryland 21286.

6

- 7 Q. Did you provide Direct Testimony in this Docket?
- 8 A. No.

9

- 10 Q. Please describe your background and current employment.
- 11 A. I am a medical physician with a license to practice medicine in Maryland. I have a 12 bachelor's degree from the University of Michigan, a medical degree from Tufts 13 University, and a master's in medical science from Harvard Medical School. I 14 finished my medical doctorate in 2000, received my medical license in 2001, and 15 have been practicing medicine since that time. Between 2013 and 2018, I served as 16 a practicing attending physician at Johns-Hopkins Hospital, specializing in neurology 17 and sleep medicine. In January 2018 I resigned from Johns-Hopkins Hospital to 18 dedicate myself full-time to my consulting business, Ellenbogen Consulting, LLC, 19 which focuses on sleep and brain health.

20

21

I am providing testimony on behalf of Prevailing Wind Park, LLC ("Prevailing Wind Park"). My qualifications are attached as **Exhibit 1**.

2223

24

25

26

27

28

29

30

- Q. What is the purpose of your Rebuttal Testimony?
- A. The purpose of my Rebuttal Testimony is to respond to intervenor testimony regarding the impacts of wind turbines on human health, particularly with respect to sleep. I provide testimony regarding my participation in an evaluation of the potential health impacts of wind turbines on humans sponsored by the Massachusetts Department of Environmental Protection and the Massachusetts Department of Public Health (together, the "Massachusetts Agencies"). I also discuss how I had the opportunity to test the findings of the study through

independent medical exams I performed on four individuals who alleged health impacts from wind turbines.

II. WIND TURBINE HEALTH IMPACT STUDY

Q. In the course of your work, have you had the opportunity to study the alleged health impacts of wind turbines?

A. Yes. In 2011, I was approached by the Massachusetts Agencies and asked to join a group of people to evaluate the potential health impacts of wind turbines on humans.

As a result of that evaluation, the document attached as Exhibit 7 to Dr. Mark Roberts' Supplemental Direct Testimony, titled *Wind Turbine Health Impact Study:*Report of Independent Expert Panel (January 2012) ("Massachusetts Study" or "Study"), was released.

Q. Please describe the purpose of the Massachusetts Study.

- A. The Massachusetts Agencies charged us with bringing together a group of experts to perform an independent evaluation of the scientific and medical literature regarding wind turbines and their potential impact on human health, as well as to solicit information from the public to hear about any potential issues not already reflected in the literature. The Massachusetts Agencies asked us to ensure that we did not leave any stones unturned with respect to potential plausible medical problems that could be a consequence of wind turbines. Specifically, we were charged with the following tasks:
 - Identify and characterize attributes of concern and identify any scientifically documented or potential connection between health impacts and wind energy turbines;
 - Evaluate and discuss information from peer-reviewed scientific studies, other reports, popular media, and public comments received by the Massachusetts Agencies concerning the nature and type of health complaints commonly reported by individuals who reside near existing wind farms;

- Assess the magnitude and frequency of any potential impacts and risks to human health associated with the design and operation of wind energy turbines based on existing data;
 - For the attributes of concern, identify best practices that could reduce potential human health impacts; and
 - Issue a report summarizing findings.

68 69

70

72

73

74

75

76

77

78

79

80

81

82

83

84

85

86

87

88

63

64

65

66

67

Q. Who else served on the panel that prepared the Study?

- 71 A. In addition to myself, the following individuals served on the Study panel ("Panel"): 1
 - Sheryl Grace, PhD; MS Aerospace & Mechanical Engineering, Associate
 Professor of Mechanical Engineering, Boston University;
 - Wendy J. Heiger-Bernays, PhD; Associate Professor of Environmental Health, Department of Environmental Health, Boston University School of Public Health; Chair, Lexington Board of Health;
 - James F. Manwell, PhD Mechanical Engineering; MS Electrical & Computer Engineering; BA Biophysics; Professor and Director of the Wind Energy Center, Department of Mechanical & Industrial Engineering University of Massachusetts, Amherst;
 - Dora Ann Mills, MD, MPH, FAAP; State Health Officer, Maine 1996-2011;
 Vice President for Clinical Affairs, University of New England;
 - Kimberly Sullivan, PhD; Research Assistant Professor of Environmental Health, Department of Environmental Health, Boston University School of Public Health; and
 - Marc G. Weisskopf, ScD Epidemiology; PhD Neuroscience; Associate Professor of Environmental Health and Epidemiology, Department of Environmental Health & Epidemiology, Harvard School of Public Health.

¹ The qualifications and affiliations are as of the date of the Massachusetts Study.

Q. What methodology did the Panel employ to prepare the Study?

A. We conducted an extensive review of the scientific literature, as well as other reports, popular media, and public comments received by the Massachusetts Agencies. We met three times as a group and held additional conference calls to clarify points of discussion. An independent facilitator supported these discussions. Each Panel member provided written text based on the literature review and analyses, and draft versions of the report were reviewed by each Panel member. The Panel reached consensus for the final report and its findings.

Q. Did the Massachusetts Agencies direct you to arrive at a particular conclusion as a result of the Massachusetts Study?

A. Absolutely not. Indeed, one of the commissioners directed us to be very broad in our approach. If there was a problem, he wanted to know about it. We understood that our purpose was to seriously consider and examine each of the potential concerns raised by the public as part of the Study.

Q. Please summarize the conclusion of the Massachusetts Study.

A. Overall, the Study concluded that wind turbines do not pose a risk to human health.

The Study included specific findings related to several topics, including, but not limited to, noise and shadow flicker.

Q. Please explain the Study's key finding with respect to noise.

A. We concluded that there is insufficient evidence that noise from wind turbines is directly causing health problems or disease. Most epidemiological literature on human response to wind turbines relates to self-reported annoyance, and this response appears to be a function of some combination of the sound itself, the sight of the turbine, and attitude towards the wind turbine project. We recognize that, for some people, wind turbines annoy them, be it the sound, sight, presence, or complex notions of economics, but there were no direct physiological effects on health in humans from wind turbines. None of the limited epidemiological evidence reviewed suggested an association between noise from wind turbines and a wide

range of topics we considered: pain, stiffness, diabetes, high blood pressure, tinnitus, hearing impairment, cardiovascular disease, and/or headache/migraine.

In addition, claims that infrasound from wind turbines directly impacts the vestibular system have not been demonstrated scientifically. The vestibular system is a physical system that is responsible for helping a person figure out where he or she is in space -i.e., balance and position sense. There was concern among people that this system could be affected by the vibrations produced by a wind turbine. We did not find evidence in the human or animal literature to support that vibrations of the kind produced by a wind turbine could influence the vestibular system.

The study also specifically evaluated the merits of "wind turbine syndrome," and found no basis for a set of health effects from wind turbines.

Q. Please explain the Study's finding with respect to shadow flicker.

A. Scientific evidence suggests that shadow flicker does not pose a risk for eliciting seizure as a result of photic stimulation. To explain in more detail, what is known about photic-stimulated epilepsy (in other words, seizures as a result of flashes of light) is that they happen as a result of frequencies greater than 5 hertz ("Hz"), usually substantially higher. Because of the nature of the speed and size of wind turbines, the frequency of any shadow flicker will be about 0.5-1 Hz, which is well below the range that would elicit a seizure even in someone who is vulnerable to photic stimulation seizures. I feel very comfortable that shadow flicker from wind turbines does not cause seizures for several reasons. First, flicker of any kind does not cause seizures in the general population, And it only causes seizures in the minority of people who have epilepsy. Further, even among those who have epilepsy for which their seizures are sensitive to photic stimulation, the frequency of shadow flicker from wind turbines is not at the frequency that induces seizures.

148 Q. Have other studies since the Massachusetts Study reached similar conclusions?

A. Yes. As Dr. Mark Roberts testified in his Supplemental Direct Testimony, repeated, peer-reviewed scientific studies from numerous organizations and agencies across numerous countries around the world have similarly found no association between wind turbines and health effects. For example, a very large study, "Health Canada," was published in 2016.² In it, researchers examined self-reported and objective measures of stress associated with wind turbine noise ("WTN") of more than one thousand people "exposed to outdoor calculated WTN levels up to 46 dBA." They concluded that this exposure to noise from wind turbines "had no apparent influence on any of these endpoints" [of stress].

III. INDEPENDENT MEDICAL CLAIMS

Q. Since the Study was released, have you had the opportunity to test the Study's conclusions?

A. Yes. From a medical and scientific point of view, wind turbine-caused illness, or what has been called "wind turbine syndrome," does not exist. This Massachusetts panel of experts and many other experts around the world have made the same conclusion. However, some people in the community feel that it does, likely due to its promotion by a book called *Wind Turbine Syndrome*. As a result, there are people who have raised concerns, despite expert opinion to the contrary. There was a group of people who raised such a concern with a wind farm in Michigan and brought a lawsuit against the owner, and I had the opportunity to collect a full history and perform a full examination of two couples. I also had the opportunity to view their neighborhoods.

² Michaud, David S., et. al. "Personal and situational variables associated with wind turbine noise annoyance." J. Acoust. Soc. Am. 139 (3), March 2016.

Q. Please describe the results of these independent medical examinations.

A. I examined four individuals. In all four instances, I concluded that these people were not getting the medical treatment they needed because they were incorrectly assigning the cause of their health problems to wind turbines.

The first individual was a 53-year old industrial designer who complained of insomnia and palpitations in his chest at night and was convinced that the wind turbine near his house was causing these problems. In examining and talking with him, I understood that in recent years, he had gained a substantial amount of weight and experienced snoring and sleep apnea. As it turned out, this gentleman almost certainly had obstructive sleep apnea. In addition, I understand that this person wound up later having medical tests that showed an abnormal heart rhythm unrelated to the wind farm.

The second individual was a 45-year-old science teacher at a junior high school who was worried about wind turbine syndrome, so she left her job in her home neighborhood and took a new job that required a substantial commute, resulting in her waking up at approximately 4:30 a.m., a full two hours earlier than her typical pattern. Her ensuing sleepiness, anxiety, and forgetfulness were most likely attributable to her substantial sleep deprivation, not the wind turbines.

The third individual was a 52-year-old bookkeeper who complained of headaches. I measured her blood pressure, and it was very high. Untreated high blood pressure often causes headaches. She had a history of depression that was untreated at the time of my evaluation and she more recently had substantial snoring at night which could easily have been untreated, obstructive sleep apnea which she acknowledged, but did not pursue because of the focused assumption that she had wind turbine syndrome.

Finally, the fourth individual was a 60-year-old farmer with balance problems and sleep problems. Regarding his balance, upon examination, I determined that he had a serious neuropathy. This resulted in an inability to feel his feet which was causing

his difficulty with balance. In addition, this individual acknowledged he had a substantial alcohol problem, which is one of the leading causes of neuropathy. Alcohol can also impact balance by causing degeneration of the cerebellum, an area of the brain that helps with balance and coordination. Regarding his sleep, the issues he was experiencing were no different than those diagnosed several decades earlier for which he was given antidepressant medication and sedatives, both of which he stopped taking more recently. That sleep problem was recently made worse by an increase in his alcohol consumption at night, which caused him to need to urinate in the middle of the night. Further, he had pain in his shoulders which he described as disruptive to his sleep. Taken together, there was no worsening of his chronic sleep problem after the wind turbines were installed in his neighborhood, and there were compelling reasons for his disrupted sleep that did not involve wind turbines.

Q. What did you conclude from these independent medical exams?

A. Each of these individuals attributed their health problems to wind turbines. However, wind turbines were not the cause of the identified health issues, and in my opinion, the misapplied blame to wind turbines prevented these individuals from seeking and obtaining much-needed medical treatment for their underlying conditions.

- Q. Did you provide testimony in the lawsuit that these individuals brought?
- 226 A. No. The case settled soon after I completed the independent medical examinations.

228 IV. RESPONSE TO INTERVENOR TESTIMONY 229 230 Α. Response to Prof. Alves-Pereira. 231 232 Q. Prof. Alves-Pereira states that, "perhaps more worrisome, families in ILFN-233 contaminated homes are sleeping while enveloped within an environment that 234 is bombarding their bodies with mechanical agents of disease." 235 Pereira Direct, lines 374-375) (emphasis in original). How does this relate to 236 the Project? 237 A. I have reviewed Prof. Alves-Pereira's testimony and there is no reasonable basis to 238 presume that infrasound from the wind turbines in the Prevailing Wind Park Project 239 ("Project") will pose any risk to human health. 240 241 В. Response to Dr. Punch. 242 243 Q. Dr. Punch asserts that "a substantial proportion of people living in the vicinity 244 of the proposed Project can be expected to experience not only annoyance, 245 but also a variety of adverse health effects" including, among other things, 246 sleep disturbance. (Punch Direct, lines 100-08). What is your response? 247 A. Based on the sound levels proposed for the Project (below 45 dBA), it is my expert 248 opinion that such sound levels will neither interfere with sleep nor pose a risk to 249 human health. Dr. Punch's statement misrepresents the facts and is the kind of 250 statement that may have the effect of causing people to be annoyed by wind

251252

253

254

255

256

turbines.

- Q. Dr. Punch further asserts that he estimates that "around 15%-25% of exposed residents" will experience extreme annoyance and sleep disturbance because of the Project. (Punch Direct, lines 124-26). Is that a reasonable estimate of the problem?
- A. This estimate is a gross exaggeration, both in the number of people affected and the degree of the effect. The experience of annoyance to wind turbines is highly

subjective and personal. As noted in the Massachusetts Study (p. 54), annoyance appears to be coupled to many factors, including sound, site and the attitude toward turbines. If residents are misinformed that the turbines will cause negative health effects, or if they are told, as they are here by Dr. Punch, that 1 in 5 people will "experience extreme annoyance and sleep disturbance because of the Project," then they are more likely to find the turbines of the Project annoying.

- Q. Dr. Punch states that studies "have established a closer relationship between subjective responses to community noise and cardiovascular outcomes when the annoyance is sleep-related than when it is non-sleep-related." (Punch Direct, lines 135-38). What is your response?
- A. Dr. Punch relies a 2009 World Health Organization ("WHO") report. In the same paragraph of the WHO report, which he misquotes, it also says "[w]ith respect to night noise exposure, nearly no information is available from epidemiological studies on the cardiovascular effects of long-term noise exposure of the bedroom during the night." (p. 74). More relevant to this Project, there is no data to link cardiovascular disease outcomes related to sleep with wind turbines. It is my expert opinion that noise at the levels proposed for the Project will not lead to adverse health outcomes or sleep disturbance.

- Q. Dr. Punch asserts that "[w]ind turbine noise is a significant disruptor of sleep." (Punch Direct, line 288). Do you agree?
 - A. I disagree. I am not aware of any study demonstrating objective findings that support a claim that wind turbine noise significantly disrupts sleep, particularly at the levels of the Project. It makes reasonable sense that, if the noise were to be very high, then sleep might be disrupted. But the levels for the Project below 50 dBA are well within my expectation that sleep will not be disturbed.

Q. Relying on Dr. Schomer, Dr. Punch asserts that "wind turbine noise should be limited to an average level of 36-38 dBA, based on a 24-hour measurement period" to minimize or avoid sleep disturbance. (Punch Direct, lines 317-18).

- 290 Is it your opinion that noise requirements less than 45 dBA are necessary to avoid impacts to sleep?
- A. No. Noises in the mid-40s dBA represent reasonable levels that are not of substantial concern for sleep.

C. Response to Mr. James.

- 297 Q. Mr. James asserts that the project "has a significant potential to cause adverse health effects related to sleep disturbance." Do you agree?
- A. No, I do not agree. The sound level for the proposed Project is well within reasonable limits that I expect will neither cause adverse health effects nor sleep disturbance.

- Q. Mr. James attaches a document titled *Noise: Windfarms* to his testimony as Exhibit 2 (the "Shepherd Paper"). Are you familiar with the Shepherd Paper?
- A. Yes. The paper makes inaccurate, unsubstantiated claims and relies heavily on data of limited quality, including individual claims "reported in the press and on the internet...." (p. 7). Many of the claims have been criticized or shown to be unsubstantiated by expert panels and data elsewhere.

- 310 Q. Please discuss your thoughts on the sections of the Shepherd Paper which address sleep.
 - A. I agree with their comment in figure 1, that "fear of noise-induced annoyance and sleep disruption" is a "barrier to social acceptance" of wind turbines. However, I believe that the basis for this fear is not founded on fact, and is more a function of unsubstantiated or exaggerated claims of annoyance, health problems, or problems with sleep. This is partly a function of relying on statements such as the following, made by Shepherd et al: "...there is little research on the effects of wind turbine noise on sleep. However, there is no doubt that wind turbine noise can and does disturb the sleep of those living nearby." (p. 7).

- 321 Q. The Shepherd Paper contains various references to "correlation" and 322 "causation." What is the difference between correlation and causation?
- 323 A. Correlation and causation are not synonymous. The blurring of the line between 324 these two words can often leave people confused about what action to take to avoid 325 an undesirable outcome. For example, wearing bifocals is correlated with heart 326 disease. Wearing bifocals, however, is not causal to heart disease. And fixing eye 327 problems will in no way reduce heart disease. The correlation does exists, but not 328 because eye disease results in heart disease, but rather, because individuals who 329 wear bifocals tend to be older and being older poses a greater risk of having heart 330 disease. Mr. James and his colleagues appear to blur the line between correlation 331 and causation, but it is essential to understand and acknowledge this important 332 distinction.

333

334

V. CONCLUSION

335

336

Q. Please summarize your testimony.

337 A. The testimony Prof. Alves-Pereira, Mr. James and Dr. Punch provide raises 338 illegitimate claims of adverse health impacts associated with wind turbines. Their 339 testimony is not supported by science and, in my view, does not help inform this 340 process.

341

Based on my medical experience in neurology and sleep medicine, and knowledge of the scientific literature, the Project, as proposed, will not impact health or affect sleep.

345

- Q. Does this conclude your Rebuttal Testimony?
- 347 A. Yes.

Dated this 26th day of September, 2018.

349

350

351 Dr. Jeffrey Ellenbogen

352