LAW OFFICES RITER, ROGERS, WATTIER & BROWN, LLP

Professional & Executive Building 319 South Coteau Street P.O. Box 280 Pierre, South Dakota 57501-0280

www.riterlaw.com

ROBERT C. RITER, Jr. DARLA POLLMAN ROGERS JERRY L. WATTIER JOHN L. BROWN

MARGO D. NORTHRUP, Associate

TELEPHONE 605-224-5825 FAX 605-224-7102 PECEUSI

JUL 2 7 2007

SOUTH DAKOTA PUBLIC UTILITIES COMMISSION OF COUNSEL: Robert D. Hofer E. D. Mayer

July 26, 2007

Mr. Gene Lebrun Mr. Steven Oberg Lynn, Jackson, Shultz, & Lebrun PO Box 8250 Rapid City, SD 57709

Re: Verizon Wireless v. PUC v. SDTA

Dear Gene & Steven:

Please find enclosed a copy of the Stipulation of Facts that was filed today with the Court.

Sincerely,

Margo D. Northrup

MDN/sjh Enclosure

Cc: Philip Schenkenberg Rolayne Ailts Wiest

Client

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JUL 2 7 2007

UNITED STATES DISTRICT COURT

DISTRICT OF SOUTH DAKOTA

SOUTH DAKOTA PUBLIC UTILITIES COMMISSION

CENTRAL DIVISION

Verizon Wireless (VAW) LLC,) Civil No. 04-3014
CommNet Cellular License Holding LLC,)
Missouri Valley Cellular, Inc.,)
Sanborn Cellular, Inc., and)
Eastern South Dakota Cellular, Inc.)
d/b/a VERIZON WIRELESS,)
Plaintiff,) STIPULATION OF FACTS
vs.)
Steve Kolbeck, Gary Hanson, and Dustin Johnson,))
in their official capacities as) \
the Commissioners of the South Dakota)
Public Utilities Commission,))
)
Defendants,)
and)
South Dakota Telecommunications Ass'n and Venture Communications Cooperative,))
Defendant Intervenors.	j

I. PARTIES

- 1. Plaintiff Verizon Wireless (VAW) LLC is a Delaware limited liability corporation with its principal place of business at 180 Washington Valley Road, Bedminster, NJ 07921.
- 2. Plaintiff CommNet Cellular License Holding LLC is a Colorado limited liability corporation with its principal place of business at 180 Washington Valley Road, Bedminster, NJ 07921.

- 3. Plaintiff Missouri Valley Cellular, Inc. is a South Dakota corporation with its principal place of business at 180 Washington Valley Road, Bedminster, NJ 07921.
- 4. Plaintiff Sanborn Cellular, Inc. is a South Dakota corporation with its principal place of business at 180 Washington Valley Road, Bedminster, NJ 07921.
- 5. Plaintiff Eastern South Dakota Cellular, Inc. is a South Dakota corporation with its principal place of business at 180 Washington Valley Road, Bedminster, NJ 07921.
- 6. Verizon Wireless (VAW) LLC, CommNet Cellular License Holding LLC, Missouri Valley Cellular, Inc., Sanborn Cellular, Inc., and Eastern South Dakota Cellular (collectively "Verizon Wireless") all provide wireless telecommunications services in South Dakota under the "Verizon Wireless" brand name. Verizon Wireless, through its subsidiaries and affiliates, provides wireless service to more than 38 million customers in 49 states.
- Defendant Dustin Johnson is the Chairman of the South Dakota Public Utilities
 Commission ("PUC") and is being sued in his official capacity.
- 8. Defendant Gary Hanson is a Commissioner of the PUC and is being sued in his official capacity.
- Defendant Steve Kolbeck is a Commissioner of the PUC and is being sued in his official capacity.
- 10. Defendant Intervenor South Dakota Telecommunications Associations ("SDTA") is a South Dakota corporation whose members consist of rural incumbent local exchange carriers ("ILECs") in South Dakota.
- 11. Defendant Intervenor Venture Communications Cooperative ("Venture") is one of the member ILECs of SDTA and is a non-profit cooperative organization that provides telecommunication services in central and northeastern South Dakota.

II. JURISDICTION AND VENUE

- 12. This court has subject matter jurisdiction over this action pursuant to 28 U.S.C. § 1331.
- 13. Venue is proper in this District under 28 U.S.C. § 1391(b) because the Defendants reside in this District and because a substantial part of the events giving rise to this action occurred in this District.

III. VERIZON WIRELESS' NETWORK AND SERVICES

- 14. Verizon Wireless provides commercial mobile service as defined in 47 U.S.C. § 332, and commercial mobile radio services ("CMRS") as defined in 47 C.F.R. § 20.3.
- 15. The entities listed in paragraphs 1-5 above hold FCC spectrum licenses for certain geographic areas in South Dakota.
- 16. Verizon Wireless provides service in accordance with its licenses by using network facilities that include cell sites, leased transmission facilities, and switches. A call made by a Verizon Wireless customer is picked up by a cell site, delivered on owned or leased transmission facilities to a switch, and then routed directly or indirectly to the carrier serving the person being called.
- 17. When routing the call to the carrier serving the person being called, Verizon Wireless may route the call through one or more Verizon Wireless switches before directly or indirectly delivering the call to the carrier serving the person being called.
- 18. Verizon Wireless operates approximately 90 cell sites that are physically located in South Dakota. Some South Dakota cell sites that are near a state border serve portions of other states. Verizon Wireless also operates cell sites in neighboring states, and some cell sites in other states serve portions of South Dakota.

- 19. Verizon Wireless operates a switch in Sioux Falls, South Dakota (referred to as a "mobile switching center" or "MSC") that processes all calls originated or terminated through Verizon Wireless cell sites that are physically located in South Dakota. The Sioux Falls switch also processes calls originated or terminated through a number of cell sites located in northwest Iowa, one cell site located in northeast Nebraska, and a number of cell sites located in Minnesota.
- 20. The Verizon Wireless Sioux Falls switch is currently interconnected to Verizon Wireless switches located in Minneapolis, Golden Valley, and Fargo through Intermachine Trunks (IMTs).
- 21. Verizon Wireless is interconnected to the public switched network in South Dakota through physical connections it has with Qwest Communications. These physical connections with Qwest allow Verizon Wireless to deliver calls to Qwest customers. This is referred to as direct interconnection.
- 22. These physical connections with Qwest also allow Verizon Wireless to deliver calls destined to customers of other carriers who are also connected to Qwest. This is referred to as indirect interconnection. In the case of indirect interconnection, Qwest performs what is referred to as a "transit" function, and acts as an intermediary between the originating and terminating carrier. Qwest is paid a per-minute transit fee by Verizon Wireless as the originating carrier.
- 23. Verizon Wireless delivers some calls that originate with its customers to an Interexchange Carrier (IXC) for delivery to the ILECs. Once Verizon Wireless delivers the call to the IXC, the IXC is responsible for terminating the call to the ILEC, as well as being financially responsible for the terminating access to the ILEC.

- 24. Calls that are originated by Verizon Wireless and transited by Qwest are delivered to Qwest switches that are referred to as "tandems." Verizon Wireless is connected to the Qwest tandems in Sioux Falls and Rapid City. The Sioux Falls switch is in the Minneapolis Major Trading Area (MTA) and the Rapid City switch is in the Denver MTA. Verizon Wireless commingles calls that are switched at its MSC in Sioux Falls and destined to carriers connected to the specific Qwest tandem.
- 25. For example, Verizon Wireless is connected at the Qwest access tandem in Rapid City, South Dakota. Verizon Wireless delivers calls that are switched at its Sioux Falls switch and are destined for delivery to other carriers connected at Qwest's Rapid City access tandem. One of these carriers, for example, would be the Fort Randall Telephone Company, which is an SDTA member.
- 26. A call from a Verizon Wireless customer in one MTA can be routed through the Verizon Wireless switching network and be delivered to an ILEC customer located in another MTA without using an IXC for delivering the call.
- 27. Verizon Wireless also maintains direct connections with several ILECs in South Dakota other than Qwest. Where these direct connections are maintained, Verizon Wireless may deliver its calls without using Qwest as an intermediary.

IV. INTERCARRIER COMPENSATION

28. Parts of South Dakota lie in three different MTAs. MTA-12 (Minneapolis) covers roughly the eastern and central two-thirds (2/3) of South Dakota but also includes all of North Dakota and almost all of Minnesota. MTA-22 (Denver) covers roughly the western one-third (1/3) of South Dakota but also includes much of Colorado, most of Wyoming, western Nebraska, and a small portion of Kansas. MTA-32 (Des Moines) covers the southeast corner of

South Dakota, most of Iowa, the northeast corner of Nebraska, western Illinois, and small portions of Wisconsin and Missouri. These MTA boundaries are set forth in Attachment A.

- 29. There are SDTA Companies within all three of the above MTAs.
- 30. Verizon Wireless has cell sites that serve across MTA and state boundaries.
- 31. Due to Verizon Wireless' network, its service areas, the MTA boundaries, and the LEC areas, Verizon Wireless may deliver wireless originated traffic to all South Dakota LECs that are a) intraMTA, b) interMTA and intrastate, and c) interMTA and interstate.
- 32. Reciprocal compensation rates and access charges are subject to different regulatory costing standards. The members of the SDTA have negotiated per-minute reciprocal compensation rates as follows:

	PER MINUTE RECIPROCAL
COMPANY	COMPENSATION RATES
Alliance Communications Cooperative, Inc.	\$0.007 - \$0.035
Armour Independent Telephone Co.	\$0.009 - \$0.038
Beresford Municipal Telephone Co.	\$0.007 - \$0.035
Bridgewater-Canistota Independent Tel. Co.	\$0.009 - \$0.033
CRST Telephone Authority	\$0.009 - \$0.028
Faith Municipal Telephone Company	\$0.007 - \$0.053
Fort Randall Telephone Company	\$0.009 - \$0.028
Golden West Telecommunications Coop.	\$0.009
Interstate Telecommunications Cooperative	\$0.007 - \$0.030
James Valley Telecommunications	\$0.0083 - \$0.030
Jefferson Telephone Co. (Long Lines)	\$0.038 - \$0.040
Kadoka Telephone Company	\$0.009 - \$0.029
Kennebec Telephone Company. Inc.	\$0.029 - \$0.040
McCook Cooperative Telephone Co.	\$0.029 - \$0.053
Midstate Communications	\$0.020 - \$0.030
PrairieWave Community Telephone	\$0.005 - \$0.028
RC Communications, Inc.	\$0.020 - \$0.033
Roberts County Telephone Cooperative	\$0.020 - \$0.053
Santel Communications Coop.	\$0.009 - \$0.030
Sioux Valley Telephone Co.	\$0.009 - \$0.028
Splitrock Properties, Inc.	\$0.020 - \$0.030
Stockholm-Strandburg Telephone Co.	\$0.020
Swiftel Communications (Brookings)	\$0.007 - \$0.030
Tri-County Telcom, Inc.	\$0.029 - \$0.053
Union Telephone Co.	\$0.009 - \$0.028
Valley Telecommunications Cooperative	\$0.020 - \$0.030
Venture Communications Coop.	\$0.009 - \$0.028
Vivian Telephone Company	\$0.009
West River Cooperative Telephone Co.	\$0.020 - \$0.030
West River Telecommunications Coop.	\$0.007 - \$0.040
Western Telephone Company	\$0.029 - \$0.035

These reciprocal compensation rates were voluntarily negotiated between each SDTA Company and one or more CMRS providers and the interconnection agreements which contain the rates have been filed with and approved by the Commission under 47 U.S.C. § 252.

33. The SDTA companies' terminating access rates are as follows:

	PER MINUTE	PER MINUTE
	INTERSTATE	INTRASTATE
COMPANY	ACCESS RATE	ACCESS RATE
Alliance Communications Cooperative, Inc.	\$0.015700 - \$0.040047	\$0.125
Armour Independent Telephone co.	\$0.036388	\$0.125
Beresford Municipal Telephone Co.	\$0.026091	\$0.125
Bridgewater-Canistota Independent Tel. Co.	\$0.028496 - \$0.030106	\$0.125
CRST Telephone Authority	\$0.060062 - \$0.066804	\$0.125
Faith Municipal Telephone Company	\$0.066341	\$0.125
Fort Randall Telephone Company	\$0.016118 - \$0.031330	\$0.07267
Golden West Telecommunications Coop.	\$0.053367 - \$0.072828	\$0.125
Interstate Telecommunications Cooperative	\$0.023726 - \$0.043660	\$0.125
James Valley Telecommunications	\$0.037554 - \$0.047405	\$0.125
Jefferson Telephone Co. (Long Lines)	\$0.036553	\$0.125
Kadoka Telephone Company	\$0.060612	\$0.1225
Kennebec Telephone Company, Inc.	\$0.046538 - \$0.049738	\$0.125
McCook Cooperative Telephone Co.	\$0.028334 - \$0.031286	\$0.125
Midstate Communications	\$0.032071 - \$0.042536	\$0.125
PrairieWave Community Telephone	\$0.024919 - \$0.026207	\$0.125023
RC Communications, Inc.	\$0.037723 - \$0.048168	\$0.125
Roberts County Telephone Cooperative	\$0.043680 - \$0.043841	\$0.125
Santel Communications Coop.	\$0.020894- \$0.031983	\$0.125
Sioux Valley Telephone Co.	\$0.019386 - \$0.035466	\$0.125
Splitrock Properties, Inc.	\$0.083669	\$0.125
Stockholm-Strandburg Telephone Co.	\$0.038166 - \$0.041849	\$0.125
Swiftel Communications (Brookings)	\$0.023726	\$0.125
Tri-County Telcom, Inc.	\$0.027023 - \$0.027351	\$0.125
Union Telephone Co.	\$0.012351 - \$0.012512	\$0.125
Valley Telecommunications Cooperative	\$0.046155 - \$0.056922	\$0.125
Venture Communications Coop.	\$0.028290 - \$0.049864	\$0.125
Vivian Telephone Company	\$0.020063 - \$0.067420	\$0.125
West River Cooperative Telephone Co.	\$0.070816 - \$0.071388	\$0.125
West River Telecommunications Coop.	\$0.020040 - \$0.049778	\$0.125
Western Telephone Company	\$0.046216 - \$0.049899	\$0.125

Interstate access rates are contained within tariffs that are on file with the Federal Communications Commission. Intrastate access rates are established pursuant to administrative rules adopted by the South Dakota PUC (SDPUC) and are contained within tariffs that are filed with and approved by the SDPUC.

V. CHAPTER 284

34. In 2004, the South Dakota Legislature enacted Session Laws Chapter 284 (Senate Bill No. 144), which was codified as SDCL §§ 49-31-109 to 49-31-115 (hereinafter "Chapter 284"). A copy of Chapter 284 is Attachment B.

VI. SIGNALING

- 35. A commonly accepted industry standard protocol for delivering signaling information between telecommunications service providers is referred to as Signaling System 7 or "SS7." SS7 is the most common signaling protocol used in the industry. Verizon Wireless utilizes SS7 throughout its South Dakota network. The South Dakota ILECs also use SS7 signaling throughout their networks.
- 36. SS7 provides carriers the ability to exchange information necessary for call establishment, billing, and routing. Before a call can be established, the SS7 signaling networks communicate with each other to determine how and whether the call will be delivered. As this is done, SS7 signaling messages are created by the originating carrier, and are carried on a separate circuit (i.e., an "out-of-band" circuit) from the voice circuit.
- 37. Verizon Wireless has upgraded its MSCs with the necessary software to allow them to create SS7 messages. This software is developed and sold by the switch vendor, which for Verizon Wireless' Sioux Falls switch is Nortel. Switch vendors develop SS7 software to comply with accepted industry standards.
- 38. The South Dakota ILECs have upgraded their switching network with the necessary software and hardware to allow them to communicate with the SS7 network.
- 39. Once installed, the SS7 software fills in, or "populates" the header for the SS7 message and fields that are "mandatory" based on industry standards. The mandatory SS7 fields that are automatically populated are message type, nature of connections, forward call indicators,

calling party's category, user service information, and called party number. If the header and these mandatory fields are populated, the SS7 message is deemed complete in accordance with industry standards, the call path is established, and the call is completed.

- 40. There are a number of SS7 fields that are considered to be "optional" in accordance with industry standards. This means that they can be populated, but they are not necessary to establish a voice path and complete a call. The SS7 software can normally be programmed to populate the optional fields.
- 41. Jurisdictional Information Parameter or ("JIP") is an optional field. When populated, it is populated with a six-digit number. Verizon Wireless populates the JIP field in a manner that is consistent with industry standards developed through the Alliance for Telecommunications Industry Solutions ("ATIS") Network Interconnection Interoperability Forum ("NIIF"). ATIS is a technical planning and standards development organization, and its membership includes large and small LECs, long distance carriers, wireless carriers, and equipment vendors. Approved ATIS standards are considered to be industry standards.
- 42. On December 15, 2004, the NIIF released a standards document that includes, among other things, rules on how wireless carriers are to populate the JIP field. ATIS-0300011, Network Interconnection Interoperability (NIIF) Reference Document, Part III, Installation and Maintenance Responsibilities for SS7 Links and Trunks, p. 21 (Attachment C). These rules provide that the JIP should be populated "where technically feasible" with an NPA-NXX that is assigned in the LERG to the originating switch or MSC." An NPA-NXX is industry shorthand for the area code and prefix for a block of 10,000 phone numbers. The LERG is the Local Exchange Routing Guide, which carriers use to determine how to route calls. When Verizon

Wireless is assigned a block of 10,000 phone numbers, it associates that NPA-NXX with its MSC in the LERG.

- 43. An MSC may cover a large geographic area, and thus may have many NPA-NXXs associated with it. Each NPA-NXX may be assigned to a rate center hundreds of miles away and can be in a different MTA from the MSC.
- 44. Verizon Wireless populates the JIP field with the first six digits of its location routing number ("LRN"), which is a ten-digit code associated with its Sioux Falls switch. As a result, all calls switched through Sioux Falls have the same JIP.
- 45. It is not uncommon in the telecommunications industry, when two carriers exchange traffic and it is not possible or not practical for them to determine the jurisdictional nature of the traffic being exchanged on a call by call basis, to perform a traffic study to establish the traffic mixes. The goal of these studies is to determine a factor that results in a reasonable estimate of the type of traffic being exchanged between the carriers.

Dated this 26th day of July, 2007.

Gene N. Lebrun
Craig Pfeifle
LYNN, JACKSON, SHULTZ & LEBRUN, P.C.
909 St. Joseph Street
P. O. Box 8250
Rapid City, South Dakota 57709
Telephone: (605) 342-2592

Philip R. Schenkenberg Briggs and Morgan, P.A.

2200 IDS Center

80 South Eighth Street

Minneapolis, Minnesota 55402

Telephone: (612) 977-8400

ATTORNEYS FOR PLAINTIFFS

Dated: 7 - 26 - 07

Kolayne Sults Wiest

Rolayne Ailth Wiest

Assistant Attorney General

South Dakota Public Utilities Commission

500 East Capitol

Pierre, SD 57501-5070

Telephone: (605) 773-3201

ATTORNEY FOR DEFENDANTS

Dated: 7-2(0-0)

RITER, ROGERS, WATTIER & BROWN, LLP

Darla Pollhyan Rogers Margo D. Northrup

P.O. Box 280

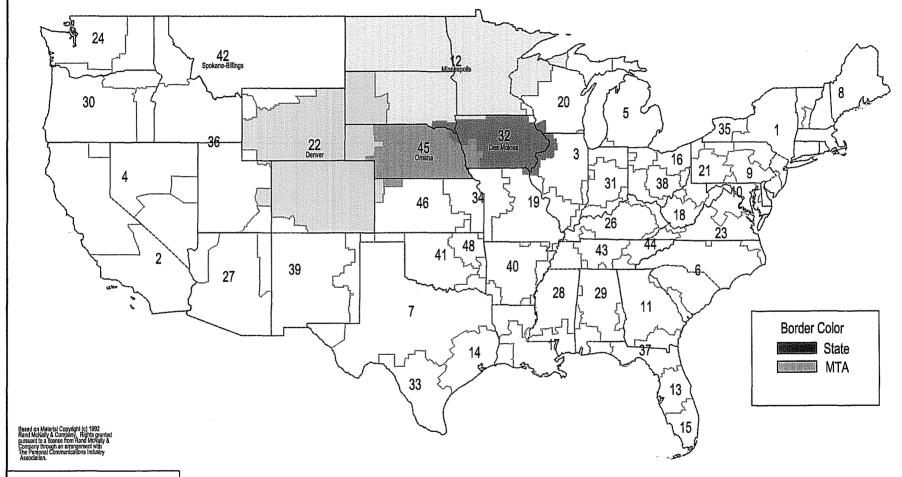
Pierre, South Dakota 57501

Telephone: (605) 224-5825

ATTORNEYS FOR INTERVENORS

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The 51 Major Trading Areas (MTAs)





MTA-Like areas not shown:

M25 Puerto Rico & US Virgin Islands

M49 Alaska

M50 Guam and Northern Mariana Islands

M51 American Samoa



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CHAPTER 284

(SB 144)

Telecommunications traffic signaling information to be provided.

ENTITLED, An Act to require certain telecommunications traffic signaling information to be provided to facilitate payment of inter-carrier compensation.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF SOUTH DAKOTA:

Section 1. That chapter 49-31 be amended by adding thereto a NEW SECTION to read as follows:

Terms used in this Act mean:

- (1) "Interexchange carrier," a telecommunications carrier providing nonlocal telecommunications services;
- (2) "Local telecommunications traffic," any wireline to wireline telecommunications traffic that originates and terminates in the same wireline local calling area or wireline to wireless telecommunications traffic that originates within and is delivered to an actual point of presence established by a wireless service provider in the same wireline local calling area. Local telecommunications traffic also includes any wireless to wireline telecommunications traffic that originates and terminates in the same major trading area as defined in 47 CFR § 24.202(a) as of January 1, 2004;
- (3) "Nonlocal telecommunications traffic," any wireline to wireline telecommunications traffic that originates in one wireline local calling area and terminates in another wireline local calling area and wireline to wireless telecommunications traffic that originates in one wireline local calling area and is delivered to an actual point of presence established by a wireless service provider in another wireline local calling area. Nonlocal telecommunications traffic also includes any wireless to wireline telecommunications traffic that originates in one major trading area and terminates in another major trading area;
- (4) "Originating carrier," a telecommunications carrier whose network or service is used by a customer to originate telecommunications traffic. An originating carrier may be a

wireline or wireless carrier transmitting local telecommunications traffic or an interexchange carrier transmitting nonlocal telecommunications traffic;

- (5) "Terminating carrier," a telecommunications carrier upon whose network telecommunications traffic terminates to the called party;
- (6) "Transiting carrier," a telecommunications carrier that does not originate or terminate telecommunications traffic, but either switches or transports traffic, or both, between an originating carrier and a terminating carrier;
- (7) "Transit traffic," telecommunications traffic that an originating carrier has delivered to a transiting carrier or carriers for delivery to a terminating carrier.
 - Section 2. That chapter 49-31 be amended by adding thereto a NEW SECTION to read as follows:

If necessary for the assessment of transport and termination charges pursuant to 47 U.S.C. § 251(b) (5) as of January 1, 2004, an originating carrier of local telecommunications traffic shall, in delivering its traffic, transmit signaling information in accordance with commonly accepted industry standards giving the terminating carrier information that is sufficient to identify, measure, and appropriately charge the originating carrier for services provided in terminating the local telecommunications traffic. If the originating carrier is delivering both local and nonlocal telecommunications traffic, the originating carrier shall separately provide the terminating carrier with accurate and verifiable information, including percentage measurements that enables the terminating carrier to appropriately classify telecommunications traffic as being either local or nonlocal, and interstate or intrastate, and to assess the appropriate applicable transport and termination or access charges. If accurate and verifiable information allowing appropriate classification of the terminated traffic is not provided by the originating carrier, the terminating carrier may classify all unidentified traffic terminated for the originating carrier as nonlocal telecommunications traffic for service billing purposes.

Section 3. That chapter 49-31 be amended by adding thereto a NEW SECTION to read as follows:

An originating carrier of nonlocal telecommunications traffic shall, in delivering its traffic, transmit signaling information in accordance with commonly accepted industry standards giving the terminating carrier information that is sufficient to identify, measure, and appropriately charge the originating carrier for services provided in terminating the nonlocal telecommunications traffic. If the originating carrier is delivering both intrastate and interstate nonlocal telecommunications traffic, the originating carrier shall separately provide the terminating carrier with accurate information including verifiable percentage measurements that enables the terminating carrier to appropriately classify nonlocal telecommunications traffic as being either interstate or intrastate, and to assess the appropriate applicable access charges. If accurate and verifiable information allowing appropriate classification of the telecommunications traffic is not provided by the originating carrier, the terminating carrier may classify all unidentified nonlocal telecommunications traffic terminated for the originating carrier as intrastate telecommunications traffic for service billing purposes.

Section 4. That chapter 49-31 be amended by adding thereto a NEW SECTION to read as follows:

A transiting carrier shall deliver telecommunications traffic to the terminating carrier by means of facilities and signaling protocols that enable the terminating carrier to receive from the originating carrier all signaling information, as required by sections 2 and 3 of this Act, the originating carrier transmits with its telecommunications traffic. If any transiting carrier fails to deliver telecommunications traffic to another transiting carrier or to the terminating carrier with

all of the signaling information transmitted by the originating carrier as required by sections 2 and 3 of this Act, and this results in telecommunications traffic that is not identifiable and therefore not billable by the terminating carrier to the appropriate originating carrier, the transiting carrier is liable to the terminating carrier for the transport and termination or access compensation relating to the traffic that cannot be identified and billed to the appropriate originating carrier.

Section 5. That chapter 49-31 be amended by adding thereto a NEW SECTION to read as follows:

Upon the request of a terminating carrier, the transiting carrier shall provide detailed transit traffic records or billing records related to the telecommunications traffic delivered to the terminating carrier.

Section 6. That chapter 49-31 be amended by adding thereto a NEW SECTION to read as follows:

Any telecommunications carrier damaged by noncompliance with the provisions of this Act may file a complaint with the commission pursuant to the provisions of chapter 49-13. If a complaint is filed seeking enforcement of any of the provisions in this Act, the commission is authorized to order interim payments to the damaged party or other appropriate relief pending the final resolution of the complaint

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proceeding.

Section 7. That chapter 49-31 be amended by adding thereto a NEW SECTION to read as follows:

The commission may promulgate rules pursuant to chapter 1-26 for the purpose of implementing the provisions of this Act. The rules may address:

- (1) Defining the terms used in this Act;
- (2) Signaling information requirements;
- (3) Carrier information necessary to appropriately classify telecommunications traffic;
- (4) The handling of complaints filed by carriers under this Act; and
- (5) Transit traffic records.

Signed February 26, 2004.

ATIS-0300011

Network Interconnection Interoperability (NIIF) Reference
Document

Part III, Installation and Maintenance Responsibilities for SS7 Links and Trunks

(formerly NIIF 5018)



ATIS is a technical planning and standards development organization that is committed to rapidly developing and promoting technical and operations standards for the communications and related information technologies industry worldwide using a pragmatic, flexible and open approach. Over 1,100 participants from more than 350 communications companies are active in ATIS' 21 industry committees, and its Incubator Solutions Program.

< http://www.atis.org/ >

Notice

This document was developed by the Alliance for Telecommunications Industry Solutions' (ATIS) sponsored Network Interconnection Interoperability Forum (NIIF). The NIIF provides an open forum to encourage the discussion and resolution, on a voluntary basis, of industry-wide Issues associated with telecommunications network interconnection and interoperability which involve network architecture, management, testing and operations and facilitates the exchange of Information concerning these topics. The NIIF is responsible for identifying and incorporating the necessary changes into this document. All changes to this document shall be made through the NIIF issue resolution process as set forth in the NIIF Principles and Procedures. This document is maintained and exclusively distributed by ATIS on behalf of the NIIF.

Note Regarding Previous Versions

The NIIF Reference Document was formerly known as the Network Operations Forum (NOF) Reference Document. The NOF Reference Document was published and maintained by Bellcore. The last version of the NOF Reference Document is Issue 13.

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ATIS-0300011, NIIF Reference Document, Part III, Installation and Maintenance Responsibilities for SS7 Links and Trunks

The NIIF Reference Document, Part III, Installation and Maintenance Responsibilities for SS7 Links and Trunks is an ATIS standard developed by the NIIF under the ATIS OAM& P Functional Group.

Published by
Alliance for Telecommunications Industry Solutions
1200 G Street, NW, Suite 500
Washington, DC 20005

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Printed in the United States of America.

INSTALLATION, TESTING & MAINTENANCE RESPONSIBILITIES FOR SS7 LINKS AND TRUNKS

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1. GÉNÉRAL

This document outlines Access Service Customer (ASC) and Access Service Provider (ASP) responsibilities for the Signaling System 7 (SS7) interface between carriers. It deals with the physical interconnecting trunks and link(s) as well as the software necessary to support the use of the link(s) to transport SS7 messages between carriers. It is intended to be a living document to aid operations work forces. It does not replace or supersede Tariffs, Contracts or any other legally binding documents. It is limited to procedures relating to the installation and maintenance of the SS7 trunks and link(s) and associated software used to interconnect and provide services between Interexchange and Access Service Providers. All networks which connect to ASCs and ASPs via SS7, should be expected to recognize these operational guidelines, as providers of public switched services regardless of whether or not they provide Public Switched Telephone Network services. For the purpose of this document, the terms, SS7 and CCS are interchangeable.

The ASC, for the purposes of this document, is defined as the Interexchange Customer engaged for hire between two or more exchanges, including interstate or foreign communication by wire or radio.

The ASP, for purposes of this document, denotes a company engaged in the business of furnishing access service in a franchised territory.

The Wireless Service Provider (WSP), for the purposes of this document, is defined as those carriers engaged in Intra/Interstate communication via radio frequency.

Local Service Provider (LSP) is defined as the Local Exchange Carrier (LEC) selling local interconnection service arrangement trunk(s) to another LEC.

Local Service Customer (LSC) is defined as the LEC purchasing local interconnection service arrangement trunk(s) from another LEC.

Where ASP/ASC interconnection statements are made or identified, specific to their purpose, WSP/LSP/LSC may be substituted as appropriate.

In addition to other operational and technical agreements made at the Network Interconnection Interoperability Forum, this document is being reissued to incorporate the following changes:

- Standardization of the Issue Number and publication date to agree with other sections of the NIIF Reference Document.
- · Addition of a new Part III Attachment G titled SS7 Diversity Validation Guidelines
- Addition of new document Part III Attachment H titled "SS7 Cause Codes & Tones and Announcements."

The SS7 link(s) may be considered as a network enhancement for certain existing services between Interexchange and Access Service Providers as well as providing a transport to new services.

The term node is used in this document to mean any SS7 capable network element without regard to its specific function i.e. Signal Transfer Point (STP), Signal Point (SP), Service Switching Point (SSP), Service Control Point (SCP).

The software resident programs, in both ASP and ASC interconnecting nodes for the purpose of providing services transported on SS7 link(s), will be referred to as applications.

The NIIF has developed the following guidelines outlining the medium by which internetwork affecting maintenance activity notification will be provided to the interconnected company and the time frame prior to the planned activity:

- Notification when possible should be made at least 5 working days prior to the planned change activity.
- Notification should be made in the form of:
 - E-Mail (recommended where available),
 - FAX or,

- Telephone call,
- Notification should be provided to the affected company's appropriate notification center. Contact
 information is included in the NIIF Company Specific Contact Directory. An example of a notification form
 is located in the NM Section, Part VI, of the NIIF Reference Document.

When network modifications are planned to any SS7 network element that has the potential of affecting traffic, the ASP/ASC must notify the interconnecting carrier(s) of the planned change. Sufficient information should be exchanged to ensure that all parties understand:

- Affected Network Element
- Date and time of change
- Expected duration
- Traffic effect during change
- Potential hazards

Compatibility test requirements and procedures should be considered.

The need exists to install and maintain time of day clock settings to coordinated universal time standards for SS7 network elements. In the absence of automated clock update standards and systems, it will be necessary to manually install and maintain time of day clock settings, as follows:

- Internal Network Element (NE) clocks set to + or 15 seconds from the Coordinated Universal Time (UTC) minute register would degrade service.
- Daily routine to check NE clock (e.g., 8 am) to UTC.
- Weekly routine to check clock source to National Institute of Science and Technology (NIST), e.g., WWV, Naval Observatory. etc., to nearest second + or - 1 seconds.
- Upon initialization of NE (boot) there is a need to load time of day, otherwise NE sets to 0000 hours 1/1/00 (operationally sensitive.)

2. LINK RESPONSIBILITIES

A. SS7 Link Control

The ASC will have overall responsibility for the installation and maintenance of the SS7 link(s). The designation of an ASC as having overall responsibility does not relieve the ASP of the responsibility for taking prompt appropriate actions needed to correct an identified failure condition. The ASPs and ASCs are responsible for the portion of an SS7 link, which they maintain.

The ASP is responsible for ensuring that its portion of the SS7 link(s) provided to the ASC are installed and functioning properly. In addition, the ASP should work cooperatively with the ASC to perform SS7 protocol tests to ensure the ability of the interfaces to correctly transmit and respond to SS7 messages being passed between carriers.

B. Access Service Customer (ASC)

The following are the responsibilities of the ASC:

- Provides trained personnel
- Designates a Control office(s) for the overall responsibility for SS7 link(s).
- Cooperates with the ASP to ensure that the SS7 link(s) are installed in accordance with the ASCs service request.

- Notifies the appropriate ASP Interexchange Customer Service Center (ICSC or equivalent) when the service due date is to be changed.
- Sectionalizes troubles to determine if the trouble is located in its facility, interface, or application or if the trouble is in the ASP facility, interface, or application.
- Ensures that any manually initiated tests performed on the SS7 link(s) or applications will not negatively
 affect the ASPs SS7 network.
- Coordinates any changes to its translations which may require changes to the ASPs translations.
- Cooperatively participate with the ASP to further identify, isolate and clear troubles which cannot be sectionalized into the ASP or ASC portion of the link or application software.
- Provides a trouble reporting number(s) that is readily accessible 24 hours, 7 days a week.
- Accepts and refer trouble reports from the ASP relating to SS7 and the services being provided over the link(s).
- Provides the ASP Notification of Maintenance Releases
- Overall coordination of control responsibilities
 - Tracking of orders
 - Coordination of testing
 - Coordination of link specific maintenance releases
 - Referral to appropriate groups for repair
 - Establishing of interface agreements
 - Responsibility for trouble agreements
 - Link(s) surveillance
- Maintains complete and accurate installation and repair records

C. Access Service Provider (ASP)

The following are the responsibilities of the ASP:

- Provides trained personnel
- Designates Control Office for the ASP portion of the SS7 link(s) provided for the ASC interconnection.
- Cooperates with the ASC to ensure that the SS7 interconnection is installed and functioning properly.
- Notifies the ASC of due date jeopardy in a timely manner.
- Sectionalizes troubles to determine if the trouble is located in its facility, interface, or application or if the
 trouble is in the ASC facility, interface, or application.
- Ensures that any manually initiated tests performed on the SS7 link(s) or applications will not negatively affect the ASC's SS7 network.
- Coordinates any changes to its translations which may require changes to the ASC's translations.
- Cooperatively participates with the ASC to further identify, isolate and clear troubles which cannot be sectionalized into the ASP or ASC portion of the link or application software.
- Provides a trouble reporting number that is readily accessible 24 hours, 7 days a week.

- Accepts and refers trouble reports from the ASC relating to SS7 and the services being provided over the link(s).
- Provides the ASC with notification of maintenance releases.
- Maintains complete and accurate installation and repair records.

D. LINK DEFINITIONS

A-Link - Access Links connecting a switching point or a database to its home Signal Transfer Point (STP).

B-Link - Bridge Links interconnecting STP pairs of the same hierarchical level within the same network.

C-Links - Cross Links connecting mates of an STP pair in the same network

D-Links - Diagonal Links 1) connecting STP pairs between different networks or 2) connecting STP pairs of different hierarchical levels within the same network.

E-Links - Extension Links connecting a Signaling Point (SP) to an STP pair other than its home STP pair.

F-Links - Fully associated Links directly connecting two switching points to one another or a switching point to a database node.

E. Link Augmentation

The following thresholds are recommended for augmentation of existing SS7 linksets between interconnected companies:

Warning Threshold

Linkset augmentation investigation/initiation should take place no later than when the linkset utilization reaches 25%.

Critical Threshold

Linkset augmentation of the linkset should be completed before the linkset utilization reaches 32%.

F. Diversity

Documentation pertaining to the Link Diversity Validation guidelines is covered in Part III Attachment G.

The following describes recommended criteria for Interconnecting Link diversity;

- The architecture with ASP STPs connected to ASC STPs (Figure 1) requires three-way physical diversity
 of CCS link facilities.
- The architecture with ASP STPs connected to ASC SPs (Figure 2) requires two-way physical diversity of CCS link facilities.
- Three-way physical diversity between ASP STPs and ASC STPs means that no two failures should simultaneously disable all three physical diverse link sets¹ between ASP STPs and ASC STPs (see Ex. Figure 1).

¹ A (signaling) link set is a set of signaling links directly connecting two signaling nodes.

 Two-way physical diversity between ASP STPs and ASC SPs means that no single failure should simultaneously disable both two physically diverse link sets between ASP STPs and ASC SPs (see Ex.

Figure 2).

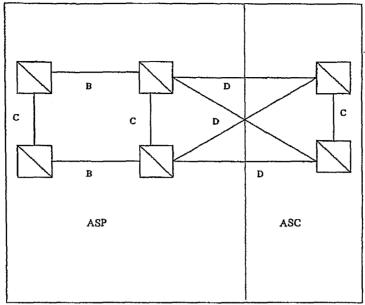


Figure 1 - STP to STP Interface (Example)

STP LINK CONFIGULATION

B LINKS

C LINKS D LINKS

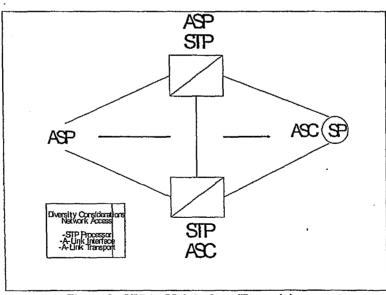


Figure 2 - STP to SP Interface (Example)

in general, n-way diversity between two portions of a network connected by multiple link sets means that no instance of (n-l) or less simultaneous failures will cause there to be no available link set between the two portions.

To avoid common cause failures (i.e., single failures that disable more than one link set), ideally link sets should not share common resources (e.g., electronic equipment), and wherever possible, should be physically separated.

Other Considerations

CCS/SS7 route diversity, which assumes a network architecture that encompasses interconnecting mated STPs, is defined as signaling link sets that are on physically and electrically separate routes; exceptions to this guideline may apply to building entrances, circuit distribution frames, power plants and some remote signaling points.

A-Link Concentrators for Local Service Providers (LSPs)

LSCs/LSPs using A-Link Concentrators (ALCs) in their network are strongly encouraged to employ the mated pair architecture. If the LSCs/LSPs elect to use other architectures (Stand-Alone or Dual Access) and full point code routing (non-cluster routing) is utilized, the maximum number of subtending SPs should be negotiated between the operator of the ALC and the operator of the STP to minimize network management messages.

CCS Link Interconnectivity

Physical Link diversity is achieved first in the provisioning process and then maintained by operational procedures. When SS7 link facilities are leased it is the responsibility of both the user and the provider(s) to validate diversity. For example, if hypothetical company X leases SS7 link facilities from separate companies Y and Z, company X may assume the facilities, for the SS7 links, to be diverse per NIIF recommendations. However, company Z may also lease facilities from company Y, possibly resulting in company X's SS7 link facilities not meeting NIIF diversity validation recommendations.

Provisioning

The provisioning process should take into account facility routing and equipment assignments such as:

- Cable routing
- Separate Equipment
- Powering and Fusing
- Building Entrance locations
- Distribution Frames
- Equipment Cable Racks
- Nailed Up Connections, e.g. Digital Cross Connect Equipment

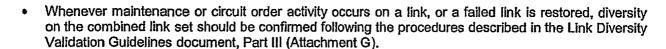
Maintenance

In order to maintain link diversity, operational procedures should address the following link set maintenance considerations;

- Notification of ASC and ASP Centers.
- Determination of the status of all links in the combined link set.
- Expeditious return of the repaired/operational link to service.

Once diversity is designed into a combined link set, the ASC/ASP should ensure that the diversity is maintained:

• Diversity on all link sets should be routinely confirmed following the procedures described in the Link Diversity Validation Guidelines document, Part III (Attachment G).



 At a minimum, validation of diversity on all interconnected links in all link sets should be performed at least once every six (6) months following the procedures described in the Link Diversity Validation Guidelines document, Part III (Attachment G).

G. Catastrophic SS7 Network Failures

Each ASP/ASC is responsible for providing a point of contact. Contact numbers should be non toll free numbers and associated with the organization responsible for handling SS7 Catastrophic Network Failure reports. A contact list for SS7 Catastrophic failures is available in the NIIF Company Specific Contact Directory.

3. LINK INSTALLATION

During the installation period, all inquiries will be referenced by the ASP Service Order number and circuit identification.

A. Signaling Point of Interconnection (SPOI)

Signaling Point of Interconnection is a physical location on SS7 links, where the ASP and ASC exchange SS7 messages. The SPOI location may be provisioned at the POT for testing of links with a theoretical point of physical demarcation, be co-located with a POT, or remotely located from present POT locations. Diversity requirements for Signaling Links should be considered in developing SPOI locations.

B. Pre-service Testing

Each company is responsible for that portion of the overall SS7 link(s) circuit they are providing.

Each company must ensure that their link segments have been installed correctly, prior to cooperative acceptance testing.

C. Acceptance Tests For SS7 Link Circuits

Link circuits that are being installed, as a facility should first be tested at Level 1.

The recommended tests for DS-1 acceptance testing is documented in, PART IX, Section 5F; Acceptance of DS-1.

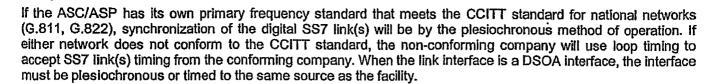
When such testing meets the parameters for acceptance of the DS-1 facility, the recommended tests to ensure compatibility of the DS-1 to pass MTP Levels 2 & 3, is documented in, PART III, Attachment A; MTP Compatibility Tests. (Levels 2 \$ 3)

D. ASP Prove In Period

A prove in period, not to exceed seven (7) days is recommended, subject to the bilateral agreement of the Service Providers. The 24 hour SP to SP Acceptance limits should not be exceeded in any 24 hour interval during the prove in period.

E. Synchronization

Improper synchronization can result in circuit impairments which should be investigated and synchronization problems corrected. Problems that cannot be resolved, should be referred to your Synchronization Coordinator. Companies' Synchronization Coordinators are listed in the NIIF Company Specific Contact Directory, which is available from the NIIF.



F. Compatibility Testing

SS7 Inter-Network Testing

SS7 Inter-Network trunk signalling testing is a pre-cutover requirement.

The following tests, MTP, ISUP and SCCP compatibility tests, are recommended to verify the compatibility of networks during interconnection. These tests are intended to be used as a recommended set of minimum tests of the SS7 protocol.

It is assumed that the two (2) interconnecting networks may have some additional tests they may wish to perform during interconnection. In this case, these tests should be part of the bilateral agreements developed for SS7 network interconnections.

Network compatibility testing verifies the correct interworking of two SS7 implementations. The tests are written for the interconnection of two given implementations.

The full test suite of all recommended tests should be run between the two interconnecting companies for any interconnection configuration that was not previously tested. Both the manufacturer model and software load of the interconnecting signaling network elements defines the interconnection configuration. Subsequent interconnections, using configurations previously tested by the two interconnecting companies, may be tested at their discretion.

The tests in this document have been divided into Intrusive Tests and Non-Intrusive Tests and defined as follows:

- Intrusive Tests The interconnecting circuit shall be interrupted, with the testing unit inserted into the circuit and acting as an emulator to the signaling point under test.
- Non-Intrusive Tests The test shall be able to observe traffic traversing the link(s) between the two (2) signaling points, in a monitor mode.

Message Transfer Part (MTP) Compatibility Tests - Part III (Attachment A)

The minimum set of MTP level 1,2, and 3, protocol compatibility tests are required for switches between interconnecting (ASP/ASC) networks in support of SS7 link interconnection.

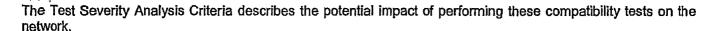
ISDN User Part (ISUP) Compatibility Tests - Part III (Attachment B)

The minimum set of ISUP protocol compatibility tests are required for switches between interconnecting (ASP/ASC) networks implementing ISUP trunk interconnection.

Signaling Connection Control Part (SCCP) Compatibility Tests-Part III (Attachment C)

The minimum set of SCCP tests are required for switches between interconnecting (ASP/ASC) networks implementing Toll Free Database and LIDB interconnecting services. Prior to the initiation of any testing, bilateral agreements will need to be reached defining entries to the Gateway screening, routing and global title tables required to support the testing.

G. Test Severity Analysis Criteria - Part III (Attachment D)



H. Completions

After performance of the link(s) circuit acceptance tests and alignment of the link(s) by the SS7 protocol, the control office should report the order as completed. The acceptance of Level 1, 2, 3 tests would constitute a link in service.

I. Rearrangements

The ASP or ASC will coordinate rearrangements not resulting in a change of operation or design. Removal from service, rearrangement timing and completion testing will be negotiated on an individual basis. Upon completion of the rearrangement, sufficient cooperative testing should be performed to ensure the proper operation of the service.

J. Timer Values

ASC and ASPs need to exchange T2 timer settings prior to interconnection.

4. LINK MAINTENANCE

The ASC is responsible for performing the necessary tests and performance monitoring to determine the nature of the SS7 link (s) trouble. Cooperative testing and Performance Monitoring may be required to identify troubles that are not associated with the physical circuit transport. If the trouble is found to be in the ASP/ASC provided portion of the link (s), the ASP/ASC will report the trouble to the appropriate ASP/ASC control office or the designated trouble reporting office. These links are to be treated as Order Wires for restoration priority purposes. Restoration of these links is to be pre-planned, through bilateral agreements.

Routine Maintenance Activities

- It is the recommendation of the NIIF that all routine maintenance and scheduled work activities be performed during hours of minimum traffic (e.g., during an 11:00 pm to 5:00 am "maintenance window") so that any inadvertent failure impacts the least number of customers.
- At a minimum, high risk, potentially service affecting maintenance and growth procedures should be scheduled during weekend and off-hours. The overall methods, procedures and scheduling of these work activities should be reviewed by a second tier maintenance organization.
- The activities that may affect other network service providers must be coordinated, which includes both intra- and inter-carrier networks.

Non Scheduled Work Activity

 If customer service is being impacted, the minimum work activity will occur to restore the system to a stable performance state. Complete system recovery activity will be scheduled for the next maintenance window period.

A. Trouble Reporting

The following types of information should be exchanged at the time of the trouble referral.

- Circuit identification number [ASP circuit identification 41 Character Common Language (CLCI)] for circuit specific troubles.
- Date and Time of referral

- Nature of the trouble and any other information that may be of assistance in resolving the trouble.
- The name or initials and telephone number of the person referring the trouble.
- Trouble ticket number(s) or equivalent and the name or initials of the person accepting the trouble.
 Identify trouble report as a link. SS7 Link "Circuit Specific" troubles will be reported to the control office.
- The ASCs/ASPs will provide specific contact numbers for the control offices or other designated report centers. This information is conveyed through the Ordering process,
- SS7 Link "Non Circuit Specific" troubles (e.g. Data Base, Point Code) will be reported to the appropriate Network Service Center (NSC) or equivalent center.
- The ASCs/ASPs will provide a contact NSC or equivalent center number.

B. Sectionalization and Repair

While link facility sectionalization is the responsibility of the ASC, it could involve cooperative testing with the participation of both ASC and ASP.

The ASP/ASC employees will limit their repair activities to their respective facilities and/or equipment.

C. Maintenance of SS7 Links Within a Higher Rate Facility

When a SS7 link fails because of a failure in higher rate facility e.g. DS1, DS3, etc., the ASP or ASC receiving the alarm should notify the other party of the expected impact on the SS7 interconnection. Maintenance of the higher rate facility will be in accordance with existing procedures.

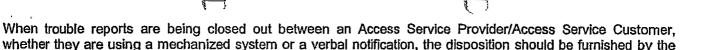
D. Trouble Clearance

After a link trouble has been cleared the ASP/ASC shall contact the other to advise that the trouble has been cleared, the nature of the trouble found and the action taken.

Trouble Report Status

- The Access Service Customer/Access Service Provider receiving the initial trouble referral shall
 provide a status on the disposition of that referral based on local agreements negotiated at the time of
 referral or requested on an individual case basis.
- Subsequent to the initial status report on the disposition of the trouble report periodic status's shall occur based on the following criteria:
 - When the trouble has been isolated
 - When there is a significant change in the status of the trouble.
 - The negotiated status interval has expired.
- Where mechanized trouble notification is utilized the receiving company shall status their appropriate systems based on paragraphs A and B, in addition it shall be the responsibility of the company issuing the trouble report to poll the mechanized status system for the status of the trouble report.
- Escalations if necessary shall be in accordance with identified procedures.

When a SS7 link(s) trouble has been cleared, the link(s) may or may not be automatically restored to service. If manual intervention is necessary on the part of the ASP/ASC or both, it should be coordinated by both parties. Each party will verify that the affected link(s) have been restored and are carrying traffic. If the link(s) fail to align or to carry traffic, the parties will continue the maintenance effort until the problem is resolved.



company clearing the trouble, and the following information shall be provided:

The ASC and/or ASP should agree to provide the following information when closing a mutual trouble report:

- Circuit ID/telephone number
- Trouble Report Number (ASC/ASP number)
- Trouble Disposition (e.g., bad cable)
- Resolution details (e.g., cut to new cable)
- · ASC will inform ASP if this trouble is measured.
- Referral time, returned time, No Access Time.
- If trouble report results in a service charge, the ASP will provide this info to the ASC

When there is a disagreement on the disposition, the parties engaged in the dispute shall document their respective positions, and the names of the individuals engaged in the dispute for audit purposes. Escalations if necessary shall be in accordance with identified procedures.

If after a trouble has been cleared and either the ASP or ASC wishes to change the Disposition, such changes shall be negotiated.

E. ASP Node To ASC Node Interconnect Testing And Analysis

The SS7 link(s) between an ASP and ASC are network to network interconnections. Trouble conditions may be experienced that cannot be resolved by each carrier testing and maintaining its own portion of the link(s). Some conditions may only be apparent to either the ASP or the ASC and require cooperative analysis of each others trouble indications or other data to sectionalize troubles. While it is the responsibility of the ASC to coordinate such activity, the ASP has the responsibility to notify the ASC of problems which may not be apparent to the ASC.

5. TRUNK RESPONSIBILITIES

A. Access Service Customer ASC/ASP

(See NIIF Reference Document, Part II, Installation and Maintenance Responsibilities Switched Access Services Feature Groups B, C, and D).

B. Conversion of Multi-frequency Trunks to SS7

Conversion from in-band signaling to SS7 cannot be accomplished without a thoroughly planned process. The ASP/ASC implementation team should meet to discuss and identify all of the steps necessary for a successful trunk conversion. Each ASP/ASC should establish a Cutover Committee consisting of permanent members from the Ordering, Provisioning, Engineering, Operations, SS7 Control Center and Billing disciplines. Account Representation for the ASC desiring interconnect may also be present when appropriate. The responsibility of the committee is to ensure a smooth conversion through scheduling and addressing, at a minimum, the concerns listed below. The following guidelines are offered as a starting point for discussion between an ASC and the ASP. They are not all inclusive.

TIME OF THE CONVERSION

On what day will the actual conversion start? What time during the day will it take place? If it is out of hours, there may be expense related concerns of work force adjustments. Times may have to be correlated with traffic volumes dependent upon trunk capacity.

TRUNK OPERATIONS TEST

Is this the first time a specific configuration (End Office Switch-Access Tandem-ASC Switch) has been tested? If so, a comprehensive test plan is suggested. If not, a substantially abbreviated test procedure may be appropriate. Will transmission test be performed?



Will a transition trunk group need to be built? It should also be determined how the trunks will be converted with minimal service interruption. One method is to create a new trunk group for the SS7 trunks and overflow the MF trunk group to the SS7 group (or vice versa). Converting in this manner allows all trunks to be in service with the exception of those which are actively in the conversion process.

MAXIMUM NUMBER OF TRUNKS FOR CONVERSION

During that time that the trunks are actually being converted, they will be out of service. It must be determined how many trunks can be removed from service without adversely affecting traffic. Dependencies are the size of the trunk group, spare capacity and the time of conversion. Specific identification of trunks must be coordinate between the companies to ensure that both companies are converting the same trunks at the same time.

MANUAL VS AUTOMATIC

Will the ASP/ASC be using a mechanized procedure for converting the trunks? This information may be useful in scheduling the work.

FACILITIES CHANGES

It is not anticipated that there will be facility changes associated with the conversion of trunks. If they are required, the information should be conveyed at the preconversion meeting.

EQUIPMENT CHANGES

Most trunk equipment used for in band signaling should also work with SS7. However, some manufacturers may have designed their trunk equipment to work properly in the off-hook state only. This equipment, when used for SS7, would provide degraded transmission since, in relation to E&M signaling, the circuit will always be on-hook.

SWITCH TYPES

The switch types involved in the conversion should be identified to address special needs of specific switches. For example, a switch may require that the Trunk Circuit Identification Code (TCIC) and the trunk number be of the same value. Identification of the switches will also allow the companies to determine the extent of testing that will take place (see TRUNK OPERATIONS TEST above).

NMC NOTIFICATION

The NMC should be notified of the conversion to enable the center to monitor the trunk group, especially during the time of conversion/reduced capacity. The NMC may also be able to inform the Conversion Team of any special calling events (mass calling) which may be occurring at the time of the conversion.

SCHEDULE

As offices become equipped for Common Control Switching Access Capability (CCSAC), it is likely that more than one ASC will want to interconnect with a specific end office. The scheduling of conversions must be coordinated by the ASP, with individual ASCs, and agreed to by the involved companies.

TIMER VALUES

The ASC and ASP switches and STPs have many timers associated with link operation and call setup. Since only parameters and not the actual values are stated in technical references, it is likely that the ASC and the ASP will have different time settings for the same timer. Although there is no requirement that the value of specific timers be the same (see note) in both companies, the sharing of the values will provide each company with additional information for trouble resolution.

Note: The T2 Link Timer is the only known exception and must have different values at each end.

To ensure network integrity and reliability, SS7 Timer settings (MTP Level 2 and Level 3, ISUP and SCCP) should be exchanged at time of interconnection negotiation.

POINT CODES

If an ASC/ASP is contemplating interconnecting, it is essential that point code range information be known well in advance to enable the control center to update the STP during the scheduled split mode operation. Point codes include those of the STP, the interconnecting switches and any alias point codes.



It is recommended by the NIIF that interconnected networks adopt the practice of responding to TFC (Transfer Controlled) messages by assigning RCT (Route-Set-Congestion test) messages using SLS (Signaling Link Selection) codes that ensure an equal distribution on all the links in the linkset (combined). Prior to CCS interconnection the interconnecting companies should exchange RCT methodologies.

TRUNK CONFIGURATION

Using In Band signaling, it is possible for an ASC to have one large trunk group from the ASP to the POP and then split the facilities to several different switches on their side of the POP. In this arrangement, the ASP routes all traffic to the single trunk group and the ASC splits it according to their needs. This same configuration will not work using SS7 unless the trunk group is split on the ASP side of the POP as well. It is necessary to split the trunk group because in the SS7 environment, traffic is routed between switches and not between a POP and a switch. One method of accommodating an ASC with this situation, would be to split the trunk groups between switches and then first route all traffic to one of the groups. That trunk group could then route advance to another. The ASC would be able to balance loads between switches through proper sizing of the trunk groups. At the conversion meeting it should be determined if this situation exists and if it does, how it will be handled. How will traffic be routed to the separate trunk groups? What are the switched nomenclature? Will the trunk groups have to be resized/rearranged? Will the trunk groups be rearranged, prior to SS7 conversion?

As a result of technological changes in the network due to the implementation of SS7 there has been an increase in short duration calling patterns (Abandoned Calls) in an Interworking environment. Therefore it is the recommendation of the NIIF that the following actions take place where interworking is encountered between interconnected carriers. Where appropriate to reconcile the situation:

All Service Providers having switches with:

- TCRA Timer should be in compliance with ANSI (T1.113 Issue 3) which recommends a setting of twenty (20) seconds.
- Inter Stage Timer (IST)* should be set at fifteen (15) seconds.
- Partial Signal Partial Dial (PSPD) Timer should be set at a minimum of twenty (20) seconds and at a maximum of thirty (30) seconds.

FREQUENCY OF CONTINUITY TESTS

When In Band signaling is used, continuity of the trunk selected is assured by call setup. Continuity testing is also available on SS7 trunks. The switch will automatically send, receive and measure tones placed on the trunk prior to setting up a call. The switch will perform continuity on a circuit at a variable rate of 1 out of n times where n has a value of 1 to 16. It is this information (the value of n) which should be exchanged with the ASC. The NIIF has agreed to perform continuity operation tests, at a minimum rate of (1) one out of (8) eight.

NOTE: Where technology does not exist to facilitate meeting the above criteria, local negotiations should take place.

2-WIRE CONTINUITY CHECK EMULATION ON A 4-WIRE SWITCH

An exchange terminating a 4-wire circuit is recommended to provide an additional procedure using two tone continuity check procedures. Optionally, by agreement between connecting 4-wire exchanges, either:

- Both exchanges emulate 2-wire exchanges in the continuity check procedure of Section B.2 (Continuity-check for 2-wire speech circuits) of T1.113.4. Note, this requires an equipment upgrade of both exchanges to detect 1780 Hz tone.
- One of the exchanges terminating a 4-wire circuit may emulate operation of a 2-wire exchange in the
 continuity check procedure in Section B.3 (continuity-check between a 2-wire and 4-wire exchange)
 of T1.113.4, while the far end exchange must furnish 4-wire to 2-wire procedure. Note, in this case,
 only one exchange requires an equipment upgrade, however, there is increased administration
 requirement to ensure correct 4-wire/2-wire configuration.



The use of two tone continuity check procedures will allow detection of inadvertent loops in the trunk facility between exchanges that terminate 4-wire circuits because different frequencies are transmitted and received.

DIVERSITY ARRANGEMENTS

The ASP/ASC should exchange link diversity arrangements (Planned and Current).

NEW PARAMETERS

Companies who have completed SS7 interconnection and acquire customers with ISDN capabilities have a need and responsibility to communicate to their interconnected network providers the passage of such parameters.

3.1 KHz audio and speech should be passed by all interconnecting SS7 partners. Where known non-conformances exist, dialogue needs to take place.

Per local negotiations, all interconnecting companies utilizing ACC should acknowledge and respond when an ACC message is received.

Interconnecting parties should exchange the initial value of the Hop Counter at the time of negotiation for interconnection and as changes are anticipated/made in signaling between the two networks. The recommended initial value of the Hop Counter as determined by the NIIF is 17.

EMERGENCY COMMUNICATIONS

In the remote event that a total SS7 failure is experienced, some means of communication between personnel in interconnecting networks, may be required. The ASP/ASC should determine what emergency communications are already available and/or what alternative methods, if any, will be provided.

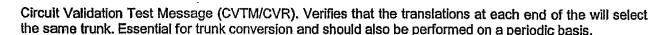
- It is recommended that all interconnecting networks (ICNs) and manufacturers interconnect via Public Packet Switched Network (PPSN), non PSN Access, as part of a Closed User Group, in support of and to provide backup of the voice emergency communications network. The PPSN is to be utilized as a backup to the PSN as part of the Emergency Communications System (ECS). Information to be communicated between entities should be identified and shared for ECS information. The following information was developed by the NIIF and should be utilized, where possible:
 - Security Password: (Exchangeable)
 - Station Handshake: (ASCII Text)
 - To: (Addressee)
 - From: (Company who sent message)
 - Date: (Current Date)
 - Time: (Current Time)
 - Outage Date: (Outage Date)
 - Outage Time: (Outage Time)
 - Node Type: (STP,SCP,Etc.)
 - Location: (Office where incident occurred)
 - Point Code: (Point Code of Office)
 - Severity: (Isolation, Critical, Major, Minor, etc.)
 - Vendor:
 - Comments (if any)
 - End of Comments
 - END: (Identifies End of Message)

LINK RESTORAL OBJECTIVE

AVAILABLE OPTIONAL AUTOMATIC TESTING

Signaling Link Test Message (SLTM). Automatically run during link alignment. Not necessary for trunk conversion.

17



C. Trunk Circuit Identification Code (TCIC)

The ASC will administer/assign the Trunk Circuit Identification Codes (TCICs). Certain switches require that the Common Language Circuit Identifier (CLCI) trunk number equals the TCIC.

D. Trunk Circuit Identification Code (TCIC) Numbering Plan

Assignment Criteria

The (TCIC) assignment is a five (5) digit number. Numbers are right justified with leading zeros. The ten thousand digit should always be a zero, due to trunk number restrictions in some switches.

The five (5) digit numbers (00001 - 09996) should be broken down into sub-blocks of 96 numbers (i.e., 0001 - 00096, 00101 - 00196, etc.). Numbers ending in 97, 98, 99 and 00 will not be assigned, due to the limitations of some digital switches.

Sub-blocks of 96 will not be split between groups of trunks with the same customer Point Code and Exchange Company Point Code. This assures a group will always start with 1 and provides for consecutive numbering.

The smallest available will be selected for new groups or augments requiring an additional sub-block. Ascending order of assignments is required for minimizing memory loss in some machines.

TCIC assignments will not be duplicated on groups between the same point codes. A mechanized assignment process with edits is recommended to prevent dual assignments.

TCIC assignments will be provided on all add, change and disconnect Access Service Request (ASR) activity requests. TCIC numbers should be reused on coordinated disconnects and add activity to assure reassignment of the lower sub-blocks to minimize loss in some machines.

E. Tones and Announcements

Where possible, based upon SS7 connectivity and interworking, the terminating ASP should release calls that have encountered network situations such as, reorder, vacant code, no circuit and line busy to the ASC, with a specific cause indication. The ASC, based upon agreements with the originating ASP, may either connect the call to a tone/announcement and subsequently release the call with a normal cause indicator to the originating ASP, or release the call to the originating ASP. This release with a specific cause indicator for the originating ASP should provide the tone or announcement. ASCs in agreement with the originating ASP and compliance to all applicable SS7 message sequences may choose to recognize specific release cause messages and connect tone or announcement. The ASC will return to the originating ASP, a suitable coded ACM message prior to connecting the call to a ASC tone or announcement. Properly coded release messages will be forwarded to the ASP at the completion of the tone and announcement application. All response message sequences will need to be within the tolerances defined in timing parameter "T-IAM" of ANSI T1.113. Customized announcements, such as automatic intercept for number changes, would have to be played by the terminating ASP. If there is not total SS7 connectivity in the terminating connection the terminating ASP may have to play all tones and announcements. If there is not SS7 connectivity in the originating access, the originating ASP may not be able to play tones and announcements.

An SS7 Cause Codes & Tones and Announcements document is included as Part III Attachment H. This document provides a single source for definitions, application of cause codes with the associated treatment and the appropriate verbiage to be played to the customer where an announcement is required.

F. Trouble Report Disposition

When trouble reports are being closed out between an Access Service Provider/Access Service Customer, whether they are using a mechanized system or a verbal notification, the disposition should be furnished by the company clearing the trouble, and the following information shall be provided:

The ASC/ASP should agree to provide the following information when closing a mutual trouble report:

- Circuit ID/telephone number
- Trouble Ticket Number (ASC/ASP number)
- Trouble Disposition (e.g., bad cable)
- Resolution details (e.g., cut to new cable)
- ASC will inform ASP if this trouble is measured.
- Referral time, returned time, No Access Time.
- If trouble ticket results in a service charge, the ASP will provide this info to the ASC

When there is a disagreement on the disposition, the parties engaged in the dispute shall document their respective positions, and the names of the individuals engaged in the dispute for audit purposes. Escalations if necessary shall be in accordance with identified procedures.

If after a trouble has been cleared and either the ASP or ASC wishes to change the disposition, such changes shall be negotiated.

6. TRUNK INSTALLATION

A. Point of Presence (POP) Serving Multiple Switches

Where an Access Service Customer (ASC) has multiple switches served by one POP, a separate trunk group for each switch must be established from the ASP switch to the POP to accommodate SS7 trunk traffic. Each trunk group will require the unique Signaling Point Code (SPC) for each ASC switch and ASP switch. When an ASC has multiple switches connecting through a single POP or a single switch connecting to multiple POPs, separate trunk groups must be established using the unique Signaling Point Code of each ASC switch and ASP switch.

7. TRUNK TROUBLE DETECTION RESPONSIBILITIES

It is recommended that continuity checks (COT) be performed by ASPs and ASCs on a one (1) out of eight (8) statistical basis. Because of different manufactures implementation of COT capabilities, this may have to be performed at either the trunk group level, or at the office level. If the COT must be performed at the trunk group level, then the one (1) out of eight (8) COT will only be performed on all access trunk groups. If the COT is designated at the office level, then the one (1) out of eight (8) requirement is performed on the entire office base. Between 4-wire switching offices, COT is performed on a loop basis, however, when one or both offices involved are 2-wire switches, each transmission direction is checked independently. As long as the continuity check is performed at recommended levels, a "killer" trunk condition should only occur if the trunk is looped.

NOTE: Where technology does not exist to facilitate meeting the above criteria, local negotiations should take place.

8. SCREENING AND TRANSLATIONS

A. Final Global Title Translations (FGTT)

Final Global Title Translations (FGTT) should be performed in the terminating network. Interconnecting parties should discuss their routing and Gateway Screening of Network Management Messages between interconnected STPs to ensure that all appropriate messages can be routed in the presence of route failures.

In order to interconnect to a network which utilizes cluster routing, the NIIF recommends that each network demonstrate that network management features are being provided to the other network, and conform to the following interworking rules:

- The Interconnecting network should not ignore TFP, TFR, TFA,TCP, TCR, TCA, and signaling route set test messages, and shall respond, and/or send the appropriate RSx/RCx message if the above TFP/TFR, TCP/TCR messages received are in conformance with ANSI Standards.
- Network elements performing cluster routing in receipt of a network management message should create
 and maintain a status listing of all members within the defined cluster to which it performs routing until
 appropriate allow messages have been associated with all such members.
- When the TFC message is sent, the message shall contain the full Point Code (PC) of the message Point Code of the Message Destination (DPC) and the affected Point Code (the route to which is in congestion).
- In the event of routing methodology changes the interconnected network should be notified by the company initiating the change, before implementing cluster routing.
- Member 000 should never be assigned for a cluster used for an End Office/Tandem /SCP point code, i.e.
 point codes of a signaling end point. The cluster member code 000 is reserved for addressing signaling
 points that serve as a signaling transfer point.
- When performing any live network testing, a non working cluster should be used to avoid network incidents due to misinterpretations of signaling protocols.

NOTE: Where technology does not exist to facilitate meeting the above criteria, local negotiations should take place.

B. Translation Types

A uniform application/utilization of a numbering scheme will prolong the life of the resource.

The ANSI Standard (T1.112.3-1996, Annex A) specifies standard Translation Types (TTs) which should be used. The standard also specifies multiple translation types (TTs) for two applications (CLASS and LIDB) TTs 251 or 6; 253 or 2 should be used in accordance with the standard. The following recommendations be considered when interconnecting TTs 251 or 6; 253 or 2 with another Service Provider:

- Translation Types being utilized by the ILEC should be considered for adoption by the newly established CLECs during local negotiations.
- In the event that translation types are already being used by the interconnecting CLEC that differ from the ILEC then the parties involved should engage in local negotiations to determine their numbering convention.
- In the event that a CLEC is interconnecting with multiple ILECs then the involved parties shall engage in local negotiations.
- Existing service Providers/Customers already utilizing specific Translation Types shall engage in local negotiations to determine what Translation Types should be utilized.
- Where existing service providers/service companies are already interconnected and are now proposing to
 provide services new to the area, then local negotiations should take place to determine the Translation
 Type (TT) to be used.

C. TCAP Transaction Looping

TCAP transaction looping can congest B links very quickly. Several mechanisms are available (T1S1.6) to prevent such looping and should be implemented through bi-lateral agreements. Two mechanisms, Gateway

Screening and Translation-Type mapping loop-prevention, were proven through industry (NTC Phase 10) testing to prevent looping caused by incoming messages.

The NIIF recommends the following:

For TCAP transactions, interconnected companies should utilize loop detection/prevention mechanisms bi-laterally.

Reference: T1S1.6 - Technical Requirements for Number Portability - Database and Global Title Translations. (T1S1/98-174)

9. Rules for Populating JIP

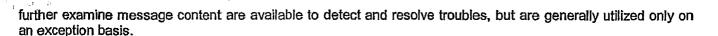
- 1. JIP should be populated in the IAMs of all wireline and wireless originating calls where technically feasible.
- JIP should be populated with an NPA-NXX that is assigned in the LERG to the originating switch or MSC.
- 3. The NIIF does not recommend proposing that the JIP parameter be mandatory since calls missing any mandatory parameter will be aborted. However, the NIIF strongly recommends that the JIP be populated on all calls where technologically possible.
- 4. Where technically feasible if the originating switch or MSC serves multiple states/LATAs, then the switch should support multiple JiPs such that the JIP used for a given call can be populated with an NPA-NXX that is specific to both the switch as well as the state and LATA of the caller.
 - If the JIP cannot be populated at the state and LATA level, the JIP should be populated with an NPA-NXX specific to the originating switch or MSC where it is technically feasible.
- 5. Where the originating switch cannot signal JIP it is desirable that the subsequent switch in the call path populate the JIP using a data fill default associated with the incoming route. The value of the data fill item is an NPA-NXX associated with the originating switch or MSC and reflects its location.
- 6. When call forwarding occurs, the forwarded from DN (Directory Number) field will be populated, the JIP will be changed to a JIP associated with the forwarded from DN and the new called DN will be inserted in the IAM.
- As per T1.TRQ2, the JIP should be reset when a new billable call leg is created.

10. SS7 NETWORK SECURITY BASE GUIDELINES

This section specifies the minimum set of security features to be adopted by Access Service Providers and the Access Service Customers and is documented in Part III Attachment I.

SS7 network providers are responsible for ensuring the reliability and security of their own SS7 networks with respect to defending against the propagation of abnormal SS7 signaling messages when interconnected with other networks. This responsibility should ensure protection against unexpected SS7 messages, SS7 messages with protocol errors, attempts at sabotage, or any other harmful conditions that may be propagated across interconnected SS7 networks.

It should be noted that SS7 network intermediate nodes generally examine those parts of incoming messages with respect to routing of the message. The SS7 destination node examines additional information for the proper processing of the message, and this may include additional security considerations. Examination of the content of every message routinely is not done, and may not be practical. Various methods of protocol analyzation that



A variety of processes and responsibilities intended to ensure reliability, network security, and risk minimization associated with SS7 network interconnection are outlined elsewhere in the document.

11. SS7 SOFTWARE VALIDATION

Service providers and vendor/manufacturers have an obligation to their customers to provide the highest standard of error free software possible. In light of this obligation the industry has developed a set of recommendations for the validation of software and is documented in Part III Attachment J.

12. DUAL STP FAILURE PREVENTION PROCEDURES

The NIIF recommends that each STP Owner/Operator have in place a written procedure to assist in the prevention of dual STP failure. Part III Attachment K provides a minimum set of recommended guidelines to prevent dual STP failures.

13. COMBINED NODE - MINIMUM SET OF GUIDELINES

A. Architecture

Typical Combined Node Architectures are identified in the following two network diagrams:

Combined Node Interconnection Architecture #1

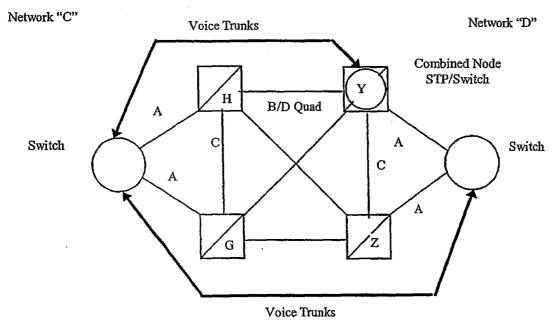


Figure 3 - Combined Node Interconnection Architecture #1

Combined Node Interconnection Architecture #2

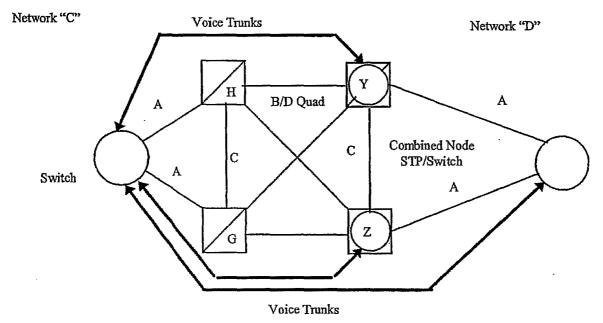


Figure 4 - Combined Node Interconnection Architecture #2

B. Routing And Configuration

Claire C

Load Balancing of the interconnecting Links (A, B/D) is required as specified in Load Balance Tests in the NIIF SS7 Reference Document –Part III (Attachment A - Test 1.27).

It is the responsibility of each network operator to send balanced traffic. In situations where special translations and screening are required to achieve load balancing on traffic originating from the combined node, those translations are the responsibility of the combined node owner/operator.

C. Network Management

Where Combined Nodes are utilized in an interconnected environment, the interconnected parties need to identify and resolve operational concerns (e.g., Load Balance)

It is preferred that a single point code be established for the switch portion of the combined node, and a different point code be established for the STP portion of that switch.

Functionality of the combined node must send and respond to Network Management Messages (TFP/TFC/TFR/etc.) in accordance with established NIIF Procedures as found in the NIIF Reference Document (Part III - Section 8. Screening and Translations)

D. Diversity

The node should meet the established NIIF Link Diversity guidelines. (Part III - Section 2 - Subsection E - Diversity)

E. Testing

When interconnecting with a Combined Node, all applicable tests are referenced in the NIIF Reference Document - Part III - Attachments A, B, C, D, E, and F should be performed.